

APPENDIX E-444 P

PROVISIONING CONTROL FILE MAINTENANCE EXCEPTIONS LIST

1. PURPOSE

This appendix is applicable to chapter 39 and provides instructions for the processing of the Provisioning, Control File Maintenance (F/M) Exceptions Lists, appendix F-201, resulting from Provisioning Control File Maintenance input via Part Number Select/NSN Request Transactions, appendix B-230. Exceptions are a result of invalid data elements including improper Provisioning Action Codes. This list is also produced when a DIC YDF, generated by Technical Subsystem for passing the new NSN, results in a no match by Document Control Number in the PCF. This list is also output containing a YDE transaction requiring review because inquiry results from DLSC for an NSN which was input from the floor (YDH/OF) for a part numbered SSR indicates the NSN is canceled, is managed by another DoD manager, is nonstandard or does not match the TIR at all.

NOTE: The term Provisioning as used in this appendix applies to both provisioning and nonprovisioning Supply Support Requests.

2. APPENDICES USED IN THIS PROCEDURE

- a. Appendix A-42, Action Codes.
- b. Appendix A-136, Action Taken Codes.
- c. Appendix B-230, Part Number Select - NSN Request Transactions, DIC YDH.
- d. Appendix F-201, Provisioning Control F/M Exception List.

3. RESPONSIBLE ORGANIZATIONAL ELEMENT

The Provisioning Coordination Office, is the office of primary responsibility.

4. PROCEDURES/INSTRUCTIONS

- a. Technicians, Provisioning Coordination Office (PCO) will:
 - (1) Receive two copies of the Provisioning Control File Maintenance Exceptions List from the Office of Data System (DIDS).
 - (2) Review the list to determine the reason the maintenance transaction was rejected, and the action required to correct the transaction. Some of the common causes of exception are: No record of items because the control data, e.g., Activity Code (AC), Provisioning Control Code (PCC), Date of Request (DOR), Item Serial Number (ISN), or the Document Control Number (DCN) have been incorrectly keypunched or the data elements are invalid (numerics in alpha fields, alpha in numeric fields, or blanks where a field is to be filled).

(3) The cause of the invalid data may be determined by reviewing the original input for file maintenance with the rejected maintenance transaction.

(4) Correct the invalid data and prepare YDH action with appropriate Action Code (appendices B-230 and A-42) and forward through channels to ODS for processing.

(5) When exception shows no match DIC YDF indicating that the Technical Subsystem has received an NSN assignment from DLSC identified to a Provisioning DCN, check with Catalog Division to determine if this is the second NSN assigned by DLSC or if someone has erroneously used the letter V in a DCN as does the Provisioning subsystem and:

(a) If it is determined to be a second NSN, the Cataloging division will validate instruction for review if a second NSN is received and initiate action to cancel the NSN.

(b) If an erroneous DCN has been used, no action is required.

(c) If no match YDF exception means an Advice Transaction with Action Taken Code YA or YD with NSN (appendix B-214) has been generated and sent to the SSR submitter(s), process as follows:

1. Interrogate the TIR to obtain a copy of F-311 reports (see chapter 9) of 4130.3, vol II to segment H, (use DRN 9911) for both NSNs, i.e., one furnished in advice and one identified by the YDF reject.

2. Review the F-311 report obtained for the NSN for which advice was generated to determine if it is applicable to the same item of supply as required by the SSR. If so, no further action for the NSN/SSR is required. However, if it is for a different item of supply, identify the applicable SSR for which the NSN should have been assigned by coordination with the Cataloging Division, and if feasible, notify the Commodity Item Manager of the correct SSR data, i.e., Activity Code (From), PCC, DOR, Retail and Replenishment Quantities, Production Leadtime, Unit of Issue, Unit Price, and Shelf-Life Code, if different from those established in records. When it is not feasible to correct the Requirements Subsystem, notify the Cataloging Division of necessary corrections and provide a new advice code (YA or YD) to the correct submitter(s). In addition, provide correspondence as may be required for the submitters involved.

3. Review the F-311 Report for the NSN identified by the YDF exception transaction to determine the SSR(s) for which the NSN is applicable by coordination with the cataloging division as required. If during the preceding, the Requirements Subsystem was corrected to match the SSR for which the NSN was mechanically processed, input File Maintenance Action Code OF for adding the NSN in the YDF exception must be used for the SSR identified by the DCN, action must be taken to notify the Cataloging Division to correct all Cataloging records and Commodity Item Managers must be provided with necessary corrections to update the Requirements Subsystem.

(d) When exception shows a YDE with an NSN, the remarks column indicating INTERIM ATC YB, YH, YJ, YK, YR, YU OR 45 (see appendix A-136) and REVIEW in action column, take the following action:

1. Interrogate the PCF File for the SSR.
2. When received, match to the applicable F-201.

3. Research DLSC Master Cross-Reference List, local TIR and CMD files, as applicable to determine if a technical review is/is not required. For example, if research reveals NSN A is nonstandard to NSN B and NSN B is coded as nonstandard back to NSN A, the files require a more thorough technical review and corrective action taken as result before the SSR can be processed. These will be taken to Technical Services Division for that review (the F-215A and F-201) and necessary action. Upon notification of the proper NSN, reinput for processing. If the only thing required is correction of the NSN (digits transposed, wrong FSC, etc.), the PCO will correct these NSNs and reenter with a YDH transaction.

(e) If unable to identify no match exception, the technician, PCO, will take the F-201 report to the Catalog Division for assistance in locating the DCN forwarded to DLSC.

NOTE: Remarks reflecting YDH-OM ATCN REQ'D FOR UP SLC 97 indicates file maintenance DIC/YDH Action Code OM is required for Unit Price and Shelf-Life Code and the interim Action Code is 97. This action is required to update the PCF to enable the provisioning system to generate a request for NSN. This action is required because the Service submitted a Condition 1 DIC/CXA Supply Support Request (SSR) that crossed to a PSCN. This requires a full segment H for a new NSN request that is not present on a Condition 1 DIC/CXA SSR.