

APPENDIX E-014 V
CONFIRMATION VIOLATIONS

1. INPUT DOCUMENTS

- a. Appendix B-14, Materiel Release/Redistribution Confirmation, DICs AR0, AR9, ARA, ARB, and CRO, and responses to Shipment Information Requests, DIC CLS.
- b. Appendix B-56, Disposal Release Order (DRO) Confirmation, DIC ARJ, ARK, or ARL
- c. Appendix B-89, Shipment Status, DIC AS6.

2. INPUT TO PROCEDURE DECISION CHART

Materiel Release Redistribution Order Confirmations (Depot Shipments).

3. PURPOSE, CONTENTS, AND RESPONSIBILITIES

a. This appendix is applicable to chapter 4, and provides instructions for the correction and reentry of Materiel Release Confirmation, DICs AR0, AR9, ARA, ARB, and CRO, and DRO Confirmations, DICs ARJ, ARK, and ARL, and responses to Shipment Information Requests, DIC CLS, and Shipment Status transactions, DIC AS6 Reasons for computer rejection, by Violation Reason Code, are listed below. The Requisition Processing Branch, Stock Control Division of the Directorate of Supply Operations, is responsible for processing these violations.

b. An Active Requisition Control and Status File (ARCSF) printout, appendix F-114, with the constant ORC 96, will also be prepared, when applicable, and routed to the Requisition Processing Branch for use in violation processing.

c. Materiel Release Confirmations applicable to GFM issues will be processed against the GFM Control File. Violations will be corrected as outlined in appendix E-461 V.

<u>VIOLATION REASON CODE</u>	<u>DEFINITION</u>
AN	Unit of Issue field invalid or blank.
AS	Quantity field invalid or blank.
AY	NSN invalid, blank, or unmatched.
BJ	Supplementary Address invalid or blank.
JB	Mode of Shipment Code Invalid.

VIOLATION
REASON CODE

DEFINITION

NO	Original Issue Transaction for this adjustment/report not recorded (DSC).
OV	Confirmation Unmatched in RCSF.
WQ	Proposed Action Already Reflected in File.
8B	Invalid Combination.

4. PROCESSING/RESEARCH INSTRUCTIONS

a. VRC AN.

(1) REASON FOR VIOLATION/ERROR CONDITION

Violation Reason Code AN indicates that the Unit of Issue field of the input confirmation does not match the Unit of Issue or the Reference Unit of Issue recorded in the NIR; or if converted, based on the recorded UI Conversion Factor, will convert to a quantity greater than 99,999.

(2) PROCESSING/RESEARCH REQUIRED

(a) When only one position of the UI is blank or numeric, check for apparent errors and annotate the correct UI in pos. 23-24.

(b) If both positions of the UI are blank, numeric or alpha filled, research supporting document files, to compare the UI fields. If the UI on the support document is different from that on the violation, enter the correct UI in pos. 23-24 and process the document for reentry in accordance with paragraph 5 below.

(c) If the entries in pos. 23-24 of the support document are the same as the Unit of Issue on the violation, research the catalog or other management records (National Inventory Record Printout, appendix F-109) to determine the current UI on the NIR. Annotate the correct UI in pos. 23-24. If the UI is quantitative, convert the quantity requested to the nearest multiple of the UI. Enter the converted quantity in pos. 25-29 and process the document for reentry in accordance with paragraph 5 below.

b. VRC AS.

(1) REASON FOR VIOLATION/ERROR CONDITION

Violation Reason Code AS indicates that the quantity field of the input confirmation contains alpha/special characters, or contains a quantity greater than the quantity remaining open (e.g., unconfirmed) on the control record.

(2) PROCESSING/RESEARCH REQUIRED

(a) Compare the quantity in the violation with the quantity reflected on the ARCSF Printout, appendix F-114.

(b) If the field contains an apparent error; e.g., alpha 0 for numeric zero, embedded blanks, alpha characters, annotate the correct quantity on the violation for reentry.

(c) When the quantity cannot be determined by the above method, contact the shipping activity reflected on the ARCSF Printout.

(d) Upon receipt of correct quantity, annotate the appropriate entries on the violation for reentry.

(e) When the input quantity does not match the quantity remaining open on the control record in the ARCSF Printout, because of an apparent overshipment, processing personnel will first confirm that the overshipment was actually made (contact with the shipping activity) and when confirmed will convert the violation output to a DIC ARA/ARK (overshipment) for reentry.

c. VRC AY.

(1) REASON FOR VIOLATION/ERROR CONDITION

VRC AY indicates that the NSN on the confirmation document is invalid, blank, or unmatched to the NIR or the ARCS, or the substitute NSN is received with a Status Code other than BG or BH.

(2) PROCESSING/RESEARCH REQUIRED

(a) If a blank, transposed digit or an embedded character or letter appears in field pos. 8-20, attempt local correction by comparing the violated transaction to the data reflected in the ARCS for the document number.

(b) If local correction is not possible, contact the distribution or TAV holding activity by telephone/teletype/letter citing the document number from the Violation/Control Reentry transaction and furnish all details regarding the transaction including the NSN. Request that the activity furnish the correct NSN for this transaction, or if a substitute NSN has been issued the activity furnish the correct Status Code. Issuing of a substitute NSN on a LRO is accepted only with Status Codes BG or BH.

(c) When the correct NSN is obtained, enter the correct NSN and reenter the violation in accordance with paragraph 5.

d. VRC BJ.

(1) REASON FOR VIOLATION/ERROR CONDITION

VRC BJ indicates that pos. 45-50 on a TAV transaction is blank or contains a Y in pos. 45.

(2) PROCESSING/RESEARCH REQUIRED

(a) If field pos. 45-50 contain other than a valid six position alphanumeric DoDAAC, contact the TAV holding activity by telephone/teletype/letter and request they furnish the six position DoDAAC of the activity to receive credit for the materiel shipped and the packing, crating, handling and transportation costs.

(b) When the correct DoDAAC is furnished, enter the correct data in the transaction and reenter the violation in accordance with paragraph 5.

e. VRC JB.

(1) REASON FOR VIOLATION/ERROR CONDITION

Violation Reason Code JB indicates that the Mode of Shipment Code (pos. 77) is invalid (e.g., not listed in appendix A-17).

(2) PROCESSING/RESEARCH REQUIRED

Processing personnel will review the entry in pos. 77 of the violation output against the source document (correction) submitted by the shipping depot. When a typographical or Data Entry error is found, annotate the correct data for reentry in accordance with paragraph 5 below. When any doubt exist as to which Mode of Shipment Code should be used, the reporting depot is to be contacted and requested to furnish correct mode under which materiel was shipped.

f. VRC NO.

(1) REASON FOR VIOLATION/ERROR CONDITION

Violation Reason Code NO indicates that the adjustment transaction did not find the original transaction after having been recycled for two days.

(2) PROCESSING/RESEARCH REQUIRED

(a) The Requisition Processing Branch will contact the SSP, pos. 67-69 of the violation, and request that the original D7_ issue transaction be forwarded. Reenter the adjustment transaction two days later.

(b) Where a volume of this type of violation is output, due to problems of a particular SSP, contact that activity by telephone, obtain the original transactions and have them keypunched and processed as soon as possible.

g. VRC OV.

(1) REASON FOR VIOLATION/ERROR CONDITION

Violation Reason Code OV indicates that the input confirmation or shipment status document is unmatched in the Active Requisition Control and Status File (ARCSF) on Document Number and/or Suffix Code.

(2) PROCESSING/RESEARCH REQUIRED

(a) The Requisition Processing Branch (ORC 96) will review the document in violation to determine the reason for the reject.

1. If the violation resulted from an obvious error in the suffix code, annotate the correct suffix code and reenter.

2. If the document number contains obvious blanks or invalid characters, annotate corrections if feasible and reenter.

3. If local correction is not possible or practicable, contact the applicable shipping activity and request clarification. Annotate corrective data and reenter.

4. If correct document number cannot be determined reenter to delete the document from violation.

(b) If the document number on other than DIC AS6 is valid but unmatched to the ARCSF, obtain and review a Requisition History File, appendix F-45, to determine if the violation was output as a result of a closed record.

(c) Before initiating corrective action on document other than DIC AS6, determine whether or not the requisitioner has submitted a discrepancy report as outlined in appendix E-399 P.

1. If all actions related to a discrepancy report are completed, delete the document from violation.

2. If a discrepancy report is in process, coordinate corrective action with appropriate processing personnel.

(d) When no discrepancy report is found for other than DIC AS6, initiate corrective action as deemed appropriate based upon the following:

1. When it is determined that the violation output is the result of a closed record (indication closing action was other than a shipment from stock) the transaction will be analyzed to determine whether or not additional billing action is to be initiated.

2. When it is determined that the violation output is the result of a closed record (shipment from stock) the reported quantity on this transaction does not equal the quantity originally reported. If quantity other than that originally reported is valid a Corrected Materiel Release Confirmation, DIC ZHR, must be prepared in accordance with appendix E-121 P.

3. When it is determined that the ARCSF supply section was closed by other than a shipment (i.e., passed or canceled) processing personnel will contact the depot submitting the confirmation and assure that shipment was actually made from stock prior to reopening the ARCSF record. The confirmation violation will be held in suspense until such time as the requisition is purged from the ARCSF. Requisition will then be input using Manager Forced Action Code 7, appendix A-86, and subsequent posting of the rejected confirmation action.

4. When it is determined that the ARCSF supply section was closed by shipment from direct delivery procurement, processing personnel will contact both the Directorate of Procurement and Production and the depot submitting the confirmation to assure that duplicate shipments were actually made, the customer will be contacted and requested to return one of these duplicate shipments. There is no way within SAMMS to record or perform manual off-line billing for the duplicated shipment.

h. VIOLATION REASON CODE WQ.

(1) REASON FOR VIOLATION/ERROR CONDITION

This violation indicates that a confirmation (DIC AR0, ARJ, AS6, or AU0) has been received and it matches an open ARCS record on Document Number and Suffix, and Quantity. If Date Shipped (if valid) and Transportation Control Number (TCN) also match, the transaction will be violated.

(2) PROCESSING/RESEARCH REQUIRED

(a) Determine from review of the RCS Printout, Appendix F-114, whether the violation output represents a duplicate confirmation or a confirmation in addition to the previously posted confirmation.

(b) When the violation transaction represents a duplicate of a previously posted confirmation, the requisition processing personnel should direct deletion of this violated transaction.

(c) When the violation transaction represents a confirmed quantity in addition to the previously posted confirmation, the requisition processing personnel should direct continued processing. Process in accordance with the procedures outlined in paragraph 5.

i. VRC 8B.

(1) REASON FOR VIOLATION/ERROR CONDITION

VRC 8B indicates a Shipment Status document, DIC AS6, matches an ARC record but the status of that record is other than ZV/BA.

(2) PROCESSING/RESEARCH REQUIRED

Obtain a Requisition History for the violated document to ensure the document is a TAV referral. If a review of the requisition history indicates TAV placed the document on backorder due to nonresponse from the holding activity, remove the backorder by input DIC ZD7 with Action Code JW as described in appendix B-244 indicating the holding activity in pos. 74-76. When the post-post action processes to update the ARCS to Status Code ZV/BA, reenter the DIC AS6 in accordance with paragraph 5.

NOTE: Judgment must be exercised in the requesting of return of materiel to assure that the value of materiel involved at least offsets the cost of research/transportation. Requests for return of materiel from a customer must include the Fund Code to be cited on the GBL for transportation.

5. REENTRY INSTRUCTIONS

a. Review remaining data elements contained in violation output to detect any other invalid data and correct as necessary.

b. Reentry method for violations are contingent upon type of violation output. Type of output is an option recorded in the Output Routing Code Table (see appendix F-20). The following codes are the various options with reentry instructions:

<u>CODE</u>	<u>OUTPUT</u>	<u>REENTRY</u>
1	ZLR - Controlled Violation Listing - appendix F-122	Corrected data and/or reentry code (appendix A-62) will be entered on appendix F-122 with colored pencil or ink and submitted as prescribed in appendix E-066 P.
3	Uncontrolled Violation Listing - appendix F-117	Reentry, when required, will be accomplished via development of a complete new input source document with correct data, as prescribed in appendix E-346 P.

6. FLOWCHART

Flowchart not required.