

APPENDIX E-461 V

GFM CONTROL FILE TRANSACTION VIOLATIONS

1. INPUT DOCUMENTS

- a. Appendix B-4, Requisition Transaction and Document Modifier Transaction, DIC A0_.
- b. Appendix B-8, Materiel Release Denial/Adjustment Transaction, DIC A6_.
- c. Appendix B-14, Materiel Release Redistribution Order Confirmation Transaction, DICs ARO, ARA, and ARB.
- d. Appendix B-20, Materiel Receipt Transaction (Procurement Instrument Source), DIC D4_.
- e. Appendix B-21, Materiel Receipt Transaction (Other than Procurement Instrument Source), DIC D6_.
- f. Appendix B-121, Corrected Materiel Release Confirmation Transaction, DIC ZHR.
- g. Appendix B-127, Manager Review Reentry Document (Output/Input - Total Action), DIC ZLL.
- h. Appendix B-151, Manager Review Reentry Document (Output/Input - Partial Actions and Substitutions), DIC ZLM.
- i. Appendix B-236, Supply Status Transaction - Response to MRO/DRO Cancellation, DIC AG6.
- j. Appendix B-249, Shipment Status Transaction - Response to MRO/DRO Cancellation, DICs AUO, AUA, AUB.

2. PURPOSE, CONTENTS AND RESPONSIBILITIES

- a. This appendix is applicable to chapter 13 and provides instruction for the correction and reentry of transactions involving GFM, processing against the GFM Control File. In most cases adjustments must be made to the GFM Control File. Reasons for computer rejection, by Violation Reason Code, are listed below. The GFM monitor within the DSO is responsible for processing these violations.
- b. The initial implementation of the GFM files will be at DPSC-T with additional changes as necessary to accommodate the other DSCs specific problems/operations.

c. Care must be exercised when processing violations against the GFM Control File. Most input documents (DICs AO_, A6_, ARA, ARB, D6H, AG6, AUA, and AUB) have already posted to the applicable records in the Financial Subsystem via DICs D7H (requisition related documents) and D6H. These transactions should not be deleted from the Violation Control File without coordination with the Financial Subsystem to effect the required adjustment to their records.

VIOLATION

<u>REASON CODE</u>	<u>DEFINITION</u>
9N	No matching GFMCF record.
90	No matching type of reservation code in GFMCF.
9P	No matching GFMCF reservation data section.

3. PROCESSING/RESEARCH INSTRUCTIONS

a. VRC 9N.

(1) REASON FOR VIOLATION/ERROR CONDITION: (Applies to AO_, A6_, ARO, ARA, ARB, D4_, D6_, ZHR, ZLL, ZLM, AG6, AUO, AUA, and AUB).

Indicates that there was no matching GFMCF record for this transaction based on match of the MDN in pos. 54-56.

(2) PROCESSING/RESEARCH REQUIRED

The GFM Monitor will:

(a) For requisition related documents review the entries in pos. 54-56 of the violation in conjunction with an ARCSF printout, appendix F-114. If the distribution code field in the ARCSF printout differs from that on the violation, and it is determined via the GFMCF that this is the correct directive number, the violation should be reentered IAW paragraph 5 below with the directive number from the ARCSF. If the directive number does not differ and no GFMCF record exists for that directive number, source documents should be reviewed to determine if GFM is involved. If source documents indicate the directive number is incorrect and a matching GFMCF record exists under the correct directive number, the violation should be reentered IAW paragraph 5 below with the correct directive number. If no GFM is required and no D7H was generated, the directive number should be deleted from all applicable records. If a D7H was generated, coordination with the Comptroller is required to ensure a corresponding adjustment is made to their records. The violation may then be deleted. If GFM is required a GFMCF record must be built utilizing the GFMCF Adjustment Transaction, DIC ZNR, appendix B-173, utilizing Action Code 08 to build a GFM Contract Record Summary and Action Code 05 to build the GFM Item Record(s). A purpose code transfer (DIC DAD, appendix B-22) may be required to maintain the proper asset balances in the NIR. Close coordination must be effected with DP&P and the Comptroller to update the record as required. The violation should then be reentered IAW paragraph 5 below without change.

(b) For materiel receipt documents (DIC D4_), review the entries in pos. 54-56 of the violation in conjunction with an ACF printout (F-71-2, appendix F-231) for the PIIN in pos. 30-42. If the manufacturing directive number in the ACF differs from that on the violation, and it is determined via the GFMCF that this is the correct directive number, the violation should be reentered IAW paragraph 5 below with the directive number from the ACF. If the directive number does not differ and no current GFMCF record exists for the PIIN in pos. 30-42, a review of recently closed GFMCF records should be made. If the receipt document is against a closed GFMCF record, the violation should be deleted. If the receipt document is not against a closed GFMCF record, review and correction specified in subparagraph (a) above applies.

(c) For materiel return documents (DIC D6_), review the entries in pos. 54-56 of the violation in conjunction with source document, such as the shipping document for GFM turn-in (appendix C-35). If the directive number on the source document differs from that on the violation, and it is determined via the GFMCF that this is the correct directive number, the violation should be reentered IAW paragraph 5 below with the directive number from the source document. If the directive number does not differ and no GFMCF record exists, review and correction specified in paragraph (a) above applies.

b. VRC 90.

(1) REASON FOR VIOLATION/ERROR CONDITION: (Applies to A0_, A6_, ARO, ARA, ARB, D6_, ZHR, ZLL, ZLM, AG6, AUO, AUA, and AUB).

Indicates that for a requisition related document there was no reservation data section reflecting type of reservation codes 2 or 4 in the matching GFMCF record based on the MDN in pos. 54-56. For all the above documents a GFM Item Record must exist in the matching GFMCF record.

(2) PROCESSING/RESEARCH REQUIRED

(a) For requisition related documents a reservation data section reflecting type of reservation code 2 or 4 must exist against which the issue document may process. Obtain a copy of the GFM Control File Printout (appendix F-461A) for the MDN in pos. 54-56. Review the GFM Control File Printout to determine whether reservation data sections reflecting type of reservation codes 3 or 5 have been received. If such a determination is made action should be taken in accordance with appendix E-173 P to change the type of reservation code.

(b) For manually built GFMCF records a GFM Contract Record Summary may have been established, but no GFM Item Record(s) has been established. A matching GFM Item Record must be established via DIC ZNR (action code 05), GFMCF Adjustment Transaction, appendix B-173. Action should include review of outstanding violations to ensure that a previous GFMCF Adjustment Transaction(s) has not violated.

(c) Additional action should be taken as specified in paragraph c below to preclude subsequent violation based on matching of the specified data elements. Violations should be reentered IAW paragraph 5 below.

c. VRC 9P.

(1) REASON FOR VIOLATION/ERROR CONDITION: (Applies to AO_, A6_, ARO, ARA, ARB, D6_, ZHR, ZLL, ZLM, AG6, AUO, AUA, and AUB).

Indicates that there was no matching GFMCF reservation data section for this transaction based on a match of the following control elements:

NSN
Purpose Code
Condition Code
Location
Quantity

(2) PROCESSING/RESEARCH REQUIRED

(a) Obtain a copy of the GFM Control File Printout (appendix F-461A) for the MDN in pos. 54-56, and the ARCSF Printout (appendix F-114) for the document number in pos. 30-43 (except DIC D6_). Based on review of the above printouts determine which of the above control elements caused the violation. Corrective action should be taken as follows based upon the control element which caused the violation.

(b) If the violation was caused by an unmatched NSN, coordinate with the inventory manager to ensure that the NSN in pos. 8-20 is a valid issue by the directive number in pos. 54-56. If the NSN is not valid, the directive number should be reviewed for possible errors. If the directive number is determined to be in error, the violation should be processed as specified in paragraph a above. If the NSN is a valid item of GFM which represents assets which have not been previously reserved, adjustments must be made to the applicable GFM Control File record. When shipment status has been effected (BA or SS, appendix A-12), the GFMCF must be revised via DIC ZNR, GFMCF Adjustment Transaction, appendix B-173, to allow posting of the shipment document under the proper reservation data section. Adjustments to the GFMCF should include a build of new reservation data section to reflect the issued GFM. In addition, an existing reservation data section must be decreased by an amount equivalent to the above increase. If it is anticipated that further issues will be made of unreserved assets under this GFMCF record, similar adjustments should be made to preclude further violation. A purpose code transfer(s) (DIC DAD, appendix B-22) may be required to maintain the proper asset balances in the NIR. When a reservation data section has been established which will match the violation, it should be reentered IAW paragraph 5 below.

(c) If the violation was caused by an unmatched purpose code, the GFM monitor will review the entry in pos. 70 of the violation to determine the purpose code being issued. All issues of GFM should be from assets previously reserved in purpose code H. Review the current status of the document number in pos. 30-43 via the ARCSF. When shipment status has been effected (BA or SS, appendix A-12), no purpose code transfer (DID DAD, appendix B-22) should be effected to place assets in purpose code H. If a reservation data section exists under which the violated transaction would otherwise post, the violation may be reinput IAW paragraph 5 below changing the purpose code in pos. 70 of the violation to H. A purpose code transfer may be necessary to remove assets previously reserved from purpose code H.

(d) If the violation was caused by an unmatched condition code, the GFM Monitor will review the entry in pos. 71 of the violation in conjunction with a GFM Control File printout to determine the reason for the mismatch. Review the current status of the document number in pos. 30-43 via the ARCSF. When shipment status has been effected (BA or SS, appendix A-12), the GFMCF must be revised via DIC ZNR, GFMCF Adjustment Transaction, appendix B-173, to allow posting of the shipment document under the proper reservation data section. Adjustments to the GFMCF should include a build of a new, or increase to an existing, reservation data section to reflect the issued GFM. In addition an existing reservation data section may have to be decreased by an amount equivalent to the above increase. A purpose code transfer (DIC DAD, appendix B-22) may be required to maintain the proper asset balances in the NIR. When a reservation data section has been modified or established which will match the condition code in pos. 71 of the violation it should be reentered IAW paragraph 5 below.

(e) If the violation was caused by an unmatched location, the GFM monitor will review the entry in pos. 78-80 to determine the location from which assets were issued. The GFMCF must be revised via DIC ZNR, GFMCF Adjustment Transaction, appendix B-173, to allow posting of the shipment document under the proper reservation data section. Adjustments to the GFMCF should include a build of a new or increase to an existing reservation data section to reflect the issued GFM. In addition, an existing reservation data section may have to be decreased by an amount equivalent to the above increase. A purpose code transfer (DIC DAD, appendix B-22) may be required to maintain the proper asset balances in the NIR. When a reservation data section has been modified or established which will match the location in the pos. 78-80, the violation should be reentered IAW paragraph 5 below.

(f) If the violation was caused by an insufficient quantity of assets reserved in the GFM Control File at the specific location, condition and ownership/purpose codes for the NSN issued; the GFM monitor will review the entries in pos. 25-30 of the violation in conjunction with a GFM Control File Printout, appendix F-461A, to determine the reason for the insufficiency. Review the current status of the document number in pos. 30-43 via the ARCSF. When shipment status has been effected (BA or SS, appendix A-12, the GFMCF must be revised via DIC ZNR, GFMCF Adjustment Transaction, appendix B-173, to allow posting of the shipment document under the proper reservation data section. Review the quantity field of the reservation data section which matches the location, the purpose code, and the condition code, of the violated transaction to determine the quantity adjustment required. Adjustments to the GFMCF should include an increase to the existing reservation data section to reflect the issued GFM. In addition, an existing reservation data section may have to be decreased by an amount equivalent to the above increase. A purpose code transfer (DIC DAD, appendix B-22) may be required to maintain the proper asset balances in the NIR. When a reservation data section has been modified to accept the quantity in pos. 25-30 of the violation, it should be reentered IAW paragraph 5 below.

(g) If the violation reflects DIC D6_ in pos. 1-3, the GFM monitor will review the NSN in pos. 8-20 of the violation in conjunction with a GFM Control File Printout, appendix F-461A. The GFM monitor should review source documents to determine the accuracy of the NSN reflected

on the violation. When it is determined that the NSN reflected on the violation is correct the MDN, pos. 54-56, on the violation should be reviewed in accordance with paragraph a above. When review of source documents indicates the NSN is incorrect, coordination with the Receipt/Due-In Section of the Stock Control Division is required. If reversal action is required, the violation should be deleted in accordance with paragraph 5 below.

4. REENTRY INSTRUCTIONS

a. Review remaining data elements contained in Violation Output Document to detect any other invalid data, and correct as necessary.

b. Reentry method for violations is contingent upon type of Violation Output Document which is a local option and recorded in the Output Routing Code Table (appendix F-20). The following codes are the various options with reentry instruction:

<u>CODE</u>	<u>OUTPUT</u>	<u>REENTRY</u>
1	ZLR - Controlled Violation, appendix F-122	Using either Violation Listing, appendix F-122, or Violation/Suspense Control Reentry Transaction, and appendix B-66, enter corrected data and/or Violation Reentry Code (appendix A-62) with colored pencil or ink and submit as prescribed in appendix E-066 P.
3	Uncontrolled Violation, appendix F-117	Reentry, when required, is accomplished as prescribed in appendix E-346 P.

5. FLOWCHART

Flowchart not required.