

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT			1. CONTRACT ID CODE K	PAGE 1 OF 3
2. AMENDMENT/MODIFICATION NO. P00011		3. EFFECTIVE DATE See Blk. 16C	4. REQUISITION/PURCHASE REQ. NO. See Block 14	5. PROJECT NO. (If applicable) S1403A
6. ISSUED BY DLA TROOP SUPPORT CONSTRUCTION & EQUIPMENT (HEPP) 700 ROBBINS AVENUE PHILADELPHIA PA 19111-5096 USA Initiator: Cherie Crowe DCC0123 Email: Cherie.Crowe@dla.mil		CODE SPE8EC	7. ADMINISTERED BY (If other than Item 6) DCMA CHICAGO 1523 WEST CENTRAL ROAD ARLINGTON HEIGHTS IL 60005-2451 USA	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) CATERPILLAR INC. DBA CATERPILLAR 100 NE ADAMS ST PEORIA IL 61629-0001 USA		(X)	9A. AMENDMENT OF SOLICITATION NO.	
			9B. DATED (SEE ITEM 11)	
		X	10A. MODIFICATION OF CONTRACT/ORDER NO. SPE8EC-18-D-0020	
			10B. DATED (SEE ITEM 13) 2018 MAY 08	
CODE 11083	FACILITY CODE			

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods:

- (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

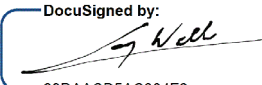
<u>CHECK ONE</u>	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103 (b).
X	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: FAR 52.212-4(c) and Addition/Deletion language within the solicitation
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor is not, is required to sign this document and return 1 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

See Continuation Sheet

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Jay Walton General Manager, Caterpillar Defense		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Robert F Spadaro Jr	
15B. CO DocuSigned by:  29DAACD5AC304F2...	15C. DATE SIGNED 4/20/2022	16B. UNITED STATES OF AMERICA SPADARO.ROBERT.F.JR.1291915040 <small>Digitally signed by SPADARO.ROBERT.F.JR.1291915040 Date: 2022.04.28 11:34:36 -04'00'</small> (Signature of Contracting Officer)	16C. DATE SIGNED 4/21/2022

NSN 7540-01-152-8070
Previous edition unusable

STANDARD FORM 30 (REV. 10-83)
Prescribed by GSA FAR (48 CFR) 53.243

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CONTINUATION SHEET

REFERENCE NO. OF DOCUMENT BEING CONTINUED:
SPE8EC-18-D-0020 / P00011

PAGE 2 OF 3 PAGES

Contract SPE8EC-18-D-0020 is hereby modified as follows:

1. Caterpillar Commercial Price List with the Model Pricing and Discounts (Base List Price Only) on page 12 of the Schedule of Items (SOI) in Contract SPE8EC-18-D-0020 is hereby replaced by page 3 of the subject modification to reflect the price increases for 14 of the 15 models identified in the Commercial Price List.
2. The following model is hereby deleted from the Caterpillar SOI:
Caterpillar Rough Terrain Forklift - 986K LRC
3. Caterpillar Places of Product Manufacturing Facilities is updated and attached.
4. All other terms and conditions remain unchanged.

CONTINUED ON NEXT PAGE

CATERPILLAR INC.		
Cage Code: 11083		
	Description of Item	Make/Model Number
1	Caterpillar Rough Terrain Forklift	930K ATFL
2	Caterpillar Rough Terrain Forklift	930K ADL
3	Caterpillar Rough Terrain Forklift	938K LRC
4	Caterpillar Rough Terrain Forklift	986K HRC
5	Caterpillar Telehandler	TH255C HRC
6	Caterpillar Telehandler	TH255C LRC
7	Caterpillar Telehandler	TL642 HRC
8	Caterpillar Telehandler	TL642 LRC
9	Caterpillar Telehandler	TL943 HRC
10	Caterpillar Telehandler	TL943 LRC
11	Caterpillar Telehandler	TL1055 HRC
12	Caterpillar Telehandler	TL1055 LRC
13	Caterpillar Telehandler	TL1255 HRC
14	Caterpillar Telehandler	TL1255 LRC

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT			1. CONTRACT ID CODE K	PAGE 1 OF 4
2. AMENDMENT/MODIFICATION NO. P00010		3. EFFECTIVE DATE See Blk. 16C	4. REQUISITION/PURCHASE REQ. NO. See Block 14	5. PROJECT NO. (If applicable) S1403A
6. ISSUED BY DLA TROOP SUPPORT CONSTRUCTION & EQUIPMENT (HEPP) 700 ROBBINS AVENUE PHILADELPHIA PA 19111-5096 USA Initiator: Cherie Crowe DCC0123 Email: Cherie.Crowe@dla.mil		CODE SPE8EC	7. ADMINISTERED BY (If other than Item 6) DCMA CHICAGO 1523 WEST CENTRAL ROAD ARLINGTON HEIGHTS IL 60005-2451 USA	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) CATERPILLAR INC. DBA CATERPILLAR 100 NE ADAMS ST PEORIA IL 61629-0001 USA		(X)	9A. AMENDMENT OF SOLICITATION NO.	
		(X)	9B. DATED (SEE ITEM 11) 2018 MAY 08	
CODE 11083		FACILITY CODE	10A. MODIFICATION OF CONTRACT/ORDER NO. SPE8EC-18-D-0020	
			10B. DATED (SEE ITEM 13)	

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12. ACCOUNTING AND APPROPRIATION DATA (If required)

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<u>CHECK ONE</u>	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
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X	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: FAR 52.216-2
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor is not, is required to sign this document and return 1 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

See Continuation Sheet

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15A. NAME AND TITLE OF SIGNER (Type or print) Jay Walton General Manager, Caterpillar Defense		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Robert F Spadaro Jr	
15B. DocuSigned by: 29DAACD5AC304F2... rized to sign)	15C. DATE SIGNED 12/1/2021	16B. UNITED STATES OF AMERICA SPADARO.ROBERT.F.JR.12 91915040 Digitally signed by SPADARO.ROBERT.F.JR.1291915040 Date: 2021.12.06 13:33:34 -0500' (Signature of Contracting Officer)	16C. DATE SIGNED 12/6/2021

DS aac DS fge DS JB DS RT

CONTINUATION SHEET

REFERENCE NO. OF DOCUMENT BEING CONTINUED:
SPE8EC-18-D-0020 / P00010

PAGE 2 OF 4 PAGES

Contract SPE8EC-18-D-0020 is hereby modified as follows:

1. Caterpillar Commercial Price List with the Model Pricing and Discounts (Base List Price Only) on page 12 of the Schedule of Items (SOI) in Contract SPE8EC-18-D-0020 is hereby replaced by page 3 of the subject modification to reflect the price increases for 15 of the 15 models identified in the Commercial Price List.

2. The following 4 Models are updated to reflect nomenclature changes on the Caterpillar SOI:

CURRENT ITEM DESCRIPTION/MODEL # / UPDATED ITEM DESCRIPTION/MODEL #

TL642D HRC/ TL642 HRC
TL642D LRC/ TL642 LRC
TL943D HRC/ TL943 HRC
TL943D LRC / TL943 LRC

3. Caterpillar Places of Inspection and Acceptance are updated on page 4 of subject modification.

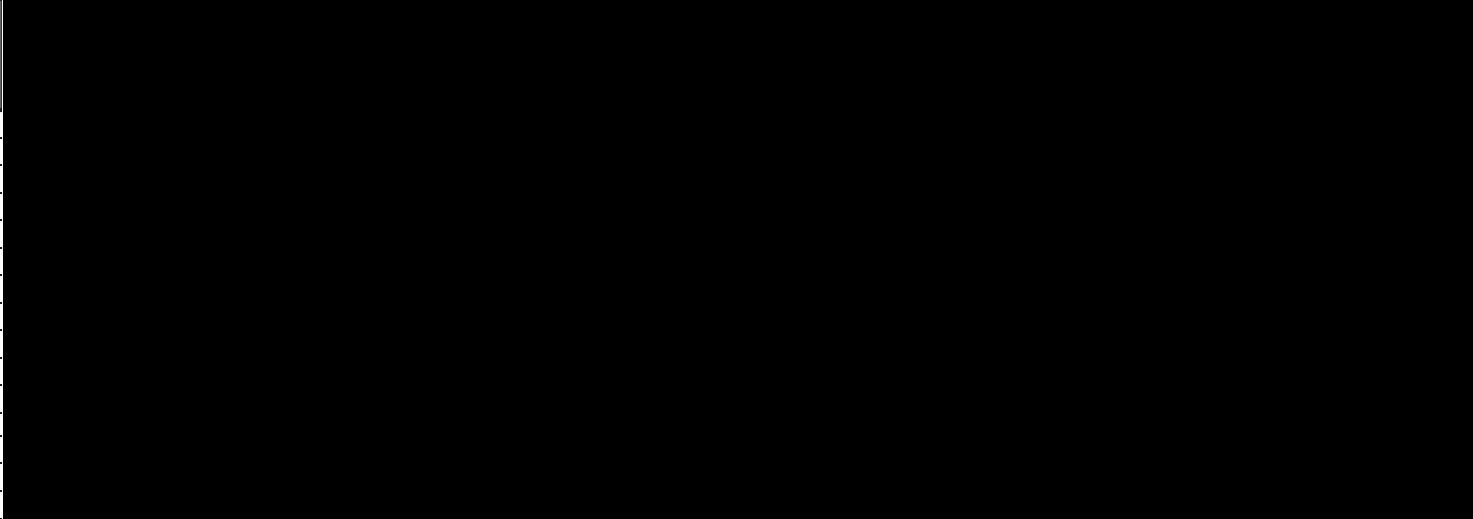
4. All other terms and conditions remain unchanged.

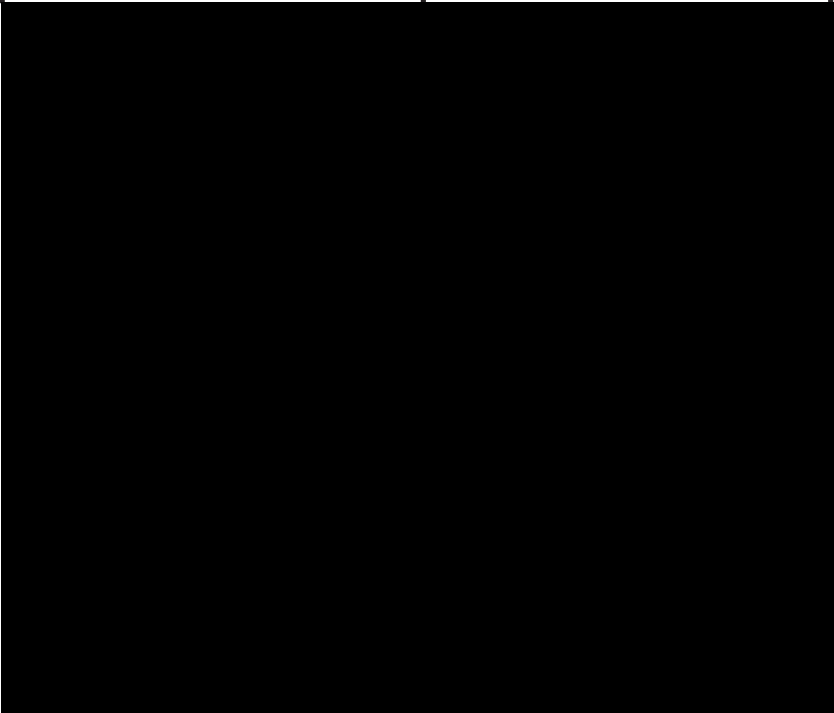
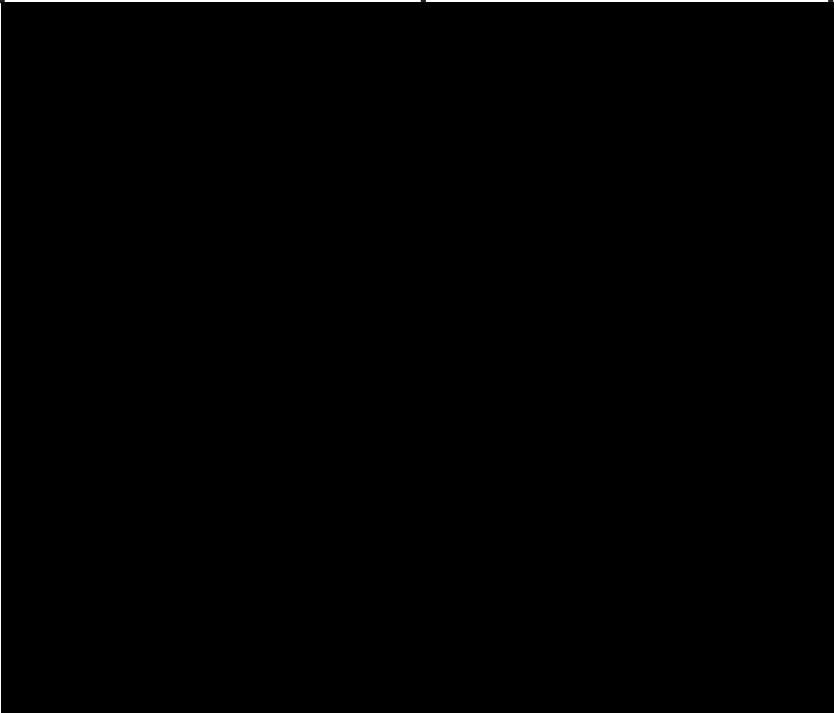
CONTINUED ON NEXT PAGE

CATERPILLAR INC. DLA Base Contract Number: SPE8EC-18-D-0020 P00010

Cage Code: 11083

Description of Item	Make/Model Number
Caterpillar Rough Terrain Forklift	930K ATFL
Caterpillar Rough Terrain Forklift	930K ADL
Caterpillar Rough Terrain Forklift	938K LRC
Caterpillar Rough Terrain Forklift	986K LRC
Caterpillar Rough Terrain Forklift	986K HRC
Caterpillar Telehandler	TH255C HRC
Caterpillar Telehandler	TH255C LRC
Caterpillar Telehandler	TL642 HRC
Caterpillar Telehandler	TL642 LRC
Caterpillar Telehandler	TL943 HRC
Caterpillar Telehandler	TL943 LRC
Caterpillar Telehandler	TL1055 HRC
Caterpillar Telehandler	TL1055 LRC
Caterpillar Telehandler	TL1255 HRC
Caterpillar Telehandler	TL1255 LRC



NAME OF MANUFACTURER	DESCRIPTION OF ITEM	PRODUCTION PLANT
CATERPILLAR INC. 11083		
CATERPILLAR INC. 11083		
CATERPILLAR INC. 11083		

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT	1. CONTRACT ID CODE K	PAGE 1 OF 3
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2. AMENDMENT/MODIFICATION NO. P00008	3. EFFECTIVE DATE See Blk. 16C	4. REQUISITION/PURCHASE REQ. NO. See Block 14	5. PROJECT NO. (If applicable) S1403A
6. ISSUED BY DLA TROOP SUPPORT CONSTRUCTION & EQUIPMENT (HEPP) 700 ROBBINS AVENUE PHILADELPHIA PA 19111-5096 USA Initiator: Robert Singley DRS0020 Tel: DSN-444-9467 Email: robert.singley@dla.mil	CODE SPE8EC	7. ADMINISTERED BY (If other than Item 6) DCMA CHICAGO 1523 WEST CENTRAL ROAD ARLINGTON HEIGHTS IL 60005-2451 USA	

8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) CATERPILLAR INC. DBA CATERPILLAR 100 NE ADAMS ST PEORIA IL 61629-0001 USA	(X)	9A. AMENDMENT OF SOLICITATION NO.
		9B. DATED (SEE ITEM 11)
	X	10A. MODIFICATION OF CONTRACT/ORDER NO. SPE8EC-18-D-0020
		10B. DATED (SEE ITEM 13) 2018 MAY 08
CODE 11083	FACILITY CODE	

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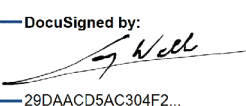
CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103 (b).
X	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: FAR 52.216-2
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor is not, is required to sign this document and return 1 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

See Continuation Sheet

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Jay walton General Manager, Caterpillar Defense	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Robert F Spadaro Jr
15B. CON  29DAACD5AC304F2... to sign	15C. DATE SIGNED 4/28/2021
16B. UNITED STATES OF AMERICA SPADARO.ROBERT.F.JR.12919150 40 Digitally signed by SPADARO.ROBERT.F.JR.1291915040 Date: 2021.04.29 09:43:33 -0400 (Signature of Contracting Officer)	16C. DATE SIGNED 4/29/2021



CONTINUATION SHEET

REFERENCE NO. OF DOCUMENT BEING CONTINUED:
SPE8EC-18-D-0020 / P00008

PAGE 2 OF 3 PAGES

This contract modification is for an EPA request, addition of 2 items, deletion of 11 items, and change of product nomenclature to 4 items.

- 1.The EPA Clause of contract SPE8EC-18-D-0020 provides for an aggregate increase not to exceed 20 percent of the original contract unit price during a 2 year period. Broken down, the clauses allow up to a 20% increase during years 1 and 2, another 20% increase for years 3 and 4 and finally a 10% increase to the 5th contract year. Caterpillar requested EPA price increases do not exceed 20% on any of the 15 Clin items.
- 2.The following additions, deletions, and nomenclature changes were adjusted to contract SPE8EC-18-D-0020:

The following 2 Models are hereby Added to the Caterpillar SOI:

ITEM	DESCRIPTION	MODEL #
1.	Rough Terrain Forklift	930K ADL
2.	Rough Terrain Forklift	938K LRC

The following 11 Model are hereby Deleted from the Caterpillar SOI:

ITEM	DESCRIPTION	MODEL #
1.	Telehandler	TH314D HRC
2.	Telehandler	TH314D LRC
3.	Telehandler	TH357D HRC
4.	Telehandler	TH357D LRC
5.	Telehandler	TH408D HRC
6.	Telehandler	TH408D LRC
7.	Telehandler	TH417D HRC
8.	Telehandler	TH417D LRC
9.	Telehandler	TH514D HRC
10.	Telehandler	TH3510D HRC
11.	Telehandler	TH3510D LRC

The following 4 Models have nomenclature update from the Caterpillar SOI:

ITEM	DESCRIPTION	OLD MODEL #	NEW MODEL #
1.	Telehandler	TL1055D HRC	TL1055 HRC
2.	Telehandler	TL1055D LRC	TL1055 LRC
3.	Telehandler	TL1255D HRC	TL1255 HRC
4.	Telehandler	TL1255D LRC	TL1255 LRC

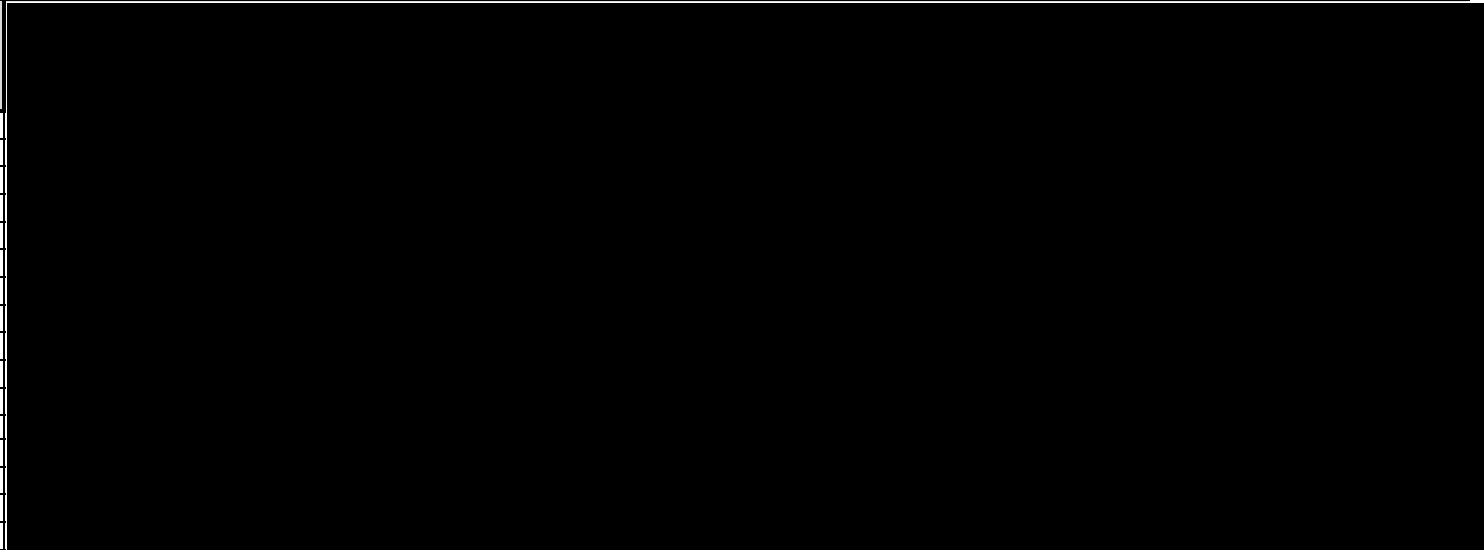
All other terms and conditions of contract SPE8EC-18-D-0020 remain the same.

CATERPILLAR INC.

DLA Base Contract Number: SPE8EC-18-D-0020

Cage Code: 11083

Description of Item	Make/Model Number
Caterpillar Rough Terrain Forklift	930K ATFL
Caterpillar Rough Terrain Forklift	930K ADL
Caterpillar Rough Terrain Forklift	938K LRC
Caterpillar Rough Terrain Forklift	986K LRC
Caterpillar Rough Terrain Forklift	986K HRC
Caterpillar Telehandler	TH255C HRC
Caterpillar Telehandler	TH255C LRC
Caterpillar Telehandler	TL642D HRC
Caterpillar Telehandler	TL642D LRC
Caterpillar Telehandler	TL943D HRC
Caterpillar Telehandler	TL943D LRC
Caterpillar Telehandler	TL1055 HRC
Caterpillar Telehandler	TL1055 LRC
Caterpillar Telehandler	TL1255 HRC
Caterpillar Telehandler	TL1255 LRC



AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT

1. CONTRACT ID CODE
K

PAGE 1 OF 3

2. AMENDMENT/MODIFICATION NO. P00004		3. EFFECTIVE DATE See Blk. 16C	4. REQUISITION/PURCHASE REQ. NO. See Block 14	5. PROJECT NO. (If applicable)
6. ISSUED BY DLA TROOP SUPPORT CONSTRUCTION & EQUIPMENT (HEPP) 700 ROBBINS AVENUE PHILADELPHIA PA 19111-5096 USA Initiator: Shane Moore DSM0144 Tel: DSN312-444-8635 Email: Shane.Moore@dla.mil	CODE	SPE8EC	7. ADMINISTERED BY (If other than Item 6) DCMA CHICAGO 1523 WEST CENTRAL ROAD ARLINGTON HEIGHTS IL 60005-2451 USA	CODE S1403A

8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) CATERPILLAR INC. DBA Caterpillar Caterpillar Defense 100 NE Adams St AB6310 PEORIA IL 61629-0001 USA	(X)	9A. AMENDMENT OF SOLICITATION NO.
		9B. DATED (SEE ITEM 11)
	X	10A. MODIFICATION OF CONTRACT/ORDER NO. SPE8EC-18-D-0020
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CODE 11083	FACILITY CODE	

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15A. NAME AND TITLE OF SIGNER (Type or print)	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Robert F Spadaro Jr
15B. CONTRACTOR/OFFEROR (Signature of person authorized to sign)	15C. DATE SIGNED
16B. UNITED STATES OF AMERICA SPADARO.ROBERT.F.JR.129 1915040 Digitally signed by SPADARO.ROBERT.F.JR.1291915040 Date: 2020.03.31 17:04:53 -04'00'	16C. DATE SIGNED 2020 MAR 31 (Signature of Contracting Officer)

SECTION J - LIST OF ATTACHMENTS**List of Attachments**

File Name	Description
ATTACH_Signed	SPE8EC-18-D-0020 Caterpillar Signed P00004. pdf

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT

1. CONTRACT ID CODE
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PAGE 1 OF 3

2. AMENDMENT/MODIFICATION NO. P00004		3. EFFECTIVE DATE See Blk. 16C	4. REQUISITION/PURCHASE REQ. NO. See Block 14	5. PROJECT NO. (If applicable)
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8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) CATERPILLAR INC. DBA Caterpillar Caterpillar Defense 100 NE Adams St AB6310 PEORIA IL 61629-0001 USA	(X)	9A. AMENDMENT OF SOLICITATION NO.
		9B. DATED (SEE ITEM 11)
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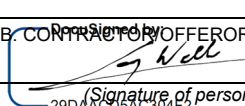
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14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

See Continuation Sheet

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Jay walton General Manager, Caterpillar Defense		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)	
15B. CONTRACTING OFFEROR  (Signature of person authorized to sign)	15C. DATE SIGNED 3/11/2020	16B. UNITED STATES OF AMERICA	16C. DATE SIGNED

DS aac DS JB DS RT

CONTINUATION SHEET

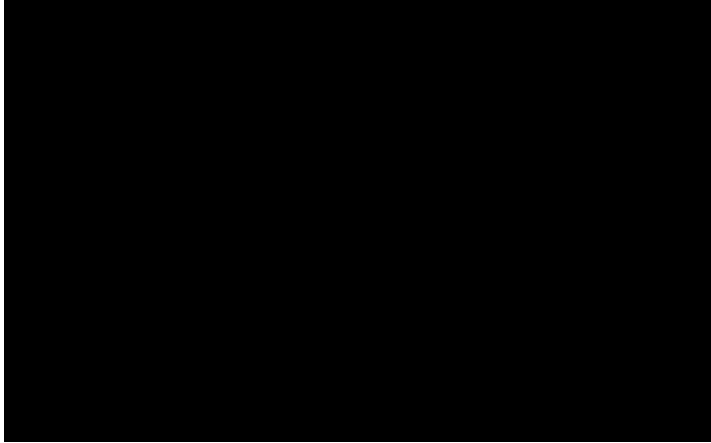
REFERENCE NO. OF DOCUMENT BEING CONTINUED:
SPE8EC-18-D-0020 / P00004

PAGE 2 OF 3 PAGES

Contract SPE8EC-18-D-0016 is hereby modified as follows:

1. In accordance with FAR 52.216-2, Economic Price Adjustment-Standard Supplies (Jan 1997), Caterpillar Inc.'s price list with Model Pricing and Discounts, dated 01/02/2020 are hereby replaced by pages 3 of subject modification to reflect Caterpillar, Inc.'s current base list price.

2. The following locations are updated as Places of Inspection and Acceptance for Caterpillar:



3. All other terms and conditions remain unchanged.

SPE8EC-18-D-0020 P0004**CATERPILLAR (MHE)**

Item #	Description of Item	Make/ Model Number
1	Caterpillar Rough Terrain Forklift	930K ATFL
2	Caterpillar Rough Terrain Forklift	986K LRC
3	Caterpillar Rough Terrain Forklift	986K HRC
4	Caterpillar Telehandler	TH255C HRC
5	Caterpillar Telehandler	TH255C LRC
6	Caterpillar Telehandler	TL642D HRC
7	Caterpillar Telehandler	TL642D LRC
8	Caterpillar Telehandler	TL943D HRC
9	Caterpillar Telehandler	TL943D LRC
10	Caterpillar Telehandler	TL1055D HRC
11	Caterpillar Telehandler	TL1055D LRC
12	Caterpillar Telehandler	TL1255D HRC
13	Caterpillar Telehandler	TL1255D LRC
14	Caterpillar Telehandler	TH314D HRC
15	Caterpillar Telehandler	TH314D LRC
16	Caterpillar Telehandler	TH357D HRC
17	Caterpillar Telehandler	TH357D LRC
18	Caterpillar Telehandler	TH408D HRC
19	Caterpillar Telehandler	TH408D LRC
20	Caterpillar Telehandler	TH417D HRC
21	Caterpillar Telehandler	TH417D LRC
22	Caterpillar Telehandler	TH514D HRC
23	Caterpillar Telehandler	TH3510D HRC
24	Caterpillar Telehandler	TH3510D LRC

THE VOLUME DISCOUNTS APPLY TO THE BASE UNIT AND THE ATTACHMENTS/OPTIONAL FEATURES

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT

1. CONTRACT ID CODE
K

PAGE 1 OF 2

2. AMENDMENT/MODIFICATION NO. P00003		3. EFFECTIVE DATE See Blk. 16C	4. REQUISITION/PURCHASE REQ. NO. See Block 14	5. PROJECT NO. (If applicable)
6. ISSUED BY DLA TROOP SUPPORT CONSTRUCTION & EQUIPMENT (HEPP) 700 ROBBINS AVENUE PHILADELPHIA PA 19111-5096 USA Initiator: Melissa Rios-Thomas PSPTADG Tel: 215-737-3629 FAX: 220 737-8064 Email: MELISSA.RIOS-THOMAS@DLA.MIL	CODE	SPE8EC	7. ADMINISTERED BY (If other than Item 6) DCMA CHICAGO 1523 WEST CENTRAL ROAD ARLINGTON HEIGHTS IL 60005-2451 USA	CODE S1403A

8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) Caterpillar Inc. DBA Caterpillar GOVERNMENTAL AND DEFENSE PRODUCTS 100 NE Adams St AB6310 PEORIA IL 61629-0001 USA	(X)	9A. AMENDMENT OF SOLICITATION NO.
		9B. DATED (SEE ITEM 11)
	X	10A. MODIFICATION OF CONTRACT/ORDER NO. SPE8EC-18-D-0020
		10B. DATED (SEE ITEM 13) 2018 MAY 08
CODE 11083	FACILITY CODE	

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods:
 (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

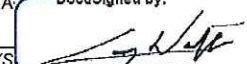

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103 (b).
X	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: FAR 52.216-2
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor is not, is required to sign this document and return 1 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

See Continuation Sheet

DS <input checked="" type="checkbox"/> As provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.	
GP A NAME AND TITLE OF SIGNER (Type or print) Jay Walton General Manager, Caterpillar Defense	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Melissa Rios Thomas
DS ONTRA DocuSigned by:  sign) FAA9382705CE4AC...	15C. DATE SIGNED 3/21/2019
	16B. UNITED STATES OF AMERICA  (Signature of Contracting Officer)
	16C. DATE SIGNED 3/25/19

SPE8EC-18-D-0020 / P00003

Contract SPE8EC-18-D-0020 is hereby modified as follows:

1. Caterpillar Commercial Price List with the Model Pricing and Discounts (Base List Price Only) on page 12 of the Schedule of Items (SOI) in Contract SPE8EC-18-D-0020 is hereby replaced by page 3 of the subject modification to reflect the price increases for 24 of the 24 models identified in the Commercial Price List.
2. All other terms and conditions remain unchanged.

SPE8EC-18-D-0020 P0003**CATERPILLAR (MHE)**

Item #	Description of Item	Make/ Model Number
1	Caterpillar Rough Terrain Forklift	930K ATFL
2	Caterpillar Rough Terrain Forklift	986H LRC
3	Caterpillar Rough Terrain Forklift	986K HRC
4	Caterpillar Telehandler	TH255C HRC
5	Caterpillar Telehandler	TH255C LRC
6	Caterpillar Telehandler	TL642D HRC
7	Caterpillar Telehandler	TL642D LRC
8	Caterpillar Telehandler	TL943D HRC
9	Caterpillar Telehandler	TL943D LRC
10	Caterpillar Telehandler	TL1055D HRC
11	Caterpillar Telehandler	TL1055D LRC
12	Caterpillar Telehandler	TL1255D HRC
13	Caterpillar Telehandler	TL1255D LRC
14	Caterpillar Telehandler	TH314D HRC
15	Caterpillar Telehandler	TH314D LRC
16	Caterpillar Telehandler	TH357D HRC
17	Caterpillar Telehandler	TH357D LRC
18	Caterpillar Telehandler	TH408D HRC
19	Caterpillar Telehandler	TH408D LRC
20	Caterpillar Telehandler	TH417D HRC
21	Caterpillar Telehandler	TH417D LRC
22	Caterpillar Telehandler	TH514D HRC
23	Caterpillar Telehandler	TH3510D HRC
24	Caterpillar Telehandler	TH3510D LRC

THE VOLUME DISCOUNTS APPLY TO THE BASE UNIT AND THE ATTACHMENTS/OPTIONAL FEATURES

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT				1. CONTRACT ID CODE K	PAGE 1 OF 2
2. AMENDMENT/MODIFICATION NO. P00002		3. EFFECTIVE DATE See Blk. 16C	4. REQUISITION/PURCHASE REQ. NO. See Block 14		5. PROJECT NO. (If applicable)
6. ISSUED BY DLA TROOP SUPPORT CONSTRUCTION & EQUIPMENT (HEPP) 700 ROBBINS AVENUE PHILADELPHIA PA 19111-5096 USA Initiator: Judith HENRY PEPCDA3 Tel: 215-737-7266 FAX: 215-737-0396 Email: JUDITH.HENRY@DLA.MIL		CODE SPE8EC	7. ADMINISTERED BY (If other than Item 6) DCMA CHICAGO 1523 WEST CENTRAL ROAD ARLINGTON HEIGHTS IL 60005-2451 USA		CODE S1403A
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) Caterpillar Inc. DBA Caterpillar GOVERNMENTAL AND DEFENSE PRODUCTS 100 NE Adams St AB6310 PEORIA IL 61629-0001 USA			(X)	9A. AMENDMENT OF SOLICITATION NO.	
			(X)	9B. DATED (SEE ITEM 11)	
			(X)	10A. MODIFICATION OF CONTRACT/ORDER NO. SPE8EC-18-D-0020	
			(X)	10B. DATED (SEE ITEM 13) 2018 MAY 08	
CODE 11083		FACILITY CODE			

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods:
 (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

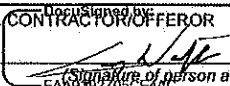
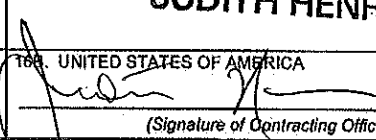
CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103 (b).
X	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: FAR 52.212-4(c)
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor is not, is required to sign this document and return 1 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

See Continuation Sheet

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Jay Walton General Manager, Caterpillar Defense		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) JUDITH HENRY	
15B. CONTRACTOR/OFFEROR  (Signature of person authorized to sign)	15C. DATE SIGNED 11/13/2018	16B. UNITED STATES OF AMERICA  (Signature of Contracting Officer)	16C. DATE SIGNED 11/13/18

NSN 7540-01-152-8070
Previous edition unusable

STANDARD FORM 30 (REV. 10-83)
Prescribed by GSA FAR (48 CFR) 53.243

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CONTINUATION SHEET	REFERENCE NO. OF DOCUMENT BEING CONTINUED: SPE8EC-18-D-0020 / P00002	PAGE 2 OF 2 PAGES
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CLAUSE 52-204-23 PROHIBITION ON CONTRACTING, HARDWARE, SOFTWARE, AND SERVICES DEVELOPED OR PROVIDED BY KASPERSKY LAB AND OTHER COVERED ENTITIES (July 2018) IS HEREBY INCORPORATED INTO BASIC CONTRACT AS SHOWN BELOW:

52.204-23 PROHIBITION ON CONTRACTING FOR HARDWARE, SOFTWARE, AND SERVICES DEVELOPED OR PROVIDED BY KASPERSKY LAB AND OTHER COVERED ENTITIES (JUL 2018) FAR

As prescribed in 4.2004, insert the following clause:

(a) Definitions. As used in this clause --

Covered article means any hardware, software, or service that --

(1) Is developed or provided by a covered entity;

(2) Includes any hardware, software, or service developed or provided in whole or in part by a covered entity; or

(3) Contains components using any hardware or software developed in whole or in part by a covered entity.

Covered entity means --

(1) Kaspersky Lab;

(2) Any successor entity to Kaspersky Lab;

(3) Any entity that controls, is controlled by, or is under common control with Kaspersky Lab; or

(4) Any entity of which Kaspersky Lab has a majority ownership.

(b) Prohibition. Section 1634 of Division A of the National Defense Authorization Act for Fiscal Year 2018 (Pub. L. 115-91) prohibits Government use of any covered article. The Contractor is prohibited from --

(1) Providing any covered article that the Government will use on or after October 1, 2018; and

(2) Using any covered article on or after October 1, 2018, in the development of data or deliverables first produced in the performance of the contract.

(c) Reporting requirement. (1) In the event the Contractor identifies a covered article provided to the Government during contract performance, or the Contractor is notified of such by a subcontractor at any tier or any other source, the Contractor shall report, in writing, to the Contracting Officer or, in the case of the Department of Defense, to the website at <https://dibnet.dod.mil>. For indefinite delivery contracts, the Contractor shall report to the Contracting Officer for the indefinite delivery contract and the Contracting Officer(s) for any affected order or, in the case of the Department of Defense, identify both the indefinite delivery contract and any affected orders in the report provided at <https://dibnet.dod.mil>.

(2) The Contractor shall report the following information pursuant to paragraph (c) (1) of this clause:

(i) Within 1 business day from the date of such identification or notification: The contract number; the order number

(s), if applicable; supplier name; brand; model number (Original Equipment Manufacturer (OEM) number, manufacturer part number, or wholesaler number); item description; and any readily available information about mitigation actions undertaken or recommended.

(ii) Within 10 business days of submitting the report pursuant to paragraph (c) (1) of this clause: Any further available information about mitigation actions undertaken or recommended. In addition, the Contractor shall describe the efforts it undertook to prevent use or submission of a covered article, any reasons that led to the use or submission of the covered article, and any additional efforts that will be incorporated to prevent future use or submission of covered articles.

(d) Subcontracts. The Contractor shall insert the substance of this clause, including this paragraph (d), in all subcontracts, including subcontracts for the acquisition of commercial items.

(End of clause)

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT 1. CONTRACT ID CODE K PAGE 1 OF 3

2. AMENDMENT/MODIFICATION NO. P00001	3. EFFECTIVE DATE See Blk. 10C	4. REQUISITION/PURCHASE REQ. NO. See Block 14	5. PROJECT NO. (if applicable)
6. ISSUED BY DLA TROOP SUPPORT CONSTRUCTION & EQUIPMENT (HEPP) 700 ROBBINS AVENUE PHILADELPHIA PA 19111-5096 USA Initiator: Robert F Spadaro Jr PEPCAAAF Tel: 215-737-0981 FAX: 215-737-3841 Email: robert.spadaro@dlm.mil	CODE SPEBEC	7. ADMINISTERED BY (if other than Item 6) DCMA CHICAGO 1523 WEST CENTRAL ROAD ARLINGTON HEIGHTS IL 60005-2451 USA	CODE S1403A

8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) Caterpillar Inc. DBA Caterpillar GOVERNMENTAL AND DEFENSE PRODUCTS 100 NE Adams St AB6310 PEORIA IL 61629-0001 USA	(X)	9A. AMENDMENT OF SOLICITATION NO.
		9B. DATED (SEE ITEM 11)
	X	10A. MODIFICATION OF CONTRACT/ORDER NO. SPEBEC-18-D-0020
		10B. DATED (SEE ITEM 13) 2018 MAY 08

CODE 11083 FACILITY CODE

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended.
Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods:
(a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (if required)

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103 (b).
X	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: FAR 52.216-2, FAR 52-212-4
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor is not, is required to sign this document and return 1 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

See Continuation Sheet

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Jay B. Walton General Manager - Caterpillar Defense	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) ROBERT F. SPADARO JR
15B. CONTRACTOR/OFFEROR (Signature of person authorized to sign)	16B. UNITED STATES OF AMERICA ROBERT F. SPADARO JR (Signature of Contracting Officer)
15C. DATE SIGNED 5 Oct. 2018	16C. DATE SIGNED 10/11/18

Contract SPE8EC-18-D-0020 is hereby modified as follows:

1. Caterpillar Commercial Price List with the Model Pricing and Discounts (Base List Price Only) on page 12 of the Schedule of Items (SOI) in Contract SPE8EC-18-D-0020 is hereby replaced by page 3 of the subject modification to reflect the price increases for 24 of the 24 models identified in the Commercial Price List.



3. All other terms and conditions remain unchanged.



SPE8EC-18-D-0020 P0001

CATERPILLAR (MHE)

Item #	Description of Item	Make/ Model Number
1	Caterpillar Rough Terrain Forklift	930K ATFL
2	Caterpillar Rough Terrain Forklift	986H LRC
3	Caterpillar Rough Terrain Forklift	986K HRC
4	Caterpillar Telehandler	TH255C HRC
5	Caterpillar Telehandler	TH255C LRC
6	Caterpillar Telehandler	TL642D HRC
7	Caterpillar Telehandler	TL642D LRC
8	Caterpillar Telehandler	TL943D HRC
9	Caterpillar Telehandler	TL943D LRC
10	Caterpillar Telehandler	TL1055D HRC
11	Caterpillar Telehandler	TL1055D LRC
12	Caterpillar Telehandler	TL1255D HRC
13	Caterpillar Telehandler	TL1255D LRC
14	Caterpillar Telehandler	TH314D HRC
15	Caterpillar Telehandler	TH314D LRC
16	Caterpillar Telehandler	TH357D HRC
17	Caterpillar Telehandler	TH357D LRC
18	Caterpillar Telehandler	TH408D HRC
19	Caterpillar Telehandler	TH408D LRC
20	Caterpillar Telehandler	TH417D HRC
21	Caterpillar Telehandler	TH417D LRC
22	Caterpillar Telehandler	TH514D HRC
23	Caterpillar Telehandler	TH3510D HRC
24	Caterpillar Telehandler	TH3510D LRC

THE VOLUME DISCOUNTS APPLY TO THE BASE UNIT AND THE ATTACHMENTS/OPTIONAL FEATURES

SOLICITATION/CONTRACT/ORDER FOR COMMERCIAL ITEMS
OFFEROR TO COMPLETE BLOCKS 12, 17, 23, 24, & 30

1. REQUISITION NUMBER: 1000051833
 2. CONTRACT NO.: SPE8EC-18-D-0020
 3. AWARD/EFFECTIVE DATE: 2018 MAY 25
 4. ORDER NUMBER: [Blank]
 5. SOLICITATION NUMBER: SPE8EC-17-R-0002
 6. SOLICITATION ISSUE DATE: 12/02/2016

7. FOR SOLICITATION INFORMATION CALL: [Blank] **Louis Cooker**
 a. NAME: Louis Cooker
 b. TELEPHONE NUMBER (No collect calls): (215)737-0342
 8. OFFER DUE DATE/LOCAL TIME: 01/04/2017

9. ISSUED BY: DLA Troop Support Construction & Equipment (Supply Chain) 700 Robbins Avenue Philadelphia, PA 19111-5096
 CODE: SPE8EC
 10. THIS ACQUISITION IS: UNRESTRICTED OR SET ASIDE: _____ % FOR:
 SMALL BUSINESS WOMEN-OWNED SMALL BUSINESS (WOSB) ELIGIBLE UNDER THE WOMEN-OWNED SMALL BUSINESS PROGRAM NAICS: 333924
 HUBZONE SMALL BUSINESS EDWOSB SIZE STANDARD: 750
 SERVICE-DISABLED VETERAN-OWNED SMALL BUSINESS 8 (A)

11. DELIVERY FOR FOB DESTINATION UNLESS BLOCK IS MARKED: SEE SCHEDULE
 12. DISCOUNT TERMS: [Blank]
 13a. THIS CONTRACT IS A RATED ORDER UNDER DPAS (15 CFR 700)
 13b. RATING: To Be Shown on Each Order
 14. METHOD OF SOLICITATION: RFQ IFB RFP

16. DELIVER TO: To Be Shown On Each Order
 CODE: [Blank]
 16. ADMINISTERED BY: DCMA Chicago 1523 West Central Road, BLDG 203 Arlington Heights, IL 60005-2451
 CODE: S1403A

17a. CONTRACTOR/OFFEROR: Caterpillar Inc. Caterpillar Defense 100 NE Adams Street, Suite AB6310 Peoria, IL 61629-0001 TELEPHONE NO. (309)494-0529
 CODE: 11083 FACILITY CODE: [Blank]
 18a. PAYMENT WILL BE MADE BY: DFAS (SL4701) BSM P.O. Box 182317 Columbus, OH 43218-2317
 CODE: SL4701

17b. CHECK IF REMITTANCE IS DIFFERENT AND PUT SUCH ADDRESS IN OFFER:
 18b. SUBMIT INVOICES TO ADDRESS SHOWN IN BLOCK 18a UNLESS BLOCK BELOW IS CHECKED: SEE ADDENDUM

18. ITEM NO.	20. SCHEDULE OF SUPPLIES/SERVICES	21. QUANTITY	22. UNIT	23. UNIT PRICE	24. AMOUNT
	See Page 12				
(Use Reverse and/or Attach Additional Sheets as Necessary)					

25. ACCOUNTING AND APPROPRIATION DATA: To Be Shown On Each Order
 26. TOTAL AWARD AMOUNT (For Govt. Use Only): \$235,000,000.00 (ESTIMATE)

27a. SOLICITATION INCORPORATES BY REFERENCE FAR 62.212-1, 52.212-4. FAR 62.212-3 AND 62.212-5 ARE ATTACHED. ADDENDA: ARE ARE NOT ATTACHED
 27b. CONTRACT/PURCHASE ORDER INCORPORATES BY REFERENCE FAR 62.212-4. FAR 62.212-5 IS ATTACHED. ADDENDA: ARE ARE NOT ATTACHED

28. CONTRACTOR IS REQUIRED TO SIGN THIS DOCUMENT AND RETURN 1 COPIES TO ISSUING OFFICE. CONTRACTOR AGREES TO FURNISH AND DELIVER ALL ITEMS SET FORTH OR OTHERWISE IDENTIFIED ABOVE AND ON ANY ADDITIONAL SHEETS SUBJECT TO THE TERMS AND CONDITIONS SPECIFIED
 29. AWARD OF CONTRACT: REF. Caterpillar Inc. OFFER DATED 03/06/2018. YOUR OFFER ON SOLICITATION (BLOCK 8), INCLUDING ANY ADDITIONS OR CHANGES WHICH ARE SET FORTH HEREIN, IS ACCEPTED AS TO ITEMS: MHE

30a. SIGNATURE OF OFFEROR/CONTRACTOR: Jay B. Walton
 31a. UNITED STATES OF AMERICA (SIGNATURE OF CONTRACTING OFFICER): Louis Cooker

30b. NAME AND TITLE OF SIGNER (Type or print): General manager Caterpillar Defense
 30c. DATE SIGNED: 25 May 2018
 31b. NAME OF CONTRACTING OFFICER (Type or print): Louis Cooker
 31c. DATE SIGNED: 05/25/2018

CATERPILLAR INC.**1) CONTRACT AWARD**

a. This contract constitutes the Government's acceptance of the Contractor's offer, including all revisions that were approved and accepted by the Government, under Requests for Proposals (RFPs) SPE8EC-17-R-0002, as amended by Amendments 0001, 0002, 0003, 0004 and 0005. It is an Indefinite Delivery/Requirements Contract under which the Contractor shall function as a supplier for Material Handling Equipment (MHE).

b. Performance under this contract shall be governed by the Statement of Work (SOW) and terms and conditions of RFPs SPE8EC-17-R-0002, as amended, and, the following terms and conditions negotiated prior to award that were acceptable to both the Contractor and the Government.

c. Caterpillar Inc.'s approved Commercial Subcontracting Plan is valid through December 31, 2018.

d. The product base list unit prices set forth in the contractor's proposal dated March 6, 2018, and the final proposal discounts dated May 9, 2018, are applicable to the items specified in this contract and are shown on page 12 of this contract. The proposed product base list unit prices are from Caterpillar Inc.'s [REDACTED] Commercial Price List.

The negotiated discounts on page 12 of this contract shall remain in effect for the entire five-year contract period. The contract pricing (catalog price minus discount) shall be the ceiling price for any delivery order awarded under the contract. At any time, the contractor can offer discounts greater than the contractual discounts.

e. CAUTION - CONTRACTOR CODE OF BUSINESS ETHICS (FEB 2012)

FAR Part 3.1002(a) requires all government contractors to conduct themselves with the highest degree of integrity and honesty. Contractors should have a written code of business ethics and conduct within thirty days of award. To promote compliance with such code of business ethics and conduct, contractors should have an employee business ethics and compliance training program that facilitates timely discovery and disclosure of improper conduct in connection with government contracts and ensures corrective measures are promptly instituted and carried out. A contractor may be suspended and/or debarred for knowing failure by a principal to timely disclose to the government, in connection with the award, performance, or closeout of a government contract performed by the contractor or a subcontract awarded there under, credible evidence of a violation of federal criminal law involving fraud, conflict of interest, bribery, or gratuity violations found in title 18 of the United States Code or a violation of the False Claims Act. (31 U.S.C. 3729-3733)

If this solicitation or contract includes FAR clause 52.203-13 - CONTRACTOR CODE OF BUSINESS ETHICS AND CONDUCT; the contractor shall comply with the terms of the clause and have a written code of business ethics and conduct; exercise due diligence to prevent and detect criminal conduct; promote ethical conduct and a commitment to compliance with the law within their organization; and timely report any violations of federal criminal law involving fraud, conflict of interest, bribery or gratuity violations found in title 18 of the United States Code or any violations of the False Claims Act. (31 U.S.C. 3729-3733). When FAR 52.203-13 is included in the contract, contractors must provide a copy of its written code of business ethics and conduct to the contracting officer upon request by the contracting officer.

Note: FAR clause 52.203-13 – Contractor Code of Business Ethics and Conduct is incorporated by reference on page 7 of this contract.

2) CONTRACT PERIOD

Any supplies to be furnished under this contract shall be ordered by issuance of delivery orders by DLA Troop Support. The ordering period shall commence on the date of award/contract and shall continue for five (5) calendar years after the effective date of the award/contract.

The "Total Award Amount" listed in block twenty six (26) on page one (1) of this contract (\$235,000,000.00) is the estimated value of the five (5) year contract and represents the combined five (5) year value of all MHE contracts to be awarded under SPE8EC-17-R-0002, not this contract alone. The maximum dollar value that may be obligated against the contract throughout the five (5) year contract period is \$400,000,000.00.

3) DELIVERY ORDER LIMITATIONS

- (a) **Minimum Order:** When the Government requires supplies or services covered by this contract in an amount of less than one each, the Government is not obligated to purchase, nor is the Contractor obligated to furnish those supplies or services under the contract.
- (b) **Maximum Order:** The Contractor is not obligated to honor -
 - (1) Any order for a single item is excess of \$100,000,000.00
 - (2) Any order for a combination of items in excess of \$100,000,000.00; or
 - (3) A series of orders from the same ordering office within five (5) days that together call for quantities exceeding the limitations in subparagraph (b)(1) or (b)(2) of this section.
- (c) The Government is not required to order a part of any one requirement from the Contractor if that requirement exceeds the maximum order limitations in paragraph (b) of this section.
- (d) Notwithstanding paragraphs (b) and (c) of this section, the Contractor shall honor any order exceeding the maximum order limitations in paragraph (b), unless that order (or orders) is returned to the ordering office within thirty (30) days after issuance, with written notice stating the Contractor's intent not to ship the item (or items) called for and the reasons. Upon receiving this notice, the Government may acquire the supplies or services from another source.

4) CONTRACT UNIT PRICES

- (a) The product base list unit prices set forth in the contractor's proposal dated March 6, 2018, and the final proposal discounts dated May 9, 2018, are applicable to the items specified in this contract and are shown on page 12 of this contract.
- (b) Additions to the above item. The unit prices and initial delivery schedules for any item(s) added to the initial list of contract items shall be established based on negotiations between the Government and the Contractor. The unit prices must be determined fair and reasonable by the Contracting Officer prior to addition to the contract.

5) PAYMENT

- a. **Terms:** Payment terms are [REDACTED]
- b. Prompt Payment Procedures apply.
- c. **Remittance Address:** The Contractor's remittance address is as follows:

[REDACTED]

[REDACTED]

- 6) CONTRACT ADMINISTRATION:** Administration of the contract is the responsibility of the Defense Contract Management Agency (DCMA) Chicago, IL.

ADDENDUM TO FAR 52.212-4

The clauses listed below are incorporated by reference with the same force and effect as if it was given in full text:

FAR 52.211-15, Defense Priority and Allocation Requirements (APR 2008)
FAR 52.222-20, Contracts for Materials, Supplies, Articles, and Equipment Exceeding \$15,000 (MAY 2014)
FAR 52.232-39, Unenforceability of Unauthorized Obligations (JUN 2013)
FAR 52.232-40, Providing Accelerated Payments to Small Business Contractors (DEC 2013)
FAR 52.242-5, Payments to Small Business Subcontractors (JAN 2017)
DFARS 252.204-7004, Alternate A, System for Award Management (FEB 2014)
DFARS 252.222-7007, Representation Regarding Combating Trafficking in Persons (JAN 2015)
DFARS 252.225-7048, Export Controlled Items (JUN 2013)
DFARS 252.232-7006, Wide Area Workflow Payment Instructions (MAY 2013)

The following Federal Acquisition Regulation (FAR) clause is included in the contract by reference to implement provisions of law or Executive orders applicable to acquisitions of commercial items or components:

FAR 52.203-3, Gratuities (APR 1984)

The following DFARS clauses are included in this contract by reference to implement provisions of law or Executive orders applicable to acquisitions of commercial items or components:

DFARS 252.203-7000, Requirements Relating to Compensation of Former DoD Officials (SEP 2011)
DFARS 252.203-7003, Agency Office of the Inspector General (DEC 2012)
DFARS 252.203-7005, Representation Relating to Compensation of Former DoD Officials (NOV 2011)
DFARS 252.204-7012, Safeguarding Covered Defense Information and Cyber Incident Reporting (OCT 2016)
DFARS 252.204-7015, Notice of Authorized Disclosure of Information for Litigation Support (MAY 2016)
DFARS 252.205-7000, Provision of Information to Cooperative Agreement Holders (DEC 1991)
DFARS 252.211-7003, Item Unique Identification and Valuation (MAR 2016)
DFARS 252.211-7006, Passive Radio Frequency Identification (MAR 2018)
DFARS 252.219-7003, Small Business Subcontracting Plan (DoD Contracts) (APR 2018)
DFARS 252.223-7008, Prohibition of Hexavalent Chromium (JUN 2013)
DFARS 252.225-7012, Preference for Certain Domestic Commodities (DEC 2017)
DFARS 252.225-7021, Trade Agreements (DEC 2017)
DFARS 252.225-7027, Restriction on Contingent Fees for Foreign Military Sales (APR 2003)
DFARS 252.225-7028, Exclusionary Policies and Practices of Foreign Governments (APR 2003)
DFARS 252.225-7031, Secondary Arab Boycott of Israel (JUN 2005)
DFARS 252.226-7001, Utilization of Indian Organizations, Indian-Owned Economic Enterprises, and Native Hawaiian Small Business Concerns (SEP 2004)
DFARS 252.227-7015, Technical Data -- Commercial Items (FEB 2014)
DFARS 252.227-7037, Validation of Restrictive Markings on Technical Data (SEP 2016)
DFARS 252.232-7003, Electronic Submission of Payment Requests and Receiving Reports (JUN 2012)
DFARS 252.232-7010, Levies on Contract Payments (DEC 2006)
DFARS 252.243-7002, Requests for Equitable Adjustment (DEC 2012)
DFARS 252.244-7000, Subcontracts for Commercial Items (JUN 2013)
DFARS 252.247-7023, Transportation of Supplies by Sea (APR 2014)
DFARS 252.247-7028, Application for U.S. Government Shipping Documentation/Instructions (JUN 2012)

In addition to the clauses listed in paragraph (e) of FAR 52.212-5, Contract Terms and Conditions Required to Implement Statutes or Executive Orders-Commercial Items, the Contractor shall include the terms of the following clauses, if applicable, in subcontracts for commercial items or commercial components, awarded at any tier under this contract:

DFARS 252.227-7013, Rights in Technical Data – Noncommercial Items (FEB 2014)

DFARS 252.227-7015, Technical Data – Commercial Items (FEB 2014)

DFARS 252.227-7037, Validation of Restrictive Markings on Technical Data (SEP 2016)

DFARS 252.237-7010, Prohibition on Interrogation of Detainees by Contractor Personnel (JUN 2013)

DFARS 252.237-7019, Training for Contractor Personnel Interacting with Detainees (JUN 2013)

DFARS 252.247-7003, Pass-Through of Motor Carrier Fuel Surcharge Adjustment to the Cost Bearer (JUN 2013)

DFARS 252.247-7023, Transportation of Supplies by Sea (APR 2014)

DFARS 252.247-7024, Notification of Transportation of Supplies by Sea (MAR 2000)

TIME OF DELIVERY – F.O.B. POINT

(a) Time of Delivery

With respect to each delivery order issued under this contract, the contractor shall make delivery within the number of days specified on page 12 of this contract for the applicable line item after the date of order, unless the contractor agrees to a different delivery requirement of a particular delivery order.

(b) F.O.B. Point

With respect to all contract line items this contract is awarded on the basis of delivery F.O.B. Origin.

With respect to all contract line items this contract is awarded on the basis of delivery F.O.B. Destination for all resulting contract delivery orders.

PLACE OF PERFORMANCE GOVERNMENT INSPECTION ACCEPTANCE AND SHIPPING POINT

(a) Place of Performance:

(1) Items will be manufactured at the following locations:

<u>ITEM NO.</u>	<u>PLANT NAME AND ADDRESS</u>
1	[REDACTED]
2	[REDACTED]
3	[REDACTED]
4-13	[REDACTED]
14-24	[REDACTED]

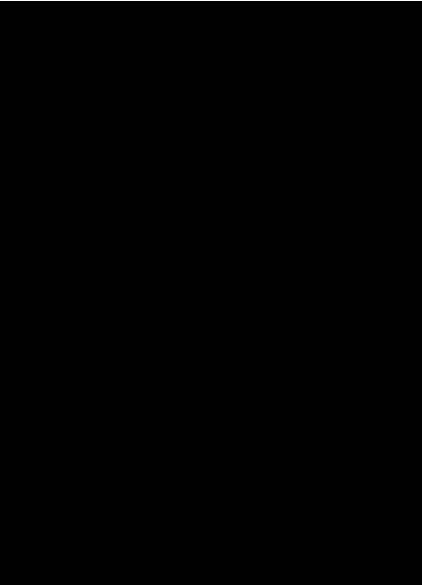
(2) Items will be furnished from stock. Manufacturer (not dealer) is as follows:

(b) Place of Packaging, Packing and Marking:

(1) Same as shown in a(1) above.

(c) Place of Government Inspection:

(1) Material inspection, except as may be indicated in c(2), will be made at the following locations:

<u>ITEM NO.</u>	<u>PLANT NAME AND ADDRESS</u>	<u>GOVERNMENT INSPECTION OFFICE</u>
1		DCMA Hampton (S5111A)
2		DCMA Chicago (S1403A)
3		DCMA Chicago (S1403A)
4-13		DCMA New Cumberland (S4201A)
14-24		DCMA Europe (SGR18A)

(2) Packaging, Packing and Marking inspection (if other than c(1) above) will be made at the following location:

<u>ITEM NO.</u>	<u>PLANT NAME AND ADDRESS</u>	<u>GOVERNMENT INSPECTION OFFICE</u>
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(3) At destination

(d) Place of Acceptance:

(1) At the plant shown and by the Government Inspection Office shown in c(1) above.

(2) At the plant shown and by the Government Inspection Office shown in c(2) above.

(3) At destination by the receiving authority.

(e) APPLICABLE TO F.O.B. ORIGIN SHIPMENTS ON GOVERNMENT BILL OF LADING AWARDS ONLY. Shipment will be made from the contractor's or subcontractor plant(s) identified below. These shipping points were used in the evaluation of contractor's F.O.B. Origin offer. If the contractor ships from a place other than as identified herein, any increase in transportation costs shall be borne by the contractor and any savings shall revert to the Government.

(1) Same as shown in a(1) above.

(2) As shown below:

NOTE: Any change to the Place of Performance, Inspection, and Acceptance must be approved in writing by the Contracting Officer.

The Place of Performance, Government Inspection and Acceptance for all items will be determined upon the issuance of each delivery order.

**52.212-5 Contract Terms and Conditions Required To Implement Statutes or Executive Orders —
Commercial Items (JAN 2018)**

(a) The Contractor shall comply with the following Federal Acquisition Regulation (FAR) clauses, which are incorporated in this contract by reference, to implement provisions of law or Executive orders applicable to acquisitions of commercial items:

(1) **52.203-19**, Prohibition on Requiring Certain Internal Confidentiality Agreements or Statements (JAN 2017) (section 743 of Division E, Title VII, of the Consolidated and Further Continuing Appropriations Act, 2015 (Pub. L. 113-235) and its successor provisions in subsequent appropriations acts (and as extended in continuing resolutions)).

(2) **52.209-10**, Prohibition on Contracting with Inverted Domestic Corporations (Nov 2015).

(3) **52.233-3**, Protest After Award (AUG 1996) (31 U.S.C. 3553).

(4) **52.233-4**, Applicable Law for Breach of Contract Claim (OCT 2004)(Public Laws 108-77 and 108-78 (19 U.S.C. 3805 note)).

(b) The Contractor shall comply with the FAR clauses in this paragraph (b) that the Contracting Officer has indicated as being incorporated in this contract by reference to implement provisions of law or Executive orders applicable to acquisitions of commercial items:

(1) **52.203-6**, Restrictions on Subcontractor Sales to the Government (Sept 2006), with Alternate I (Oct 1995) (41 U.S.C. 4704 and 10 U.S.C. 2402).

(2) **52.203-13**, Contractor Code of Business Ethics and Conduct (Oct 2015) (41 U.S.C. 3509)).

(3) **52.203-15**, Whistleblower Protections under the American Recovery and Reinvestment Act of 2009 (June 2010) (Section 1553 of Pub. L. 111-5). (Applies to contracts funded by the American Recovery and Reinvestment Act of 2009.)

(4) **52.204-10**, Reporting Executive Compensation and First-Tier Subcontract Awards (Oct 2016) (Pub. L. 109-282) (31 U.S.C. 6101 note).

(5) **[Reserved]**.

(6) **52.204-14**, Service Contract Reporting Requirements (Oct 2016) (Pub. L. 111-117, section 743 of Div. C).

(7) **52.204-15**, Service Contract Reporting Requirements for Indefinite-Delivery Contracts (Oct 2016) (Pub. L. 111-117, section 743 of Div. C).

(8) **52.209-6**, Protecting the Government's Interest When Subcontracting with Contractors Debarred, Suspended, or Proposed for Debarment. (Oct 2015) (31 U.S.C. 6101 note).

(9) **52.209-9**, Updates of Publicly Available Information Regarding Responsibility Matters (Jul 2013) (41 U.S.C. 2313).

(10) **[Reserved]**.

(11)(i) **52.219-3**, Notice of HUBZone Set-Aside or Sole-Source Award (Nov 2011) (15 U.S.C. 657a).

(ii) **Alternate I** (Nov 2011) of 52.219-3.

(12)(i) **52.219-4**, Notice of Price Evaluation Preference for HUBZone Small Business Concerns (OCT 2014) (if the offeror elects to waive the preference, it shall so indicate in its offer) (15 U.S.C. 657a).

(ii) **Alternate I** (JAN 2011) of 52.219-4.

(13) **[Reserved]**

(14)(i) **52.219-6**, Notice of Total Small Business Set-Aside (Nov 2011) (15 U.S.C. 644).

(ii) **Alternate I** (Nov 2011).

- ___ (iii) **Alternate II** (Nov 2011).
- ___ (15)(i) **52.219-7**, Notice of Partial Small Business Set-Aside (June 2003) (15 U.S.C. 644).
- ___ (ii) **Alternate I** (Oct 1995) of 52.219-7.
- ___ (iii) **Alternate II** (Mar 2004) of 52.219-7.
- X** (16) **52.219-8**, Utilization of Small Business Concerns (Nov 2016) (15 U.S.C. 637(d)(2) and (3)).
- X** (17)(i) **52.219-9**, Small Business Subcontracting Plan (Jan 2017) (15 U.S.C. 637(d)(4)).
- ___ (ii) **Alternate I** (Nov 2016) of 52.219-9.
- X** (iii) **Alternate II** (Nov 2016) of 52.219-9.
- ___ (iv) **Alternate III** (Nov 2016) of 52.219-9.
- ___ (v) **Alternate IV** (Nov 2016) of 52.219-9.
- ___ (18) **52.219-13**, Notice of Set-Aside of Orders (Nov 2011) (15 U.S.C. 644(r)).
- ___ (19) **52.219-14**, Limitations on Subcontracting (Jan 2017) (15 U.S.C. 637(a)(14)).
- X** (20) **52.219-16**, Liquidated Damages—Subcontracting Plan (Jan 1999) (15 U.S.C. 637(d)(4)(F)(i)).
- ___ (21) **52.219-27**, Notice of Service-Disabled Veteran-Owned Small Business Set-Aside (Nov 2011) (15 U.S.C. 657 f).
- X** (22) **52.219-28**, Post Award Small Business Program Rerepresentation (Jul 2013) (15 U.S.C. 632(a)(2)).
- ___ (23) **52.219-29**, Notice of Set-Aside for, or Sole Source Award to, Economically Disadvantaged Women-Owned Small Business Concerns (Dec 2015) (15 U.S.C.637(m)).
- ___ (24) **52.219-30**, Notice of Set-Aside for, or Sole Source Award to, Women-Owned Small Business Concerns Eligible Under the Women-Owned Small Business Program (Dec 2015) (15 U.S.C. 637(m)).
- X** (25) **52.222-3**, Convict Labor (June 2003) (E.O. 11755).
- X** (26) **52.222-19**, Child Labor—Cooperation with Authorities and Remedies (Jan 2018) (E.O. 3126).
- X** (27) **52.222-21**, Prohibition of Segregated Facilities (Apr 2015).
- X** (28) **52.222-26**, Equal Opportunity (Sept 2016) (E.O. 11246).
- X** (29) **52.222-35**, Equal Opportunity for Veterans (Oct 2015)(38 U.S.C. 4212).
- X** (30) **52.222-36**, Equal Opportunity for Workers with Disabilities (Jul 2014) (29 U.S.C. 793).
- X** (31) **52.222-37**, Employment Reports on Veterans (FEB 2016) (38 U.S.C. 4212).
- X** (32) **52.222-40**, Notification of Employee Rights Under the National Labor Relations Act (Dec 2010) (E.O. 13496).
- X** (33)(i) **52.222-50**, Combating Trafficking in Persons (Mar 2015) (22 U.S.C. chapter 78 and E.O. 13627).
- ___ (ii) **Alternate I** (Mar 2015) of 52.222-50 (22 U.S.C. chapter 78 and E.O. 13627).
- X** (34) **52.222-54**, Employment Eligibility Verification (OCT 2015). (Executive Order 12989). (Not applicable to the acquisition of commercially available off-the-shelf items or certain other types of commercial items as prescribed in 22.1803.)
- ___ (35)(i) **52.223-9**, Estimate of Percentage of Recovered Material Content for EPA–Designated Items (May 2008) (42 U.S.C. 6962(c)(3)(A)(ii)). (Not applicable to the acquisition of commercially available off-the-shelf items.)
- ___ (ii) **Alternate I** (May 2008) of 52.223-9 (42 U.S.C. 6962(i)(2)(C)). (Not applicable to the acquisition of commercially available off-the-shelf items.)
- ___ (36) **52.223-11**, Ozone-Depleting Substances and High Global Warming Potential Hydrofluorocarbons (JUN 2016) (E.O. 13693).
- ___ (37) **52.223-12**, Maintenance, Service, Repair, or Disposal of Refrigeration Equipment and Air Conditioners (JUN 2016) (E.O. 13693).
- ___ (38)(i) **52.223-13**, Acquisition of EPEAT®-Registered Imaging Equipment (JUN 2014) (E.O.s 13423 and 13514).
- ___ (ii) **Alternate I** (Oct 2015) of 52.223-13.
- ___ (39)(i) **52.223-14**, Acquisition of EPEAT®-Registered Televisions (JUN 2014) (E.O.s 13423 and

- 13514).
- ___ (ii) **Alternate I** (Jun 2014) of 52.223-14.
 - ___ (40) **52.223-15**, Energy Efficiency in Energy-Consuming Products (DEC 2007) (42 U.S.C. 8259b).
 - ___ (41)(i) **52.223-16**, Acquisition of EPEAT®-Registered Personal Computer Products (OCT 2015) (E.O.s 13423 and 13514).
 - ___ (ii) **Alternate I** (Jun 2014) of 52.223-16.
 - X (42) **52.223-18**, Encouraging Contractor Policies to Ban Text Messaging While Driving (Aug 2011) (E.O. 13513).
 - ___ (43) **52.223-20**, Aerosols (JUN 2016) (E.O. 13693).
 - ___ (44) **52.223-21**, Foams (JUN 2016) (E.O. 13693).
 - ___ (45)(i) **52.224-3**, Privacy Training (JAN 2017) (5 U.S.C. 552a).
 - ___ (ii) **Alternate I** (JAN 2017) of 52.224-3.
 - ___ (46) **52.225-1**, Buy American—Supplies (May 2014) (41 U.S.C. chapter 83).
 - ___ (47)(i) **52.225-3**, Buy American—Free Trade Agreements—Israeli Trade Act (May 2014) (41 U.S.C. chapter 83, 19 U.S.C. 3301 note, 19 U.S.C. 2112 note, 19 U.S.C. 3805 note, 19 U.S.C. 4001 note, Pub. L. 103-182, 108-77, 108-78, 108-286, 108-302, 109-53, 109-169, 109-283, 110-138, 112-41, 112-42, and 112-43).
 - ___ (ii) **Alternate I** (May 2014) of 52.225-3.
 - ___ (iii) **Alternate II** (May 2014) of 52.225-3.
 - ___ (iv) **Alternate III** (May 2014) of 52.225-3.
 - ___ (48) **52.225-5**, Trade Agreements (OCT 2016) (19 U.S.C. 2501, *et seq.*, 19 U.S.C. 3301 note).
 - X (49) **52.225-13**, Restrictions on Certain Foreign Purchases (June 2008) (E.O.'s, proclamations, and statutes administered by the Office of Foreign Assets Control of the Department of the Treasury).
 - ___ (50) **52.225-26**, Contractors Performing Private Security Functions Outside the United States (Oct 2016) (Section 862, as amended, of the National Defense Authorization Act for Fiscal Year 2008; 10 U.S.C. 2302 Note).
 - ___ (51) **52.226-4**, Notice of Disaster or Emergency Area Set-Aside (Nov 2007) (42 U.S.C. 5150).
 - ___ (52) **52.226-5**, Restrictions on Subcontracting Outside Disaster or Emergency Area (Nov 2007) (42 U.S.C. 5150).
 - ___ (53) **52.232-29**, Terms for Financing of Purchases of Commercial Items (Feb 2002) (41 U.S.C. 4505, 10 U.S.C. 2307(f)).
 - ___ (54) **52.232-30**, Installment Payments for Commercial Items (Jan 2017) (41 U.S.C. 4505, 10 U.S.C. 2307(f)).
 - X (55) **52.232-33**, Payment by Electronic Funds Transfer—System for Award Management (Jul 2013) (31 U.S.C. 3332).
 - ___ (56) **52.232-34**, Payment by Electronic Funds Transfer—Other than System for Award Management (Jul 2013) (31 U.S.C. 3332).
 - ___ (57) **52.232-36**, Payment by Third Party (May 2014) (31 U.S.C. 3332).
 - ___ (58) **52.239-1**, Privacy or Security Safeguards (Aug 1996) (5 U.S.C. 552a).
 - ___ (59) **52.242-5**, Payments to Small Business Subcontractors (JAN 2017)(15 U.S.C. 637(d)(12)).
 - ___ (60)(i) **52.247-64**, Preference for Privately Owned U.S.-Flag Commercial Vessels (Feb 2006) (46 U.S.C. Appx. 1241(b) and 10 U.S.C. 2631).
 - ___ (ii) **Alternate I** (Apr 2003) of 52.247-64.
- (c) The Contractor shall comply with the FAR clauses in this paragraph (c), applicable to commercial services, that the Contracting Officer has indicated as being incorporated in this contract by reference to implement provisions of law or Executive orders applicable to acquisitions of commercial items: [Contracting Officer check as appropriate.]
- ___ (1) **52.222-17**, Nondisplacement of Qualified Workers (May 2014)(E.O. 13495).
 - ___ (2) **52.222-41**, Service Contract Labor Standards (May 2014) (41 U.S.C. chapter 67).
 - ___ (3) **52.222-42**, Statement of Equivalent Rates for Federal Hires (May 2014) (29 U.S.C. 206 and 41 U.S.C. chapter 67).

- ___ (4) **52.222-43**, Fair Labor Standards Act and Service Contract Labor Standards-Price Adjustment (Multiple Year and Option Contracts) (May 2014) (29 U.S.C. 206 and 41 U.S.C. chapter 67).
- ___ (5) **52.222-44**, Fair Labor Standards Act and Service Contract Labor Standards—Price Adjustment (May 2014) (29 U.S.C. 206 and 41 U.S.C. chapter 67).
- ___ (6) **52.222-51**, Exemption from Application of the Service Contract Labor Standards to Contracts for Maintenance, Calibration, or Repair of Certain Equipment—Requirements (May 2014) (41 U.S.C. chapter 67).
- ___ (7) **52.222-53**, Exemption from Application of the Service Contract Labor Standards to Contracts for Certain Services—Requirements (May 2014) (41 U.S.C. chapter 67).
- ___ (8) **52.222-55**, Minimum Wages Under Executive Order 13658 (Dec 2015).
- ___ (9) **52.222-62**, Paid Sick Leave Under Executive Order 13706 (JAN 2017) (E.O. 13706).
- ___ (10) **52.226-6**, Promoting Excess Food Donation to Nonprofit Organizations (May 2014) (42 U.S.C. 1792).
- ___ (11) **52.237-11**, Accepting and Dispensing of \$1 Coin (Sept 2008) (31 U.S.C. 5112(p)(1)).
 (d) *Comptroller General Examination of Record*. The Contractor shall comply with the provisions of this paragraph (d) if this contract was awarded using other than sealed bid, is in excess of the simplified acquisition threshold, and does not contain the clause at 52.215-2, Audit and Records—Negotiation.
 - (1) The Comptroller General of the United States, or an authorized representative of the Comptroller General, shall have access to and right to examine any of the Contractor's directly pertinent records involving transactions related to this contract.
 - (2) The Contractor shall make available at its offices at all reasonable times the records, materials, and other evidence for examination, audit, or reproduction, until 3 years after final payment under this contract or for any shorter period specified in FAR subpart 4.7, Contractor Records Retention, of the other clauses of this contract. If this contract is completely or partially terminated, the records relating to the work terminated shall be made available for 3 years after any resulting final termination settlement. Records relating to appeals under the disputes clause or to litigation or the settlement of claims arising under or relating to this contract shall be made available until such appeals, litigation, or claims are finally resolved.
 - (3) As used in this clause, records include books, documents, accounting procedures and practices, and other data, regardless of type and regardless of form. This does not require the Contractor to create or maintain any record that the Contractor does not maintain in the ordinary course of business or pursuant to a provision of law.
 (e)(1) Notwithstanding the requirements of the clauses in paragraphs (a), (b), (c), and (d) of this clause, the Contractor is not required to flow down any FAR clause, other than those in this paragraph (e)(1) in a subcontract for commercial items. Unless otherwise indicated below, the extent of the flow down shall be as required by the clause—
 - (i) **52.203-13**, Contractor Code of Business Ethics and Conduct (Oct 2015) (41 U.S.C. 3509).
 - (ii) **52.203-19**, Prohibition on Requiring Certain Internal Confidentiality Agreements or Statements (Jan 2017) (section 743 of Division E, Title VII, of the Consolidated and Further Continuing Appropriations Act, 2015 (Pub. L. 113-235) and its successor provisions in subsequent appropriations acts (and as extended in continuing resolutions)).
 - (iii) **52.219-8**, Utilization of Small Business Concerns (Nov 2016) (15 U.S.C. 637(d)(2) and (3)), in all subcontracts that offer further subcontracting opportunities. If the subcontract (except subcontracts to small business concerns) exceeds \$700,000 (\$1.5 million for construction of any public facility), the subcontractor must include 52.219-8 in lower tier subcontracts that offer subcontracting opportunities.
 - (iv) **52.222-17**, Nondisplacement of Qualified Workers (May 2014) (E.O. 13495). Flow down required in accordance with paragraph (l) of FAR clause 52.222-17.
 - (v) **52.222-21**, Prohibition of Segregated Facilities (Apr 2015)
 - (vi) **52.222-26**, Equal Opportunity (Sept 2016) (E.O. 11246).
 - (vii) **52.222-35**, Equal Opportunity for Veterans (Oct 2015) (38 U.S.C. 4212).

- (viii) **52.222-36**, Equal Opportunity for Workers with Disabilities (Jul 2014) (29 U.S.C. 793).
 - (ix) **52.222-37**, Employment Reports on Veterans (Feb 2016) (38 U.S.C. 4212)
 - (x) **52.222-40**, Notification of Employee Rights Under the National Labor Relations Act (Dec 2010) (E.O. 13496). Flow down required in accordance with paragraph (f) of FAR clause 52.222-40.
 - (xi) **52.222-41**, Service Contract Labor Standards (May 2014) (41 U.S.C. chapter 67).
 - (xii) **52.222-50**, Combating Trafficking in Persons (Mar 2015) (22 U.S.C. chapter 78 and E.O 13627). Alternate I (Mar 2015) of 52.222-50 (22 U.S.C. chapter 78 and E.O 13627).
 - (xiii) **52.222-51**, Exemption from Application of the Service Contract Labor Standards to Contracts for Maintenance, Calibration, or Repair of Certain Equipment-Requirements (May 2014) (41 U.S.C. chapter 67).
 - (xiv) **52.222-53**, Exemption from Application of the Service Contract Labor Standards to Contracts for Certain Services-Requirements (May 2014) (41 U.S.C. chapter 67).
 - (xv) **52.222-54**, Employment Eligibility Verification (OCT 2015) (E.O. 12989).
 - (xvi) **52.222-55**, Minimum Wages Under Executive Order 13658 (Dec 2015).
 - (xvii) **52.222-62**, Paid Sick Leave Under Executive Order 13706 (JAN 2017) (E.O. 13706).
 - (xviii)(A) **52.224-3**, Privacy Training (JAN 2017) (5 U.S.C. 552a).
 - (B) Alternate I (JAN 2017) of 52.224-3.
 - (xix) **52.225-26**, Contractors Performing Private Security Functions Outside the United States (Oct 2016) (Section 862, as amended, of the National Defense Authorization Act for Fiscal Year 2008; 10 U.S.C. 2302 Note).
 - (xx) **52.226-6**, Promoting Excess Food Donation to Nonprofit Organizations (May 2014) (42 U.S.C. 1792). Flow down required in accordance with paragraph (e) of FAR clause 52.226-6.
 - (xxi) **52.247-64**, Preference for Privately Owned U.S.-Flag Commercial Vessels (Feb 2006) (46 U.S.C. Appx. 1241(b) and 10 U.S.C. 2631). Flow down required in accordance with paragraph (d) of FAR clause 52.247-64.
- (2) While not required, the Contractor may include in its subcontracts for commercial items a minimal number of additional clauses necessary to satisfy its contractual obligations.

(End of clause)

Item #	Description of Item	Make/ Model Number
1	Caterpillar Rough Terrain Forklift	930K ATFL
2	Caterpillar Rough Terrain Forklift	986H LRC
3	Caterpillar Rough Terrain Forklift	986K HRC
4	Caterpillar Telehandler	TH255C HRC
5	Caterpillar Telehandler	TH255C LRC
6	Caterpillar Telehandler	TL642D HRC
7	Caterpillar Telehandler	TL642D LRC
8	Caterpillar Telehandler	TL943D HRC
9	Caterpillar Telehandler	TL943D LRC
10	Caterpillar Telehandler	TL1055D HRC
11	Caterpillar Telehandler	TL1055D LRC
12	Caterpillar Telehandler	TL1255D HRC
13	Caterpillar Telehandler	TL1255D LRC
14	Caterpillar Telehandler	TH314D HRC
15	Caterpillar Telehandler	TH314D LRC
16	Caterpillar Telehandler	TH357D HRC
17	Caterpillar Telehandler	TH357D LRC
18	Caterpillar Telehandler	TH408D HRC
19	Caterpillar Telehandler	TH408D LRC
20	Caterpillar Telehandler	TH417D HRC
21	Caterpillar Telehandler	TH417D LRC
22	Caterpillar Telehandler	TH514D HRC
23	Caterpillar Telehandler	TH3510D HRC
24	Caterpillar Telehandler	TH3510D LRC

THE VOLUME DISCOUNTS APPLY TO THE BASE UNIT AND THE ATTACHMENTS/OPTIONAL FEATURES.