



OFFICE OF THE ASSISTANT SECRETARY OF DEFENSE

3500 DEFENSE PENTAGON
WASHINGTON, DC 20301-3500

SUSTAINMENT

MEMORANDUM FOR THE SUPPLY PROCESS REVIEW COMMITTEE MEMBERS

SUBJECT: Approved Standards Change (ASC) 1317, Establishment of New Unique Item Tracking (UIT) Program for Serially Managed Items

The attached change to Enterprise Business Standards, as outlined in the attachment is approved for implementation.

Addressees may direct questions to Mr. Rafael Gonzalez or Mr. Benjamin Breen, e-mail: EBSO.Supply@dla.mil. Others must contact their designated PRC representative available at <https://www.dla.mil/HQ/InformationOperations/DLMS/allpoc/>.

Leigh E. Method, SES
Deputy Assistant Secretary of Defense
for Logistics

Attachment
As stated

cc:
ODASD(L)
OUSD(C)
OUSD(A&S/DPC)
EBSO PRC MEMBERS

ASC 1317
**Establishment of New Unique Item Tracking (UIT) Program for Serially
Managed Items**

1. ORIGINATING SERVICE/AGENCY AND POC INFORMATION:

a. **Technical POC:** Rafael Gonzalez, Enterprise Business Standards Office (EBSO),
rafael.gonzalez@dla.mil

b. **Functional POC:** Dawn Fiorentino Izzi, ODASD (Logistics),
dawn.m.fiorentinoizzi.ctr@mail.mil

2. FUNCTIONAL AREA LOGISTICS AND TRANSACTION CHANGES:

a. **Primary Functional Area:** Supply

b. **Secondary Functional Process:** Unique Item Identification (UII)

3. REFERENCES:

a. Office of the Deputy Assistant Secretary of Defense (Supply Chain Integration), memorandum of May 17, 2018, Subject: Policy Waiver – DoD Manual 4140.01, Volume 9, DoD Supply Chain Materiel Management Procedures – Materiel Program.

b. Approved Defense Logistics Management Standards (DLMS) Change (ADC) 1198, Establishing and Maintaining Accountability for Service Owned Capital Equipment Stored at DLA Distribution Centers, October 16, 2016

c. ADC 1198A, Establishing and Maintaining Accountability for Service Owned Equipment Stored at DLA Distribution Centers, Missing Serial Numbers and Remove 867I Issue Transactions, September 6, 2017

d. ASC 1198B, Approved Addendum to ADC 1198, Establishing and Maintaining Accountability for Service Owned Capital Equipment Stored at DLA Distribution Centers – Logic to determine Capital Equipment Items, March 2021

e. ADC 1244, Establishing Visibility of Unique Item Tracking (UIT) Program Items for Service-Owned Assets Stored at DLA Distribution Centers and Corresponding Revisions to Inventory Procedures Related to Capital Equipment, June 7, 2018

4. APPROVED CHANGE(S):

a. **Brief Overview of Change:**

(1) This change establishes a new Unique Item Tracking (UIT) Program/ Designator Code AAK – Other Serially Managed Items. This UIT program will be used to

account for Service-owned, serially managed items, to include capital equipment, where existing UIT Programs do not apply.

(2) This change clarifies the status of all UIT programs, as some of them require prior coordination before implementation.

b. Background:

(1) DLA and the Services use UIT designator codes to identify items that require serialization and special handling to support inventory accountability; however, there are certain serialized items that do not qualify for any of the existing UIT programs, but still require serialized tracking. Consequently, DLA submitted a waiver request to ODASD (Logistics) to establish a new UIT program to account for serialized items that would not otherwise qualify under any of the existing UIT programs.

(2) In support of audit, ODASD (Logistics) determined that there are additional requirements necessary for DLA to account for all serially managed items that it stores for the Services, which are beyond just those items requiring special handling. The DoD Item Unique Identification (IUID) Working Group determined that the quickest and most cost-effective way for DLA to meet this requirement was to establish a new UIT designator code for serially managed items that are not already covered by another code.

(3) On May 17, 2018, the Office of the Deputy Assistant Secretary of Defense (Supply Chain Integration) signed a waiver authorizing a new UIT Program/Designator Code – Other Serially Managed Items (Reference 3.a. and Enclosure 2).

(4) After implementing ADC 1198 (Reference 3.b.), DLA acknowledged several challenges when identifying capital equipment materiel. In combination with this change, DLA submitted ASC 1198B to revise the logic used to identify capital equipment materiel and incorporate the use of UIT Codes (Reference 3.d.).

c. Approved Change in Detail:

(1) Add UIT Designator Code AAK – Other Serially Manage Items, to the list of authorized UIT designator codes in DLM 4000.25, Volume 2, Chapter 30, Table C30.T1. The UIT Designator Code AAK is available for any serialized item, to include capital equipment that will not otherwise qualify for other existing UIT Programs (Enclosure 1).

(2) Upon approval, Services may use UIT Designator Code AAK to enable serialized tracking of a serially managed item that does not qualify for any other active UIT Program. This UIT designator code may also be used to enable serialization tracking for capital equipment items.

d. Revisions to Defense Logistics Manuals: Revise DLM 4000.25, Volume 2, Chapter 30, Procedures for Serially Managed Materiel Requiring Owner Visibility as shown in Enclosure 1.

5. REASON FOR CHANGE: During implementation planning for ADC 1244 (Reference 3.e.), the IUID WG and DLA identified a requirement for an additional UIT program/designator code to account for service owned, serially managed items stored at DLA Distribution Centers to which existing UIT programs do not apply.

6. ADVANTAGES AND DISADVANTAGES:

a. Advantages: Improved accountability for Service owned materiel stored at DLA Distribution Centers

b. Disadvantages: None

7. ESTIMATED TIME LINE/IMPLEMENTATION TARGET:

a. Implementation of the new UIT Program/Designator Code AAK – Other Serially Manage Items will take effect upon approval of this change.

b. Existing capital equipment items stored at DLA warehouses must migrate to a qualifying UIT program/designator code prior to implementation of the DLA Warehousing Management System (WMS). ASC 1198B (Reference 3.d.) provides additional details regarding the transition of Capital Equipment items currently stored at a DLA storage activity.

8. ESTIMATED SAVINGS/COST AVOIDANCE ASSOCIATED WITH IMPLEMENTATION OF THIS CHANGE: Implementation of this change is not intended as an attempt to save or avoid costs.

9. IMPACT:

a. New/Changes to Data Elements: Update existing UIT Designator Code List in LOGDRMS with the new Code, AAK upon approval.

b. Automated Information Systems (AIS): DLA to update AISs including FLIS as required.

c. Defense Automatic Addressing System (DAAS): Modify WebSDR to include the new UIT Program/Designator Code AAK. The new UIT Code will also be available SDR metrics reporting.

d. Non-DLM Publications: DLA, Components to update publications, as necessary.

**10. PROPOSED DEFENSE LOGISTICS ENTERPRISE STANDARDS CHANGE (PDC)
1317 RESPONSE/COMMENT RESOLUTION:**

	Component	Response/Comment	Disposition
1.	U.S. Army	Concur without comment.	As noted.
2.	U.S. Navy	Concur without comment.	As noted.
3.	U.S. Force	Concur without comment.	As noted.
4.	U.S. Marine Corps	Concur without Comment.	As noted.
5.	DLA	Concur without comment.	As noted.
6.	DAAS	Concur without comment.	As noted.

Enclosure 1

Defense Logistics Manual (DLM) 4000.25, Volume 2, Supply Standards and Procedures

Make the following changes to Volume 2. Additions are shown in *red bold italics*, and deletions are shown with double strikethrough text.

Chapter 30. Procedures for Serially Managed Materiel Requiring Owner Visibility

Preceding text not shown.

C30.5.1.1.3. Table C30.T1. shows the UIT Designator Codes

Table C30.T1. Unique Item Tracking Designator Codes

Code	Explanation Program Title	Status
AAA	DoD Small Arms and Light Weapons Serialization Program (DoDSALWSP)	Active
AAB	Security Risk Category I Non-Nuclear missiles and Rockets	Active*
AAC	Radiation Source Tracking System (RASTS) Program	Active
AAD	Navy Depot Level Repairables (NDLR) Program	Active*
AAF	Aviation Component Tracking Program	Active*
AAG	Tritium Unique Item Tracking Program	Active
AAH	Controlled Cryptographic Items (CCI)	Active
AAJ	Positive Inventory Control (PIC) Nuclear Weapon Related Materiel (NWRM)	Active
AAK	Other Serially Managed Items¹	Active
* Requires prior coordination before implementation		

Intervening text not shown.

~~C30.5.2. Capital Equipment. Capital equipment does not fall under DODM 4140.01 IUID policy and is addressed separately within the affected Volume 2 chapters.²~~

~~C30.5.3.2. Other categories of serially managed materiel requiring owner visibility at distribution centers may be identified in the future. **Serially Managed Items under UIT Designator Code AAK.**³~~

¹ Refer to ADC 1198, 1198A, ASC 1198B, and ASC 1317.

² Refer to ADC 1198 and 1198A, Establishing and Maintaining Accountability for Service Owned Capital Equipment Stored at DLA Distribution Centers.

³ Refer to ASC 1317.

C30.5.2.1. UIT Designator Code AAK identifies assets requiring serial number tracking for Service Owned items, to include capital equipment, when no other UIT program applies. UIT Designator Code AAK enables Components to address serially managed items that they store, beyond those items that require special handling under existing UIT designator codes. The intent of this new program code is to account for serialized items stored at DLA Distribution Centers, however, UIT Designator Code AAK is available to all DoD storage activities.

C30.5.2.2. Following the initial implementation by DLA, the Services will be able to classify items as serialized under the UIT Designator Code AAK by submitting the change to FLIS.

Enclosure 2

Policy Waiver for establishing a new unique item tracking program



LOGISTICS AND
MATERIEL READINESS

OFFICE OF THE ASSISTANT SECRETARY OF DEFENSE
3500 DEFENSE PENTAGON
WASHINGTON, DC 20301-3500

ACTION MEMO

May 17, 2018

FOR: Robert H. McMahon, ASD(L&MR)

FROM: Ms. Jan Mulligan, Performing the Duties of the DASD(SCI) *JM*

SUBJECT: Policy Waiver – DoD Manual 4140.01, Volume 9, “DoD Supply Chain Materiel Management Procedures: Materiel Programs”

- Request your approval to waive a portion of the subject policy to allow for the establishment of an additional unique item tracking (UIT) program.
- Section 4, sub-section 4.1, f. of the subject manual (TAB A) states, “The DoD Components will: Review existing unique item tracking (UIT) and serial number tracking programs for possible transition to Item Unique Identification (IUID) Registry functionality. The DoD Components will not establish additional unique item tracking or serial number tracking programs.”
- As a result of multiple discussions at the SCI chaired DoD IUID working group (WG), SCI requests your approval to establish an additional UIT program based on the following:
 - DLA and the Services use UIT program codes to flag certain types of Service materiel that require serialization and special handling in support of inventory accountability, e.g. critical safety items and nuclear weapons related materiel. The original intent of the subject policy was to transition away from using UIT program codes to the use of IUID. Given the challenges with and slow adoption of IUID, the UIT program codes are still in use by DLA and the Services to ensure serialization and accountability.
 - In support of audit, there is an additional requirement for DLA to account for all serially managed items that it stores for the Services, which is beyond just those items that require special handling. The DoD IUID WG determined that the quickest and most cost effective way for DLA to meet this requirement is to establish a new UIT program code for those serially managed items that are not already covered by another code.

RECOMMENDATION: Approve taking action by initialing below.

Approve: *[Signature]* Disapprove: _____ Other: _____

COORDINATION: None.

Attachments:

As stated

Prepared by: Dawn Fiorentino *[Signature]* Izzi, ODASD(SCI), 571-372-5223