IN REPLY
REFER TO   DLMSO

August 9, 2007

MEMORANDUM FOR SUPPLY PROCESS REVIEW COMMITTEE MEMBERS

SUBJECT: Second Addendum to Approved Defense Logistics Management System
(DLMS) Change (ADC) 225B, DOD WebSDR Requirement for Information
Copy New Reply Codes

The attached change to DOD 4000.25-M, Defense Logistics Management System
(DLMS) and DLAI 4140.55, AR 735-11-2, SECNAVINST 4355.18A, AFJMAN 23-215,
Reporting of Supply Discrepancies, is approved. This change identifies new SDR
reply/disposition codes associated with ADC 225. The target implementation for this
change is August 2007 (DLA Enterprise Business System (EBS) and Defense Automatic
Addressing Systems Center (DAASC).

Addressees may direct questions to the DLMSO points of contact, Ms. Ellen
Hilert, Chair, Supply Process Review Committee, 703-767-0676, DSN 427-0676, or
e-mail: ellen.hilert@dla.mil, Mr. Robert Hammond, 703-767-2117, DSN 427-2117 or
e-mail: robert.hammond@dla.mil. Others must contact their Component designated
representative.

DONALD C. PIPP
Director
Defense Logistics Management
Standards Office

Attachment

cc:
DUSD (L&MR) SCI
SDR Subcommittee

ADC 225B
DOD WebSDR Requirement for Information Copy New Reply Codes

1. Originator

  a. Service/Agency: DLA

  b. Originator: Ellen Hilert, DLMSO, DOD SDR System Administrator, phone: DSN 427-0676 / Commercial 703-767-0676, e-mail ellen.hilert@dla.mil

2. Functional Area: **Primary**: Supply/SDR

3. References:

  a. DLMSO memorandum dated January 22, 2007, subject: ADC 225, DOD WebSDR Requirement for Information Copy

  b. DLMSO memorandum dated July 2, 2007, subject: Approved Addendum to ADC 225A, DOD WebSDR Requirement for Information Copy

4. Requested change

  a. Description of Change:

    (1) The original requirement (ADC 225) established new procedures for WebSDR/DAAS creation/transmission of an information copy of an SDR in response to an SDR reply reject code sent to DAAS. This reject condition is applicable when no previous information copy was provided and the reply created by a storage site identifies DLA as the source of supply (SoS) (Routing Identifier EBS or S9_). This process is applicable to shipments from storage sites, e.g., Distribution Depots, to a customer where the storage site had initial action on the SDR. This procedure was considered an expansion of existing information copy requirements already implemented under DoD WebSDR which provide an information copy to EBS when EBS is known to be the SoS. When the SoS is not known during original report processing, the storage site reply provides the missing SoS identification.

    (2) The first addendum to the approved change (ADC 225A) added procedures which require DAAS/WebSDR to verify whether an information copy had been provided to EBS for applicable report types. If there is no record that an information copy was provided, DAAS will generate an information copy following current rules. This is intended to greatly reduce the need for EBS rejections due to lack of missing reports and allow for quicker processing. The addendum also added a data field to identify the owner/manager to the WebSDR report and reply screens.

    (3)   This second addendum is provided to modify reply codes and clarify procedures used when requesting an information copy via reject reply code.

    (4)   Refer to references for additional background information.

  b. Procedures:

    (1) Requested programming changes based upon this addendum are:

(a) Creation of the Reject Reply. When the SoS receives a reply to an SDR from a storage site, and an information copy of the original report is required for further processing, the reply will be directed to DAAS (using Routing Identifier-To SGA) with **Reply Code 936** (vice Reply Code 926).

(b) DAAS Rejection of Request to Furnish Information Copy. If DAAS receives a request for an information copy and it is found to be unmatched (either no record or no basic report information), DAAS will reject the transaction to the submitter. This will be accomplished using a DAAS-generated reply transaction (using Routing Identifier-From SGA) with a **Reply Code 937** (vice Reply Code 926).

(2) Insert the following revised wording of the subparagraph below in the joint Component guidance and DLMS manual in the existing section for DAAS processing business rules:

DAAS SDR Processing:

Under DLMS, SDRs shall be integrated with standard logistics transaction processing through DAAS. DAAS shall perform the following actions ……………

DAAS will respond to DAAS-directed rejected reply transactions indicating no matching original report or information copy of the SDR is found in the automated application (Reply Code **936**), by providing an information copy of the original report to the activity which rejected the reply. Unmatched requests for an information copy will be returned by DAAS reject (Reply Code **937**) to the sending organization *(ADC 225/225B)*. *DAAS will create and forward an information copy to the DLA source of supply for of all customer SDRs based upon the storage site reply where an information copy was not previously provided (ADC 225A).*

  c. Alternatives: Reuse existing Reply Code 926 (as previously identified in ADC 225).

5. Reason for change: During initial testing of the ADC 225/225A, it was identified by DAASC that there was a potential for miscommunication due to the re-use of the existing Reply Code 926. The new reply codes are provided to ensure the reject transaction is properly interpreted by the receiving system without relying solely upon the from/to Routing Identifier. It will make it possible to easily isolate the missing information copy processing for metrics so that causes and trends can be identified.

6. Advantages and Disadvantages:

  a. Advantages: The flexible procedures provided for obtaining an information copy support termination of the DLA legacy system, SAMMS, which is increasing the number of missing information copies/original reports in EBS. It also supports resolution of aged SDRs still awaiting action due to missing information copies.

  b. Disadvantages: Use of new reply codes requires a small amount of re-design/programming.

7. IMPACT:

a. Data Content/Procedures: This approved change will impact DAAS/WebSDR processing, but will not impact the transaction format. <u>These information copy business rules are requested as a high priority change for DAAS and EBS</u>. This change is optional for other Components at this time.

   b. Publications: Impact to DOD 4000.25-M, DLMS publication and joint Component guidance.

Enclosure 1: A current list of SDR Disposition/Reply Codes is provided here for information. This list shows all revisions both proposed and approved.

TYPICAL ACTION ACTIVITY RESPONSES
LISTED BY DISPOSITION REPLY CODE

Action activities use the following list of codes to reply to an SDR. Multiple codes may be used on a single report to provide complete financial and material disposition instructions and provide additional information as needed. Use up to three reply codes to identify disposition for customer-originated, vendor or depot shipment SDRs.

Codes are required for transactional exchange of SDRs and may be used to replace or supplement preprinted information on the reverse side of the SF 364. Where possible, system design should accommodate in-the-clear text for ease of use.

The 100-series codes provide status of financial resolution, material disposition instructions, or other appropriate information. (Note: Codes 104, 107, and 108 have been moved to interim reply grouping.)

101	Credit authorized/recommended.
102	Credit not authorized. See remarks.
103	Discrepancy report receipt acknowledgment.
105	Forward material received to address shown. Must use traceable and most economical means available.
106	Forward material received to contractor address shown. Must use traceable and most economical means available.
110	Disposal authorized in accordance with local procedures.
111	Disposal authorized, monetary reimbursement from contractor.
112	Disposal authorized, contractor will provide replacement.
113	Provide disposal documentation to address shown.
114	Provide disposal documentation by date indicated to address shown. Credit will be authorized upon receipt.
115	Turn in material received to Defense Reutilization and Marketing Office (DRMO).
116	Turn in hazardous material received to Defense Reutilization and Marketing Office (DRMO). MIPR or Bill to DoDAAC provided to cover disposal costs.
117	Material will be billed if not returned by date indicated.
118	Debit authorized for material retained.
119	Retain material received at no charge.
120	Retain material with consideration from contractor.
121	Retain material without consideration from contractor.
122	Retain material for future supply decision.
123	Material will be reshipped (provide estimated date of replacement shipment, when known).
124	Material is no longer procurable.

125	Incomplete part/missing component being forwarded.
126	Stock not available for reshipment. Your requisition has been reinstated and will be placed on backorder.
127	Contractor to reship.
128	Balance of contract material will not be shipped.
129	Reimbursement for repackaging discrepancy cannot be authorized until submitter provides cost for repackaging.
130	Place material in stock as is.
131	Remark/repackage material and place in stock.
132	Inspect and place in depot stock.
133	Upgrade material to Condition Code A, correction made by government with reimbursement from contractor.
134	Upgrade material to Condition Code A, correction made by government without reimbursement from contractor.
135	Documentation/technical data is being forwarded.
136	Confirmed canceled requisition shipped.
137	Additional comments provided. See remarks.
138	Shipment shortage based on pieces, weight, and cube.
139	Warehouse denial total shipment.
140	Warehouse denial partial shipment.
141	Duplicate shipment from stock or procurement.
142	Proof of Delivery/Evidence of Shipment not available.
143	SDR canceled by submitter.
144	Recorded for information only. No action taken.
145	No contractor liability found.
146	Material return acknowledged.
147	Corrected shipment document (***Material Inspection and Receiving Report*** ~~DD Form 250~~) provided.
148	Representative will contact you for discussion concerning disposition.
149	Material will be picked up in number of days indicated.
150	Your requisition will be reinstated and placed on backorder.
151	Replacement/revised disposition/status; prior response is superseded.

The 200-series codes provide additional information relevant to Security Assistance SDRs.

201	Incorrect information provided by U.S. Government contract.
202	MAPAD information not current by U.S. Government error.
203	Material erroneously returned to U.S. Government stock.
204	Overage of Repair and Replace material.
205	Shortage of Repair and Replace material.
206	Administrative write-off recommended.
207	No financial adjustment required. Excess Defense Articles (EDA). Overage not billed.
208	Repaired in-country by contractor or U.S. Government personnel.

The 300-series codes indicate Disposition Depot status on SDRs forwarded to a non-DLA action activity.

301	SDR forwarded for disposition by USA as indicated.
302	SDR forwarded for disposition by USAF as indicated.
303	SDR forwarded for disposition by USMC as indicated.
304	SDR forwarded for disposition by USCG as indicated.
305	SDR forwarded for disposition by USN as indicated.
306	SDR forwarded for disposition by GSA as indicated.
307	SDR forwarded for disposition by DLA as indicated.

The 400-series codes indicate the SDR has been closed for the reason provided.

400	SDR closed. Non-compliance with disposition instructions.
401	SDR closed. Non-response to additional information request.
402	SDR closed. Wrong material returned.

The 500-series (and some 100-series) codes indicate an interim reply.

103	Discrepancy report receipt acknowledgment.
104	Additional clarification required from customer. See remarks.
107	Forward material to address shown for inspection/exhibit analysis. Must use traceable and most economical means available.
108	Forward material to contractor address shown for inspection/exhibit analysis. Must use traceable and most economical means available.
501	SDR assigned to Defense Contract Management Agency (DCMA) for investigation.
502	SDR resolution deferred pending receipt of exhibit.
503	SDR currently under investigation.
504	SDR forwarded to new action activity as shown.
505	SDR under investigation. SDR has been forwarded to activity identified for additional action.
506	Item has been transferred to new item manager. Referred to GIM for disposition.
507	SDR forwarded to shipping depot for Proof of Delivery.
508	SDR forwarded to shipping depot for Evidence of Shipment.
509	SDR forwarded to local procurement office for action.
510	SDR forwarded to Item Manager for research and/or disposition instructions.
511	SDR forwarded to Industrial Operations Command for action.
513	SDR forwarded to IL Directorate or Repair and Return Office for research of R&R case.
514	SDR forwarded to the packaging specialist for research.
515	Procurement/Defense Contract Management Agency awaiting response from contractor. SDR suspended.
516	Receipt of material returned by customer has not posted to date. Under investigation.
517	Defense Finance and Accounting Service (DFAS) billing information requested.
518	Your SDR identifies a transportation discrepancy and is being processed as a Transportation Discrepancy Report (TDR).
519	Your SDR identifies a quality deficiency and is being processed as a Product Quality Deficiency Report (PQDR).

The 600-series codes indicate an ICP reply to the Distribution Depot.

| 601 | Change supply condition code and submit as new complaint (original complaint cannot be modified to show new supply condition code). |
| 602 | Item(s) unsuitable; destroy. |

603	Remark and return to stock.
604	Repackage and return to stock.
605	Reidentify and return to stock.
606	Safety hazard; destroy.
607	Safety hazard; dispose.

The 700-series codes indicate SDR rejection:

701	SDR rejected. See remarks.
702	SDR rejected. Material shipped as requisitioned.
703	SDR rejected. Overage/shortage is within contract variation clause.
704	SDR rejected. Evidence of shipment/proof of delivery forwarded.
705	SDR rejected. Records indicate the inspection or test date or this shelf-life item has been extended to date indicated.
706	SDR rejected. Shelf-life not applicable for this item.
707	SDR rejected. Acceptable substitute issued for material requisitioned.
708	SDR rejected. Material shipped prior to cancellation request.
709	SDR rejected. Discrepant quantity shipped after SDR submission.
710	SDR rejected. Discrepant quantity on backorder.
711	SDR rejected. Material shipped via insured/certified/registered mail. Request you contact local postal authority to verify delivery of material.
712	SDR rejected. Submit offer of material under material returns program.
713	SDR rejected. Discrepancy does not meet required minimum dollar value.
714	SDR rejected. Billing adjustments may not be requested on a SDR (except SA). Contact local finance office.
715	SDR rejected. Discrepancy not reported within required timeframe.
716	SDR recorded for information and possible corrective action.
717	SDR identifies a carrier discrepancy. Resubmit as a Transportation Discrepancy Report (TDR).[1]
718	SDR identifies a quality deficiency. Resubmit as a PQDR.[1]
719	SDR rejected. Duplicate of previously submitted SDR.

[1] Use of this code is discouraged. Every effort should be made to process the discrepancy report and resolve the situation without requiring the initiator to resubmit. See preferred codes 518 and 519.

The 800-series codes provide additional rejection notices relevant to Security Assistance SDRs:

800	SDR rejected. No U.S. Government liability.
801	SDR rejected. No billing discrepancy exists.
802	SDR rejected. Bill shows pre-positioning costs/non-recurring costs.
803	SDR rejected. Bill shows contract termination charge.
804	SDR rejected. Item proven serviceable when shipped (Repair & Return, exhibits).
805	SDR rejected. Freight forwarder tracking system indicates material received. Customer should challenge freight forwarder.
806	SDR rejected. Repair/adjustment procedures provided by source.
807	SDR rejected. Material shipped to address specified on LOA in lieu of country.
808	SDR rejected. SDR does not meet latent defect criteria and is, therefore, beyond the allotted timeframe for submission

809	SDR returned without action. Credit cannot be granted since debit billing has not processed. (ILCO use only.)
810	Freight forwarder notification not submitted for total nonreceipt.

The 900-series codes are intended for system/application use in processing transactions:

901	Transaction rejected. SDR submitted to wrong source of supply/shipper. Resubmit to the correct action activity.
902	Transaction rejected. Invalid action code for type of discrepancy submitted.
904	Transaction rejected. Open suffix must be closed before SDR can be reopened.
906	Transaction rejected. Record already closed.
907	Transaction rejected. Record closed, canceled, or a final reply has been provided.
908	Transaction rejected. Record is currently open and cannot be reopened for reconsideration until closed.
909	Transaction rejected. SDR must be reopened for reconsideration before it can be contested.
910	Transaction rejected. Missing or invalid document number.
911	Transaction rejected. Missing or invalid case designator.
912	Transaction rejected. Missing or invalid SDR number.
915	Transaction rejected. Missing or invalid type of discrepancy code.
916	Transaction rejected. Missing or invalid action desired code.
917	Transaction rejected. Missing or invalid discrepant quantity.
918	Transaction rejected. Missing or invalid quantity received.
920	Transaction rejected. Missing or invalid point of contact name.
921	Transaction rejected. Missing or invalid point of contact phone number/email..
923	Transaction rejected. Missing or invalid transaction date.
924	Transaction rejected. Narrative missing.
926	Transaction rejected. No record found.
927	Transaction rejected. Missing or invalid disposition/reply code.
928	Transaction rejected. Missing or invalid condition code.
929	Transaction rejected. Missing or invalid DODAAC, MAPAC, or Routing Identifier.
930	Transaction rejected. Missing information associated with disposition/reply.
931	Transaction rejected. Missing or invalid material identification.
932	Transaction rejected. Missing or invalid wrong item information.
933	*Transaction rejected. SDR follow-up submitted before action activity response time has elapsed.* *(PDC 226, pending approval)*
934	*Transaction rejected. Forwarding action not authorized. Submit new SDR.* *(PDC 226, pending approval)*
935	*Transaction rejected. Forwarding action cannot be processed due to incomplete SDR history. Contact originator to resubmit with updated action activity.* *(PDC 226, pending approval)*
936	*Transaction rejected. SDR processing suspended. Request DOD WebSDR provide an information copy of the original report.*
937	*Transaction rejected. DOD WebSDR unable to provide requested SDR information copy.*