**AP7.29 APPENDIX 7.29**

**STOCK READINESS RELEVANT DATA ELEMENTS**

AP7.29.1. GENERAL

AP7.29.1.1. This appendix provides a consolidated reference resource for data elements and their associated code sets applicable to Stock Screening Request and Storage Quality Control Report (SQCR) submissions and responses. These data elements are applicable in all Stock Screening Requests and SQCR processing under the DLMS. The following data elements are included in this appendix. Within the context of stock readiness procedures, these data elements may be recognized by abbreviated names as indicated.

* Shipping, Packaging, and Storage Discrepancy Code. Also referred to as Discrepancy Code.
* SDR or SQCR Requested Action Code. Also referred to as Action Code.
* Discrepancy Status or Disposition (Reply) Code. Also referred to as Reply Code.
* Discrepancy Report Document Type Code. Also referred to as Document Type.

AP7.29.2. IN-STORAGE DISCREPANCY CODES. The following codes are used to provide a description of the discrepant condition. Up to two discrepancy codes may be used on a single report.

| **DISCREPANCY CODES** | |
| --- | --- |
| **Condition of Materiel** | |
| A1 | Condition of stored materiel changed because of damage |
| A2 | Condition of materiel changed because of deterioration |
| A3 | Stored materiel is misidentified |
| A4 | Stored materiel is incomplete |
| A5 | Stored materiel requires repair |
| A6 | Improper storage conditions resulting in item corrosion |
| C1 | In a condition other than shown on supply document or on the supporting inspection/test certificate (if hazardous materiel use code H1) |
| C2 | Expired shelf life item (if hazardous materiel use code H5) |
| C6 | Damage caused by pilferage, vandalism, or theft |
|  |  |
| **Hazardous Material** | |
| D601 | Hazardous waste profile sheet omitted |
| D602 | Hazardous waste profile sheet incomplete or incorrect |
| D701 | Hazardous waste manifest omitted |
| D702 | Hazardous waste manifest incomplete or incorrect |
| H1 | Hazardous item in a condition other than shown on supply document or on the supporting inspection/test certificate |
| H2 | Hazardous item in storage damaged or requires repair |
| H3 | Lack of a Safety Data Sheet (SDS) in Hazardous Material Information Resource System (HMIRS) |
| H4 | Non-radioactive item classified as radioactive, or non-hazardous item classified as hazardous |
| H5 | Expired hazardous shelf life item |
| **Lumber** | |
| L1 | Moisture exceeds allowable percentage |
| L2 | Not treated in accordance with specification |
| L3 | Product off grade |
| L4 | Improper size |
| L5 | Improper tally |
| L6 | Improper or no grade mark on product |
| L7 | Rotten product |
| L8 | Splits, excessive wane, scant, or not end trimmed (one or all) |
| **Overage** | |
| O5 | Concealed overage discovered in a sealed shipping container |
| O6 | Overage due to unit of issue/unit of pack incompatibility |
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| **Packaging Discrepancy Codes[[1]](#footnote-1)** | |
| P1 | **Improper preservation** |
| P101 | Cleaning inadequate, incorrect, or omitted |
| P102 | Preservative inadequate, incorrect, or omitted |
| P103 | Barrier materiel inadequate, incorrect, or omitted |
| P104 | Unit pack cushioning inadequate, incorrect, or omitted |
| P105 | Unit container inadequate, incorrect, omitted or oversized |
| P106 | Desiccant incorrect, improperly located, or omitted |
| P107 | Tape/closure of unit container incorrect or inadequate |
| P108 | Hazardous materiels not removed as required |
| P109 | Improper preservation of hazardous materiels (includes ammunitions/ explosives) |
| P110 | Level of protection excessive or inadequate |
| P112 | Non-conformance to specified requirements for preservation (explanation required) |
| P113 | Electrostatic/electromagnetic device preservation inadequate or omitted |
| P114 | Concealed preservation defect found in storage (explanation required) |
| ***P116*** | ***Preservation inadequate or incorrect resulting in item corrosion*** |
| P199 | Improper preservation not identified by other code; see remarks |
|  |  |
| P2 | **Improper Packing** |
| P201 | Container inadequate, incorrect, or oversized |
| P202 | Intermediate container inadequate, incorrect, oversized, or omitted |
| P203 | Exterior container inadequate, incorrect, oversized, or omitted |
| P204 | Blocking and bracing inadequate, incorrect, or omitted |
| P205 | Cushioning inadequate, incorrect, or omitted |
| P206 | Level of protection excessive or inadequate |
| P207 | Container deteriorated |
| P208 | Skids incorrect or omitted |
| P209 | Improper packing of hazardous materiels (includes ammunitions/explosives) |
| P210 | Non-conformance to specified requirements for packing (explanation required) |
| P211 | Improper foam-in-place |
| P212 | Reusable container not used or improperly prepared |
| P213 | Closure incorrect or inadequate |
| P214 | Concealed packing defect found in storage (explanation required) |
| P215 | Non-conformance to specified requirements for wood packaging materiel (WPM) |
| ***P216*** | ***Packaging inadequate or incorrect resulting in item corrosion*** |
| P299 | Improper packing (not identified by other code); see remarks |
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| P3 | **Improper markings** |
| P301 | Identification markings omitted, incomplete, incorrectly located, or not legible |
| P302 | Improper marking of hazardous materiels (includes ammunitions/explosives) |
| P303 | Labels omitted or improperly affixed |
| P304 | Contract data omitted, incomplete, incorrectly located, or not legible |
| P305 | Precautionary or handling markings omitted, incomplete, or not legible |
| P306 | Shelf-life markings omitted, incorrect, or not legible |
| P307 | Bar code markings omitted, or not legible |
| P308 | Incorrect lot number |
| P309 | Set or assembly markings omitted |
| P311 | Non-conformance to specified requirements for marking (explanation required). |
| P312 | Electrostatic/electromagnetic device markings inadequate or omitted |
| P313 | Packing list omitted or incorrectly located |
| P399 | Improper markings not identified by other code; see remarks |
|  |  |
| P4 | **Improper unitization (includes palletization and containerization)** |
| P405 | Protective covering/wrapping inadequate, improper, or omitted |
| P499 | Improper utilization not identified by other code; see remarks |
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| **Quality-Related Stock Screening (Storage Activity** **Only)** | |
| Q11 | Returned or stock screen item, PQDR exhibit deficiency |
| Q22 | New procurement receipt, customer return, redistribution order or stock screen item quality deficiency, contractual non-compliance including specification and/or technical data deficiency |
| Q33 | Returned, redistribution order, or stock screened item suspected materiel deficiency (DLA Customer Returns Improvement Initiative (CRII) items only) |
| Q55 | Item under investigation |
| Q66 | Customer return or stock screen item failed under use |
| Q77 | New receipt, customer return, redistribution order to stock screen item identified as a Critical Safety Item (CSI) |
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| **Shortage or Nonreceipt** | |
| S6 | Unit of issue/unit of pack incompatible |
| S9 | Incomplete component, assembly, set, kit, outfit (CASKO) (do not use to report cannibalization of Supply System Responsibility Item (SSRI), Components Of End Item (COEI), or Basic Issue Item (BII); see Discrepancy Code C4) |
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| **Technical Markings (Name Plates, Log Books, Operating Handbooks, Special Instructions)** | |
| T1 | Technical data markings missing |
| T2 | Technical data markings illegible or mutilated |
| T3 | Precautionary operational markings missing |
| T4 | Inspection data missing or incomplete |
| T5 | Serviceability operating data missing or incomplete |
| T6 | Warranty data missing |
| T7 | Missing part number on bare item |
| T9 | Operating handbooks, log books, and/or special instructions missing |
|  |  |
| **Unique Identification and Unidentifiable Materiel** | |
| U01 | Unique identification data on label missing, damaged, or unreadable |
| U02 | Unique identification data on item missing, damaged, or unreadable |
| U05 | Non-conformance to unique identification requirements under terms of contract |
| U06 | Multiple containers without separate unique identification data listings |
| U07 | Mismatch between unique identification data on item and label |
| U10 | Mismatched or missing unique identification discovered upon opening a sealed pack |
| U11 | Materiel unidentifiable; stock number missing or damaged |
|  |  |
| **Other Discrepancies** | |
| Z1 | Other discrepancy - see remarks |
| Z5 | Immediate resolution/replacement of discrepant item under DLA industrial activity support agreement |
| Z6 | Discrepancy report resubmitted following cancellation must use with other codes |

AP7.29.3. SQCR REQUESTED ACTION CODES. The Requested Action Code is used to provide a description of the action requested by the initiator of the SQCR. Only one action code may be used per SQCR under DLMS.

| **ACTION CODES** | |
| --- | --- |
| 1A | Disposition instructions for discrepant materiel requested; financial action not applicable. |
| 1Z | Other action requested (see remarks). |
| 2C | Technical documentation/data requested. |
| 3B | Discrepancy reported for corrective action and trend analysis; no reply required. |
| 4A | Manager disposition requested for non-manager owned suspended stock per receipt/stock screening; no action by owner pending manager response.[[2]](#footnote-2) |
| 4B | Action transferred to manager subsequent to return of non-manager owned suspended materiel to the manager.[[3]](#footnote-3) |
|  |  |

AP7.29.4. DISCREPANCY STATUS OR DISPOSITION (REPLY) CODES. Action activities use the Discrepancy Status or Disposition (Reply) Codes to codify a response to an SQCR. This data element may be referred to as a Reply Code. Up to two reply codes may be used on a single SQCR reply to provide complete disposition instructions and additional information as needed. WebSQCR and WebSS may also use reply codes on automatic reject replies for transactions that fail edit criteria. System design should accommodate clear text for ease of use and interpretation. The following Reply Codes may be used on the SQCR.

| **Reply Codes** | |
| --- | --- |
| The 100-series codes provide status of financial resolution, materiel disposition instructions, or other appropriate information:[[4]](#footnote-4) | |
| 105 | Forward materiel received to location identified. Must use traceable and most economical means available. |
| 106 | Forward materiel received to contractor location identified (must use traceable and most economical means available). |
| 110 | Disposal authorized in accordance with local procedures. |
| 111 | Disposal authorized, monetary reimbursement from contractor. |
| 112 | Disposal authorized, contractor will provide replacement. |
| 119 | Retain materiel received at no charge. |
| 120 | Retain materiel with consideration from contractor. |
| 121 | Retain materiel without consideration from contractor. |
| 122 | Retain materiel for future supply decision. |
| 129 | Reimbursement for repackaging discrepancy cannot be authorized until submitter provides cost for repackaging. |
| 130 | Place materiel in stock as is. |
| 131 | Remark/repackage materiel and place in stock. |
| 133 | Upgrade materiel to Condition Code A, correction made by government with reimbursement from contractor. |
| 134 | Upgrade materiel to Condition Code A, correction made by government without reimbursement from contractor. |
| 135 | Documentation/technical data is being forwarded. |
| 137 | Additional comments provided; see remarks. |
| 144 | Recorded for information only; no action taken. |
| 145 | No contractor liability found. |
| 148 | Representative will contact you for discussion concerning disposition. |
| 151 | Replacement/revised disposition/status; prior response is superseded. |
| 152 | Discrepancy report recorded for information and possible corrective action. |
|  |  |
| The 300-series codes indicate Distribution Center status on SDRs/SQCRs forwarded to the owner/manager for action: | |
| 320 | Reclassification of materiel complete. (Use only with Status Update.) |
| 321 | Documentation/technical data/other information are being forwarded. (Use only with Status Update.) |
|  |  |
| The 400-series codes indicate the SDR/SQCR has been closed for the reason provided: | |
| 410 | Discrepancy report closed. Disposition action complete. |
| 411 | Discrepancy report closed due to zero balance on record. |
| 412 | Discrepancy report closed. Materiel disposition could not be completed due to zero balance at storage location. |
|  |  |
| The 500-series (and some 100-series) codes indicate an interim reply: | |
| 103 | Discrepancy report receipt acknowledgment. |
| 104 | Additional clarification required from submitter; see remarks. |
| 107 | Forward materiel to address shown for inspection/exhibit analysis (must use traceable and most economical means available). |
| 108 | Forward materiel to contractor address shown for inspection/exhibit analysis (must use traceable and most economical means available). |
| 503 | Discrepancy report currently under investigation. |
| 514 | Discrepancy report forwarded to the packaging specialist for research. |
| 520 | Discrepancy report materiel undergoing test/evaluation. |
| 521 | Discrepancy report resolution pending PQDR review. |
| 522 | Discrepancy report resolution pending investigation/response from item manager.[[5]](#footnote-5) |
| 523 | Manager notification to owner: return materiel to manager at current location.[[6]](#footnote-6) |
| 524 | Owner notification to manager: materiel returned at current location for credit.[[7]](#footnote-7) |
|  |  |
| The 600-series codes indicate an ICP reply to the Distribution Center: | |
| 602 | Item(s) unsuitable; destroy. |
| 603 | Remark and return to stock. |
| 604 | Repackage and return to stock. |
| 605 | Reidentify and return to stock. |
| 606 | Safety hazard; destroy. |
| 607 | Safety hazard; dispose. |
| 608 | Reclassify materiel as instructed. |
| 611 | Reidentify unique identification (UII/serial number) and return to stock. |
|  |  |
| The 700-series codes indicate SDR/SQCR rejection: | |
| 701 | Discrepancy report rejected. See remarks. |
| 719 | Discrepancy report rejected. Duplicate of previously submitted discrepancy report. |
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| The 900-series codes are intended for system/application use in processing transactions. When SDRs/SQCRs are processed manually, these codes may be user assigned as appropriate: | |
| 901 | Transaction rejected. Discrepancy report submitted to wrong owner/manager. Resubmit to the correct action activity. |
| 902 | Transaction rejected. Invalid action code for type of discrepancy submitted. |
| 904 | Transaction rejected. Open suffix must be closed before discrepancy report can be reopened. |
| 906 | Transaction rejected. Record already closed. |
| 907 | Transaction rejected. Discrepancy report canceled. |
| 908 | Transaction rejected. Record is currently open and cannot be reopened for reconsideration until closed. |
| 910 | Transaction rejected. Missing or invalid document number (or document number/suffix). |
| 912 | Transaction rejected. Missing or invalid discrepancy report system-assigned control number. |
| 915 | Transaction rejected. Missing or invalid type of discrepancy code. |
| 916 | Transaction rejected. Missing or invalid action desired code. |
| 917 | Transaction rejected. Missing or invalid discrepant quantity. |
| 920 | Transaction rejected. Missing or invalid point of contact name. |
| 921 | Transaction rejected. Missing or invalid point of contact phone number/email. |
| 923 | Transaction rejected. Missing or invalid transaction date. |
| 924 | Transaction rejected. Narrative missing. |
| 926 | Transaction rejected. No record found. |
| 927 | Transaction rejected. Missing or invalid disposition/reply code |
| 928 | Transaction rejected. Missing or invalid condition code. |
| 929 | Transaction rejected. Missing, invalid, or unauthorized use of DoDAAC, MAPAC, or RIC. |
| 930 | Transaction rejected. Missing information associated with disposition/reply |
| 931 | Transaction rejected. Missing or invalid materiel identification. |
| 933 | Transaction rejected. Discrepancy report follow-up submitted before action activity response time has elapsed. |
| 937 | Transaction rejected. DoD WebSDR/WebSQCR unable to provide requested Discrepancy report information copy. |
| 938 | Transaction rejected. Missing or invalid transaction set purpose code. |
| 939 | Transaction rejected. Missing, invalid, or inappropriate discrepancy report document type code. |
| 941 | Transaction failed during processing; it cannot be transmitted electronically to the designated action activity. |
| 943 | Transaction rejected. Fund Code or Standard Line of Accounting (SLOA) data elements do not match Standard Financial Information Structure (SFIS) Fund Code to Fund Account Conversion Table. |

AP7.29.5. DISCREPANCY REPORT DOCUMENT TYPE CODES. The following codes are used to identify report categories for appropriate automated processing.

| **DOCUMENT TYPE CODES** | |
| --- | --- |
| 5 | Storage Quality Control Report/Reply |
| S | Stock Screening Request/Reply |

1. Two-position packaging discrepancy codes are not applicable for new submissions after September 1, 2013. Refer to ADC 1059. [↑](#footnote-ref-1)
2. Refer to ADC 1084. Not authorized for use pending implementation; target implementation is 1Q FY 2017. [↑](#footnote-ref-2)
3. Ibid. [↑](#footnote-ref-3)
4. Reply Codes 103, 104, 107, and 108 have been moved to interim reply grouping. [↑](#footnote-ref-4)
5. Refer to ADC 1084. Not authorized for use pending implementation; target implementation is 1Q FY 2017. [↑](#footnote-ref-5)
6. Ibid. [↑](#footnote-ref-6)
7. Ibid. [↑](#footnote-ref-7)