

APPENDIX A-136

ACTION TAKEN CODES

1. Number of characters: Two.
2. Type of code: Alpha/Numeric.
3. Explanation: These codes are used to communicate events or actions that occur during the processing of Provisioning Line Item Supply Support Requests (LISSRs). These codes are used by the Service Item Control Centers (SICCs) or the Defense Supply Centers (DSCs) to convey interim advice, final advice, replies to offers, requests for followup status and responses to followups.
4. Source: DoD 4140.26-M, Chapter 4.
5. The following alpha codes are assigned:

<u>CODE</u>	<u>DEFINITION</u>
YA	<u>Final Acceptance.</u> The item shall be centrally managed, stocked, and issued (Acquisition Advice Code (AAC) D or G only), and the requirement shall be supported by the Date Repair Parts Required (DRPR). The assigned National Stock Number (NSN) is identified in pos. 8-20.
YB	<u>Final Acceptance.</u> The item shall be managed as a local purchase item (AAC L) or direct order from a central contract/schedule (AAC I). The NSN under which support shall be furnished is identified in pos. 8-20.
YC	<u>Final Advice for DSC; Interim Advice for Service.</u> The Part Number (PN) submitted is classified to a Federal Supply Class (FSC) which is managed by another Integrated Materiel Manager (IMM). The SSR and any Supplementary Provisioning Technical Documentation (SPTD) which you furnished have been forwarded to the appropriate IMM. Update SICC files and expect a final advice within 75 days of the Date of Advice in pos. 53-56 from the IMM identified in pos. 75-76. The applicable FSC is provided in pos. 77-80.
YD	<u>Final Acceptance.</u> The item shall be managed as direct delivery under a central contract (AAC H) or centrally procured but not stocked (AAC J). The NSN under which support shall be furnished is identified in pos. 8-20.
YE	<u>Final Acceptance.</u> The item shall be managed as an insurance/numeric stockage objective item (AAC Z) and the requirement shall be supported by the DRPR. The NSN under which support shall be furnished is identified in pos. 8-20.

CODEDEFINITION

- YF Interim Advice. The PN or Commercial and Government Entity (CAGE) or both submitted on the SSR is in error. Correct PN and CAGE are provided in pos. 8-39 and 60-64, respectively. The item is continuing to be processed with the corrected data. A final advice shall be provided within 35 days of the date of this DIC CX1 interim advice. If the correction is not acceptable, resubmit the SSR with a Type Change Code (TCC) D and prepare a new SSR with Reference Number Justification Code (RNJC) 2.
- YG Interim Advice. The item submitted on the SSR without NSN or RNJC had an actual match in the Federal Logistics Integrated System (FLIS) Total Item Record (TIR). The matched NSN is reflected in pos. 8-20 of the Line Item Advice Document (LIAC), DIC CX1. The SSR is continuing to be processed with the NSN in pos. 8-20. A final advice shall be provided within 35 days of the date of the DIC CX1 interim advice. If the item shown in pos. 8-20 is not acceptable, resubmit the SSR with a TCC D and prepare a new SSR with an appropriate RNJC.
- YH Interim Advice. The PN and/or CAGE submitted on the SSR identifies an item manufactured in a foreign country. A request for NSN assignment has been forwarded to the North Atlantic Treaty Organization (NATO) National Codification Bureau of the manufacturing country, through Defense Logistics Services Center (DLSC). A final advice shall be provided within 300 days of the date of the DIC CX1 interim advice.
- YJ Interim Advice. The NSN submitted is identified as canceled-replaced by or canceled-duplicate of or submitted NSN is not in a standardization relationship but contains Phrase Code E, F, or Z in the FLIS TIR and shall not be supported. The NSN in pos. 8-20 of this LIAC, DIC CX1, is the superseding NSN. The SSR is continuing to be processed with the superseding NSN. A final advice shall be provided within 35 days of the date of the DIC CX1 interim advice. If the item shown in pos. 8-20 is not acceptable, resubmit the original SSR with a TCC D and determine own method of support for the superseded item.
- YK Final Advice for DSC; Interim Advice for Service. The NSN requested has been identified in the FLIS TIR as being managed by another IMM. The SSR has been forwarded as of the date of this advice. Final advice may be expected from the IMM reflected in pos. 75-76 within 25 days after the date in pos. 53-56. NSNs managed by a IMM (Service) shall be rejected with Action Taken Code (ATC) 63.

CODE

DEFINITION

- YL Offer. The NSN in pos. 8-20 identifies an item currently managed by the IMM and is offered as an alternate or substitute item in lieu of the item requested on the originally submitted SSR. The item requested is identified as a possible, probable, or associated match in the FLIS TIR or has been identified by this IMM during Item Entry Control (IEC). Acceptance of the offer with an ATC YM shall establish the requested item as an advisory reference to the offered item if not already identified to the offered item in the FLIS TIR.
- A reply by the SICC to this offer is mandatory. The IMM shall provide a final advice of ATC YA, YB, YD, YE, or YX upon receipt of the acceptance reply with an ATC YM from the submitter. Rejection of the offered item with an ATC YN shall reinstate the request for the original item. Failure to reply shall create automatic followup transactions with an ATC YZ in 55 days. Failure to provide an ATC YM or YN reply within 75 days from the date of offer shall result in an ATC 08 rejecting the originally submitted SSR.
- Subsequent resubmission after receipt of the ATC 08 advice shall require submittal of a new SSR under a new Date of Request (DOR). The resubmission of the SSR will be provided on a DIC CXA when the offered NSN is acceptable. Whenever the offered NSN is not accepted and resubmission of the originally requested PN is required, the resubmission of the SSR shall be accomplished by submitting a DIC CXB with an appropriate RNJC.
- YM Response. SICC to IMM only. The NSN or PN and CAGE offered under ATC YL/YQ respectively for the Item Serial Number (ISN) in pos. 43-48 is acceptable.
- YN Response. SICC to IMM only. The NSN or PN and CAGE offered under ATC YL/YQ respectively for the ISN in pos. 43-48 is not acceptable. The item identified in the original SSR is required. The SICC shall return all technical data provided with ATC YL/YQ offer to the IMM for ATC YN responses and cite differentiating characteristics where applicable.
- YQ Offer. The reference number in pos. 8-39 and the CAGE in pos. 60-64 identifies a non-NSN item offered as an alternate or substitute. A reply by the SICC to this offer is mandatory. The IMM shall provide a final advice of ATC YA, YB, YD, YE, or YX upon receipt of the acceptance reply with an ATC YM from the submitter. A rejection of the offered item with an ATC YN shall reinstate the request for the original item. Failure to reply shall create automatic followup transactions with ATC YZ in 55 days. Failure to provide an ATC YM or YN reply within 75 days from the date of offer shall result in an ATC 08 rejecting the originally submitted SSR.

CODE

DEFINITION

Subsequent resubmission after receipt of the ATC 08 shall require submittal of a new SSR under a new DOR. The resubmittal should identify the offered PN if it is acceptable. If the original PN is required and the alternate or substitute is unacceptable, the appropriate RNJC shall be used in the SSR.

YR Interim Advice. The item submitted is identified as being nonstandard in the FLIS TIR as the result of a coordinated standardization action and shall not be supported. The NSN in pos. 8-20 of this LIAC, DIC CX1, identifies the standard item. If the item shown in pos. 8-20 is not acceptable, resubmit the original SSR with a TCC D and determine own method of support for the non-standard item. The SSR is continuing to be processed with the standard item. A final advice shall be provided within 35 days of the date of the DIC CX1 interim advice.

YT Interim Advice. Other than five numerics are contained in pos. 25-29 (retail quantity) and/or 32-36 (wholesale quantity) of the SSR. Invalid entries were overlaid with zeros and the SSR is continuing to be processed. If quantitative requirements exist for this item, submit a TCC C document with valid entries in pos. 25-29 and/or 32-36. A final advice shall be provided within 35 days of the date of the DIC CX1 interim advice.

YW Interim Advice. The item submitted is identified as being nonstandard in the FLIS TIR as the result of a coordinated standardization action and shall not be supported. The PSCN in pos. 8-20 of this LIAC, DIC CX1, identifies the standard item. If the item shown in pos. 8-20 is not acceptable, resubmit the original SSR with a TCC D and determine own method of support for the non-standard item. The SSR is continuing to be processed with the standard item. A final advice shall be provided within 35 days of the DIC CX1 interim advice.

YX Final Acceptance. IMM to SICC only. The DRPR (pos. 25-28 of the Program Data SSR) has passed or was less than the procurement lead time on the date the SSR identified by ISN in pos. 43-48. Procurement action is being initiated and the requirement for the NSN in pos. 8-20 shall be supported by the date indicated in pos. 77-80. If the new support date in pos. 77-80 is not acceptable to meet initial critical support requirements, the requiring activity may procure that portion of the retail quantity necessary for initial support of the equipment being introduced into service to cover that period of time until the date the IMM will be in a support position. The AAC in pos. 30 indicates the method of management assigned the NSN.

NOTE: ATC YX shall not be used in reply to CXA SSRs for items currently managed and stocked by IMMs. This ATC is not used by IMM (Service) to respond to SICC's.

<u>CODE</u>	<u>DEFINITION</u>
YY	<u>Interim Advice.</u> Final supply support determination is pending. Decision shall be provided within 15 days.
YZ	<u>Followup.</u> This notice is provided by a IMM as a 55 day follow up to an item awaiting a response from the SICC to a previously furnished ATC YL or YQ. The original SSR shall be rejected with ATC 08 if no reply is received within 75 days of the date of offer.

6. The following numeric codes are assigned:

<u>CODE</u>	<u>DEFINITION</u>
02	PN and CAGE identifies a military drawing which was not submitted with the SSR. Support is rejected.
03	IMM (DLA/GSA) to SICC only. The NSN or PN is tentatively classified in an FSC excluded from Item Management Coding (IMC). The applicable FSC appears in pos. 8-11. Support is rejected.
04	Unit of Issue (UI) in pos. 53-54 is invalid, blank, or different from established UI for currently managed IMM NSNs and cannot be converted to an equal definitive UI. Support is rejected.
07	IMM (DLA/GSA) to SICC only. The item submitted in the SSR does not contain a Unit Price (UP) in pos. 74-80 of the SSR, or the UP contains other than numerics. Support is rejected.
08	The submitting activity has failed to respond to the IMM offer of a standard/alternate/substitute item (ATC YL/YQ) within 75 days of offer. Support is rejected.

NOTE: The receipt of an ATC 08 by the SICC shall require the SICC to submit a new SSR. If accepting the offered item, the SICC shall be required to also submit a cataloging add reference transaction (DIC LAR) to the appropriate IMM for submission to DLSC to add the originally submitted part/reference number to the accepted alternate item NSN.

09	<u>IMM (DLA/GSA) to SICC only.</u> Item shall not be supported because data provided is inadequate for minimum reference type cataloging identification. Resubmit under a new DOR assuring that all data required are provided. Minimum data for cataloging purposes are CAGE, PN, and item name. In the absence of item identifying technical data, the item name must be furnished via DIC CXF transaction. Support is rejected.
11	The item requested does not fall within the cognizance of the SSR procedures. Such commodities as fuel, subsistence, clothing, and textiles are covered by special procedures. Required items should be processed under the specific regulations governing these commodities. Support is rejected.

<u>CODE</u>	<u>DEFINITION</u>
12	The Acquisition Method Code (AMC) indicates restrictive procurement. Justification (DD Form 1418) and/or SPTD was not received. Submitter should resubmit SSR with required data or, if the AMC was invalid, with the correct AMC. Support is rejected.
13	The CAGE submitted on the SSR is missing or in error and the IMM is unable to correct. Support is rejected.
14	The PN submitted on the SSR is missing or in error and the IMM is unable to correct. Support is rejected.
18	IMM (DLA/GSA) to SICC only. The Source Code in pos. 41-42 of the SSR is invalid. Support is rejected.
19	The PN and CAGE submitted on the SSR are missing or in error and the IMM is unable to correct. Support is rejected.
20	The manufacturer identified in the original SSR advises the PN is nonprocurable or unidentifiable. Attempts to obtain other sources of supply have been unsuccessful. Support is rejected.
21	The PN/CAGE for this ISN is not compatible with the technical data submitted for the same ISN. Support is rejected.
28	The NSN in pos. 8-20 of SSR, DIC CXA, contains other than 13 numerics. Support is rejected.
31	A PN SSR received with transaction missing (missing DIC CXB Transaction 1 or DIC CXB, Transaction 2). Support is rejected.
32	The SSR cannot be processed because mandatory data are missing or incomplete. Support is rejected
34	Item submitted without NSN or RNJC is a possible, probable or associated match in the FLIS TIR and cannot be processed. Matched NSN is shown in pos. 8-20. Support is rejected.
36	SSR returned for reason not covered by an existing ATC. Specific reason for return is provided by LIAC, DIC CX5, or DD Form 2241. Support is rejected.
38	IMM (DLA/GSA) to SICC only. Production Lead Time (PLT) is blank or other than numerics. Support is rejected.
40	Shelf-Life Code in pos. 71 is blank or invalid. Support is rejected.
42	Duplicate SSRs with the same control elements have been received. The first SSR has been processed by the IMM. If an additional requirement exists, submit a new SSR with the appropriate TCC. Support is rejected.

<u>CODE</u>	<u>DEFINITION</u>
43	IMM (DLA/GSA) to SICC only. Demilitarization Code in pos. 56 is blank or other than A through N (except I), Q or X. Support is rejected.
44	Technical data was not submitted and Date Technical Data to be Supplied (DTDS) in pos. 69-72 or Technical Data Justification Code (TDJC) in pos. 73 was blank or invalid. Resubmit the SSR with the technical data or with appropriate coding. Support is rejected.
45	IMM (DLA/GSA) to SICC only. Item submitted is identified as AAC F (Fabricate or Assemble), T (Condemned), or W (Generic Items) and cannot be supported.
51	<u>FOR INTERNAL USE ONLY.</u> DIC CWA, CFR, CXF, CXG, or CXK is received without a matching DIC CXA, CXB, or CXC or the provisioning control data on a DIC CXT does not match an existing PCF/PCHF record. Support is rejected.
52	<u>FOR INTERNAL USE ONLY.</u> Mandatory data on a DIC CWA is invalid or missing. Support is rejected.
58	SSR change request unmatched to previous submission. Support is rejected.
59	IMM (Service) to SICC only. Missing or invalid Major Organizational Entity (MOE) rule. Support is rejected.
62	IMM (Service) to SICC only. The item has no replacement, wholesale stocks are exhausted, no future procurement planned. Support is rejected. If item still required, recommend Integrated Materiel Manager (Service) reassignment action be initiated.
63	The item is managed by NSA, DNA, or TACOM; or the item is being managed by a Service. Support is rejected.
65	The NSN or PSCN submitted is not recorded in the FLIS TIR and shall not be supported. If this NSN or PSCN is in error, resubmit the correct NSN or PSCN with a new DOR. If NSN or PSCN is not in error, review background of the NSN or PSCN entry into the DoD supply system and accomplish IMC under the procedures contained in DoD 4140.26-M, Chapter 3.
66	No record of this SSR exists at this IMM. Support is rejected.
68	FLIS futures data indicates condition adverse to supply support, e.g., NSN canceled (without replacement), logistics reassignment or FSC change is pending. Support is rejected. If requirement still exists, resubmit SSR with appropriate NSN after effective date of change.

<u>CODE</u>	<u>DEFINITION</u>
70	SSR contains nondefinitive UI and technical data furnishing quantitative measure, count or composition was not received. Support is rejected. Resubmit new SSR with supporting data quantifying the UI.
71	NSN is canceled, inactive, or terminated without replacement in the FLIS TIR and cannot be reinstated. Support is rejected.
97	<u>For Internal Use Only.</u> A condition 1 SSR (stock number already in supply system with B&H data), has crossed to an item requiring an NSN. Unit Price and Shelf-Life Code are required to complete the B&H data. The item will remain suspended until a DIC YDH-OM with the Unit Price and Shelf-Life Code is received, or the item is changed with another file maintenance action.

<u>CODE</u>	<u>DEFINITION</u>
98	<u>For Internal Use Only.</u> The item identified by a PSCN or Reference Number is suspended pending NSN assignment. Reject file maintenance or file maintenance that provides a new item of supply will process. DIC YDH-OS will not process. Offers must be made on a line item basis. Only DLSC responses that indicate an approval or reject of the
99	<u>For Internal Use Only.</u> A DIC YDH-OF has been processed or the Service has accepted an NSN offer. If an NSN maintenance action rejects while coded with a ATC 99, the Service will not be notified of the reject and the item will remain in suspense until the problem is resolved (i.e., original item rejected, another offer made with correct NSN, futures data processed, etc.).

7. Reference:

Appendix B-218, Provisioning Line Item Advice Document (LIAC) Transaction.