861 Receiving Advice/Acceptance Certificate

Functional Group=RC

Purpose: This Draft Standard for Trial Use contains the format and establishes the data contents of the Receiving Advice/Acceptance Certificate Transaction Set (861) for use within the context of an Electronic Data Interchange (EDI) environment. The transaction set can be used to provide for customary and established business and industry practice relative to the notification of receipt or formal acceptance of goods and services.

DLMS Note:

1. Receiving activities use this transaction set to report the acceptance of materiel at destination.

a. DoD, Federal and Civil Agency users operating under the Defense Logistics Management Standards (DLMS) must reference the Unit of Issue and Purchase Unit Conversion Table available at URL: www.dla.mil/does/DLM

b. This transaction may be used to record source inspection information separately or in combination with acceptance report information and external acceptance at the "box" level or at the consolidated shipment level.

c. This transaction may be used to provide item unique identification (IUID) information in accordance with DLMS procedures and OSD Supply Policy. Refer to the item unique identification (IUID) web at URL: http://www.acq.osd.mil/dpap/pdi/uid/ for DoD policy

2. This Implementation (IC) incorporates the Proposed DLMS Change (PDC) and Approved DLMS Change (ADC) listed. PDCs/ADCs are available from the Defense Enterprise Data Standards Office (DEDSO) Web site: https://www.dla.mil/HQ/InformationOperations/DLMS/elibrary/Transformats/140_997/

- ADC 132, Revised Acceptance Report (AR) for Unique Identification (UID) and New Shipment/Acceptance Discrepancy Explanation Code
- ADC 162, Correction to DSs 4010 856 ASN and 4010 861 Acceptance Report to Add Missing Qualifiers for Component Unique Data Content
- ADC 165, Optional Capability for Sending Information Copy of DLMS Supplements 856, 856S, 861, 867I, 870M, 945A, and 947I to a Component Unique Item Tracking (UIT) Registry (Supply)
- ADC 182, Increased Functionality for DLMS 861, Acceptance Report
- ADC 208, Revised Inspection/Acceptance Report (DLMS Supplement (DS) 861) to Support Inspection Advice and Shipment Level Acceptance
- Organizational Name and Other Non-Substantive (Administrative) Updates Completed on December 12, 2014.
- ADC 1136, Revise Unique Item Tracking (UIT) Procedures to support DODM 4140.01 UIT Policy and Clarify Requirements (Supply)
- ADC 1161A, Update Uniform Procurement Instrument Identifier (PIID) Numbering System in the Federal/DLMS Implementation Conventions and DLMS Manuals
- Administrative Update to Reflect Realignment to Recognize DLMS Program Office Completed on January 25, 2017
- Administrative Update to Reflect Realignment of DLMS Program Office to Enterprise Business Standards Office (EBSO) - Completed on June 06, 2019
- ADC 1335, Wide Area Work Flow (WAWF) Changes to Support Purchase Card Receiving Report and Property Book Identifier
- ADC 1345 Administrative Update to Convert Federal 856 ASN, 857, 861, and 997 to DLMS ICs. ADC 1345 Revises the designation of the DLMS 861 to 861P. No transaction usage changes are made by ADC 1345.
- ADC 1367, Administrative Update to Convert Federal Notes to DLMS Notes within DLMS Implementation Conventions
- ADC 1370, Administrative Update to Change Data Element N106 Usage from Must Use to Used
- Administrative Update to Reflect Realignment of Enterprise Business Standards Office (EBSO) to Defense Enterprise Data Standards Office (DEDSO) - Completed on May 5, 2022
- ADC 1412, Replacement of Data Universal Numbering System Number with Unique Entity Identifier and Electronic Funds Transfer Indicator to Wide Area Workflow
- ADC 1420, Other Transaction (OT) Agreements Instrument Type and Procurement Instrument Identifier (PIID) Construct (Supply/Contract Administration)
- ADC 1503, DLMS Implementation Convention Notes Updates_MFR

Heading:

Pos	Id	Segment Name	Req	Max Use	Repeat	Notes	Usage
010	ST	Transaction Set Header	M	1			Used
020	BRA	Beginning Segment for Receiving Advice or Acceptance Certificate	M	1		N1/020	Used
050	REF	Reference Identification	O	>1			Used
060	PER	Administrative Communications Contact	O	3			Used
070	DTM	Date/Time Reference	M	10			Used
080	PRF	Purchase Order Reference	O	25			Used
LOOP ID - N1					**200**		
130	N1	Name	M	1			Must use

Pos	Id	Segment Name	Req	Max Use	Repeat	Notes	Usage

Detail:

Pos	Id	Segment Name	Req	Max Use	Repeat	Notes	Usage
LOOP ID - RCD					**200000**		
010	RCD	Receiving Conditions	M	1			Must use
020	SN1	Item Detail (Shipment)	O	1			Used
050	PID	Product/Item Description	O	1000			Used
070	REF	Reference Identification	O	12			Used
180	MAN	Marks and Numbers	O	>1			Used
LOOP ID - LM					**10**		
185	LM	Code Source Information	M	1			Must use
186	LQ	Industry Code	M	100			Used

Summary:

Pos	Id	Segment Name	Req	Max Use	Repeat	Notes	Usage
020	SE	Transaction Set Trailer	M	1			Used

Notes:

1/020   This transaction set is a Receiving Advice unless BRA04 contains a value of "8". When BRA04 contains a value of "8", the transaction set is an Acceptance Certificate and the units received is the units accepted.

ST Transaction Set Header

Pos: 010	**Max: 1**
Heading - Mandatory	
Loop: N/A	**Elements: 2**

User Option (Usage): Used

Purpose: To indicate the start of a transaction set and to assign a control number

Semantics:

1. The transaction set identifier (ST01) used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
ST01	143	**Transaction Set Identifier Code**	M	ID	3/3	Must use

Description: Code uniquely identifying a Transaction Set

Code	Name
861	Receiving Advice/Acceptance Certificate

Ref	Id	Element Name	Req	Type	Min/Max	Usage
ST02	329	**Transaction Set Control Number**	M	AN	4/9	Must use

Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set

BRA Beginning Segment for Receiving Advice or Acceptance Certificate

Pos: 020	**Max: 1**
Heading - Mandatory	
Loop: N/A	**Elements: 6**

User Option (Usage): Used

Purpose: To indicate the beginning of a Receiving Advice or Acceptance Certificate Transaction Set and transmit an identifying number, date, and time

Semantics:

1. BRA02 is the date that the receiving advice transaction set is created.
2. BRA05 is the time that the receiving advice transaction set is created.

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
BRA01	127	**Reference Identification**	M	AN	1/30	Must use

Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

DLMS Note: *Use "Z" for this data element. This "Z" entry satisfies the X12 usage requirements; the receiving application software shall not process it.*

Ref	Id	Element Name	Req	Type	Min/Max	Usage
BRA02	373	**Date**	M	DT	8/8	Must use

Description: Date expressed as CCYYMMDD

DLMS Note: *Express the originating activity's date in UTC (Universal Time Coordinate).*

Ref	Id	Element Name	Req	Type	Min/Max	Usage
BRA03	353	**Transaction Set Purpose Code**	M	ID	2/2	Must use

Description: Code identifying purpose of transaction set

Code	Name
00	Original

> **DLMS Note:**
> *Use regardless of the type of acceptance report, unless a cancellation or correction.*

Code	Name
01	Cancellation

> **DLMS Note:**
> *Future enhancement; not used for initial implementation.*

Code	Name
CO	Corrected

> **DLMS Note:**
> *Future enhancement; not used for initial implementation.*

Ref	Id	Element Name	Req	Type	Min/Max	Usage
BRA04	962	**Receiving Advice or Acceptance Certificate Type Code**	M	ID	1/1	Must use

Description: Code specifying type of receiving advice

DLMS Note: *Use when the transaction set contains one or more reports, regardless of the type of the acceptance report.*

Code	Name
8	Acceptance Certificate

> **DLMS Note:**
> *Not used at this time; reserved for future enhancement.*

Code	Name
9	Authorized Representative in Receipt of an Acceptance Alert

DLMS Note:

Code Name

Use to identify a party in receipt of an acceptance alert Advance Shipment Notice (ASN) for destination acceptance (equates to MILSCAP PKN).

A   Authorized Representative Not in Receipt of an Acceptance Alert

DLMS Note:

Use to identify a party not in receipt of an acceptance alert Advance Shipment Notice (ASN) for destination acceptance (equates to MILSCAP PKP).

| BRA05 | 337 | **Time** | O | TM | 4/8 | Must use |

Description: Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)

DLMS Note: *1. Express the originating activity's time in UTC.*

2. Express time in a four-position (HHMM) format.

| BRA07 | 306 | **Action Code** | O | ID | 1/2 | Used |

Description: Code indicating type of action

Code Name

24   On-Hold

DLMS Note:

Use to indicate status of materiel received. Use only when full vendor shipment cannot be accepted/placed in suspended status.

80   Reconcile

DLMS Note:

Use to reconcile quantity accepted when a partial quantity has been accepted previously in separate transaction; when partial quantity has been accepted and status of remaining quantity is not identified on this transaction; or when partial quantity has been suspended.

WQ   Accept

DLMS Note:

Use when full vendor shipment quantity has been accepted.

REF Reference Identification

Pos: 050	Max: >1
Heading - Optional	
Loop: N/A	Elements: 2

User Option (Usage): Used

Purpose: To specify identifying information

Syntax Rules:

1. R0203 - At least one of REF02 or REF03 is required.

Semantics:

1. REF04 contains data relating to the value cited in REF02.

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
REF01	128	**Reference Identification Qualifier**	M	ID	2/3	Must use

Description: Code qualifying the Reference Identification

Code	Name
FS	Final Sequence Number

DLMS Note:

Use, if applicable, to identify the final shipment suffix Z.

SI	Shipper's Identifying Number for Shipment (SID)

DLMS Note:

WAWF will concatenate the final shipment suffix with the shipment number.

REF02	127	**Reference Identification**	X	AN	1/30	Must use

Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

PER   Administrative Communications Contact

Pos: 060	**Max: 3**
Heading - Optional	
Loop: N/A	**Elements: 7**

User Option (Usage): Used

Purpose: To identify a person or office to whom administrative communications should be directed

Syntax Rules:

1. P0304 - If either PER03 or PER04 is present, then the other is required.
2. P0506 - If either PER05 or PER06 is present, then the other is required.
3. P0708 - If either PER07 or PER08 is present, then the other is required.

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
PER01	366	**Contact Function Code**	M	ID	2/2	Must use

Description: Code identifying the major duty or responsibility of the person or group named

Code	Name
KC	Accepting Official

> **DLMS Note:**
> *Use to provide name of receipt examiner. Must also provide telephone number below.*

Ref	Id	Element Name	Req	Type	Min/Max	Usage
PER02	93	**Name**	O	AN	1/60	Used

Description: Free-form name

DLMS Note: *Use to identify the name of a point of contact. Last name, followed by first name, is preferred. If the name is longer than 60 characters, use initials. PER09 may be used to cite the title of the individual.*

Ref	Id	Element Name	Req	Type	Min/Max	Usage
PER03	365	**Communication Number Qualifier**	X	ID	2/2	Used

Description: Code identifying the type of communication number

Code	Name
TE	Telephone

Ref	Id	Element Name	Req	Type	Min/Max	Usage
PER04	364	**Communication Number**	X	AN	1/80	Used

Description: Complete communications number including country or area code when applicable

DLMS Note: *When transmitting a telephone number, the number shall be transmitted with no extraneous characters. Include the county code and area code. If an extension applies to the number, concatenate the letter "X" plus the extension at the end of the number.*

Ref	Id	Element Name	Req	Type	Min/Max	Usage
PER05	365	**Communication Number Qualifier**	X	ID	2/2	Used

Description: Code identifying the type of communication number

Code	Name
EM	Electronic Mail

Ref	Id	Element Name	Req	Type	Min/Max	Usage
PER06	364	**Communication Number**	X	AN	1/80	Used

Description: Complete communications number including country or area code when applicable

Ref	Id	Element Name	Req	Type	Min/Max	Usage
PER09	443	**Contact Inquiry Reference**	O	AN	1/20	Used

Description: Additional reference number or description to clarify a contact number

DLMS Note: *Use, if necessary, to provide the title of the party cited in PER02.*

DTM Date/Time Reference

Pos: 070	**Max: 10**
Heading - Mandatory	
Loop: N/A	**Elements: 2**

User Option (Usage): Used

Purpose: To specify pertinent dates and times

Syntax Rules:

1. C0403 - If DTM04 is present, then DTM03 is required.
2. P0506 - If either DTM05 or DTM06 is present, then the other is required.
3. R020305 - At least one of DTM02, DTM03 or DTM05 is required.

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
DTM01	374	**Date/Time Qualifier**	M	ID	3/3	Must use

Description: Code specifying type of date or time, or both date and time

DLMS Note: *Use only one code.*

Code Name

050 Received

144 Estimated Acceptance

DLMS Note:

1. Use in interim reports when LQ01 cites code 10 and LQ02 cites code D.

2 This is a former MILSCAP functionality not currently used under DLMS; reserved for future enhancement.

201 Acceptance

517 Inspected

DTM02	373	**Date**	X	DT	8/8	Must use

Description: Date expressed as CCYYMMDD

PRF Purchase Order Reference

Pos: 080	**Max: 25**
Heading - Optional	
Loop: N/A	**Elements: 2**

User Option (Usage): Used

Purpose: To provide reference to a specific purchase order

Semantics:

1. PRF04 is the date assigned by the purchaser to purchase order.

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
PRF01	324	**Purchase Order Number**	M	AN	1/22	Must use

Description: Identifying number for Purchase Order assigned by the orderer/purchaser

DLMS Note: *Use to identify the procurement instrument identifier (PIID). Use the legacy procurement instrument identification number (PIIN) pending transition to the PIID. When procurement is authorized under a PIID call/order number (F in 9th position), provide the value in the PIID field. For Other Transaction (OT) agreements, the type of instrument (9th position of PIID) will be a 3 for Research OTs or 9 for Prototype and Production OTs. Refer to ADC 1161A or ADC 1420.*

Ref	Id	Element Name	Req	Type	Min/Max	Usage
PRF02	328	**Release Number**	O	AN	1/30	Used

Description: Number identifying a release against a Purchase Order previously placed by the parties involved in the transaction

DLMS Note: *1. Identify the four-position legacy call/order number associated with the PIIN.*

2. Do not use for the PIID call/order number. The PIID call/order number is mapped to PRF01. Refer to ADC 1161A.

N1 Name

Pos: 130   **Max: 1**

Heading - Mandatory

Loop: N1   **Elements: 4**

User Option (Usage): Must use

Purpose: To identify a party by type of organization, name, and code

Syntax Rules:

1. P0304 - If either N103 or N104 is present, then the other is required.
2. R0203 - At least one of N102 or N103 is required.

Comments:

1. This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
2. N105 and N106 further define the type of entity in N101.

DLMS Note:

1. Must use this N1 loop to identify the organization originating the transaction set.

2. Use additional iterations to identify other organizations associated with the transaction.

3. Must use at least one iteration of this N1 segment to identify the organization to receive the transaction (N106 code TO with appropriate N101 code).

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
N101	98	**Entity Identifier Code**	M	ID	2/3	Must use

    Description: Code identifying an organizational entity, a physical location, property or an individual

Code Name

BK   Bank

> **DLMS Note:**
> *Use to identify the bank that issued the Government Credit Card.*

C4   Contract Administration Office

> **DLMS Note:**
> *Use to identify the DoDAAC of the CAO. For MOCAS-administered contracts, identify the DCMR ADP point as a transaction recipient.*

CJ   Automated Data Processing (ADP) Point

> **DLMS Note:**
> *1. Use to indicate routing of transaction.*
>
> *2. Use to identify the WAWF-RA as the transaction recipient in response to an advance shipment notice.*

KK   Registering Party

> **DLMS Note:**
> *1. Use to identify the Component UIT registry. May be used by a Component when their UIT process requires that a copy of the transaction also be sent to a UIT registry for information purposes. Must be used with 2/N106/130 code 'PK-Party to Receive Copy' to identify that this is only an information copy of the transaction, for use with the Component UIT registry.*
>
> *2. Future enhancement; not used for initial implementation.*

KZ   Acceptance Location

> **DLMS Note:**
> *Use when acceptance is performed at a location other than the ship-to address.*

L1   Inspection Location

ST   Ship To

Code	Name

DLMS Note:

1. Use to identify the ship-to address.

2. This will be the transaction originator.

N103	66	**Identification Code Qualifier**	X	ID	1/2	Must use

Description: Code designating the system/method of code structure used for Identification Code (67)

Code	Name
10	Department of Defense Activity Address Code (DODAAC)
31	Bank Identification Code

DLMS Note:

Use to indicate the card issuing bank identification number of the bank when code BK is cited in N101. This is the first six positions of the credit card number.

UE	Unique Entity Identifier

DLMS Note:

Approved for use in X12 version 8020.

UR	Uniform Resource Locator (URL)

DLMS Note:

1. Use when appropriate to identify the Component UIT registry.

2. Future enhancement; not used for initial implementation.

ZZ	Mutually Defined

DLMS Note:

Use to identify WAWF-RA as the transaction recipient. Enter "WAWF" in N104.

N104	67	**Identification Code**	X	AN	2/80	Must use

Description: Code identifying a party or other code

N106	98	**Entity Identifier Code**	O	ID	2/3	Used

Description: Code identifying an organizational entity, a physical location, property or an individual

DLMS Note: *1. Must use codes FR and TO in conjunction with the appropriate 1/N101/130 code to indicate the organizations sending and receiving the transaction set.*

2. Must use to identify the organization sending and receiving the transaction.

Code	Name
FR	Message From

DLMS Note:

Use to indicate that the organization cited in N104 transmitted the transaction set.

PK	Party to Receive Copy

DLMS Note:

1. Use when appropriate to send an information copy of the transaction to a Component UIT registry. For use with N101 code KK.

2. Future enhancement; not used for initial implementation.

TO	Message To

DLMS Note:

Use to indicate that the organization cited in N104 is to receive the transaction set.

RCD Receiving Conditions

Pos: 010	**Max: 1**
Detail - Mandatory	
Loop: RCD	**Elements: 6**

User Option (Usage): Must use

Purpose: To report receiving conditions and specify contested quantities

Syntax Rules:

1. P0203 - If either RCD02 or RCD03 is present, then the other is required.
2. P0405 - If either RCD04 or RCD05 is present, then the other is required.
3. P060708 - If either RCD06, RCD07 or RCD08 are present, then the others are required.
4. P091011 - If either RCD09, RCD10 or RCD11 are present, then the others are required.
5. P121314 - If either RCD12, RCD13 or RCD14 are present, then the others are required.
6. P151617 - If either RCD15, RCD16 or RCD17 are present, then the others are required.
7. P181920 - If either RCD18, RCD19 or RCD20 are present, then the others are required.
8. R020406 - At least one of RCD02, RCD04 or RCD06 is required.

Semantics:

1. RCD01 is the receiving advice line item identification.
2. RCD21 is the cumulative quantity of goods received for a specific time period.

Comments:

1. See the Data Element Dictionary for a complete list of receiving condition IDs.
2. RCD06 through RCD20 provide for five different quantities whose condition upon receipt is under question.

DLMS Note:

1. Multiple RCD loops may be used to report acceptance of multiple CLINS.

2. The use of multiple RCD loops is applicable to acceptance at the shipment level.

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
RCD01	350	**Assigned Identification**	O	AN	1/20	Must use
		Description: Alphanumeric characters assigned for differentiation within a transaction set				
		DLMS Note: *Use to identify the Contract Line Item Number (CLIN), (including the Subcontract Line Item Number (SUBCLIN), Exhibit Line Item Number (ELIN), or Sub Exhibit Line Number Item (SUBELIN)).*				
RCD02	663	**Quantity Units Received or Accepted**	X	R	1/9	Must use
		Description: Number of Units Received or Accepted				
		DLMS Note: *Use to identify only that quantity actually accepted at destination. Use the number 0 when transmitting an interim report.*				
RCD03	C001	**Composite Unit of Measure**	X	Comp		Must use
		Description: To identify a composite unit of measure(See Figures Appendix for examples of use)				
RCD03-01	355	**Unit or Basis for Measurement Code**	M	ID	2/2	Must use
		Description: Code specifying the units in which a value is being expressed, or manner in which a measurement has been taken				

Ref	Id	Element Name	Req	Type	Min/Max	Usage
		DLMS Note: *Use any code other than ZZ. DLMS users see the Unit of Issue and Purchase Unit Conversion Table for available codes.*				
RCD06	667	**Quantity in Question**	X	R	1/9	Used
		Description: Number of units contested because of physical condition or status of units				
		DLMS Note: *1. Use in conjunction with a receipt discrepancy to provide amount of items received but not accepted.*				
		2. Not applicable to shipment level acceptance process.				
RCD07	C001	**Composite Unit of Measure**	X	Comp		Used
		Description: To identify a composite unit of measure(See Figures Appendix for examples of use)				
RCD07-01	355	**Unit or Basis for Measurement Code**	M	ID	2/2	Must use
		Description: Code specifying the units in which a value is being expressed, or manner in which a measurement has been taken				
		DLMS Note: *Use any code other than ZZ. DLMS users see the Unit of Issue and Purchase Unit Conversion Table for available codes.*				
RCD08	412	**Receiving Condition Code**	X	ID	2/2	Used
		Description: Code designating physical condition or status of units received in a specific shipment				

Code **Name**

09   Hold

> **DLMS Note:**
>
> *1. Use to indicate that discrepant quantity received has been placed in a suspended condition.*
>
> *2. Not applicable to shipment level acceptance process.*

SN1 Item Detail (Shipment)

Pos: 020	Max: 1
Detail - Optional	
Loop: RCD	Elements: 2

User Option (Usage): Used

Purpose: To specify line-item detail relative to shipment

Syntax Rules:

1. P0506 - If either SN105 or SN106 is present, then the other is required.

Semantics:

1. SN101 is the ship notice line-item identification.

Comments:

1. SN103 defines the unit of measurement for both SN102 and SN104.

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
SN102	382	**Number of Units Shipped**	M	R	1/10	Must use

Description: Numeric value of units shipped in manufacturer's shipping units for a line item or transaction set

DLMS Note: *1. Use the 2/SN1/020 segment in 2/RCD/010 loops to identify the quantity shipped.*

2. Required during DLMS migration; not used by WAWF.

Ref	Id	Element Name	Req	Type	Min/Max	Usage
SN103	355	**Unit or Basis for Measurement Code**	M	ID	2/2	Must use

Description: Code specifying the units in which a value is being expressed, or manner in which a measurement has been taken

DLMS Note: *1. Use to identify the purchase unit specified in the contract for the quantity shipped. Use any code other than code ZZ. DLMS users see the Unit of Issue and Purchase Unit Conversion Table for available codes.*

2. This will reflect the purchase unit provided by the vendor in the Advance Shipment Notice.

PID   Product/Item Description

Pos: 050	**Max: 1000**
Detail - Optional	
Loop: RCD	**Elements: 2**

User Option (Usage): Used

Purpose: To describe a product or process in coded or free-form format

Syntax Rules:

1. C0403 - If PID04 is present, then PID03 is required.
2. C0703 - If PID07 is present, then PID03 is required.
3. C0804 - If PID08 is present, then PID04 is required.
4. C0905 - If PID09 is present, then PID05 is required.
5. R0405 - At least one of PID04 or PID05 is required.

Semantics:

1. Use PID03 to indicate the organization that publishes the code list being referred to.
2. PID04 should be used for industry-specific product description codes.
3. PID08 describes the physical characteristics of the product identified in PID04. A "Y" indicates that the specified attribute applies to this item; an "N" indicates it does not apply. Any other value is indeterminate.
4. PID09 is used to identify the language being used in PID05.

Comments:

1. If PID01 equals "F", then PID05 is used. If PID01 equals "S", then PID04 is used. If PID01 equals "X", then both PID04 and PID05 are used.
2. Use PID06 when necessary to refer to the product surface or layer being described in the segment.
3. PID07 specifies the individual code list of the agency specified in PID03.

DLMS Note:

Retained for consideration under UII.

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
PID01	349	**Item Description Type**	M	ID	1/1	Must use

Description: Code indicating the format of a description

Code	Name
F	Free-form

DLMS Note:

Future enhancement; not used for initial implementation.

Ref	Id	Element Name	Req	Type	Min/Max	Usage
PID05	352	**Description**	X	AN	1/80	Must use

Description: A free-form description to clarify the related data elements and their content

REF   Reference Identification

Pos: 070	**Max: 12**
Detail - Optional	
Loop: RCD	**Elements: 2**

User Option (Usage): Used

Purpose: To specify identifying information

Syntax Rules:

1. R0203 - At least one of REF02 or REF03 is required.

Semantics:

1. REF04 contains data relating to the value cited in REF02.

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
REF01	128	**Reference Identification Qualifier**	M	ID	2/3	Must use

Description: Code qualifying the Reference Identification

Code	Name
EF	Electronic Funds Transfer ID Number

DLMS Note:

Use only when 1/N103/130 is UE Unique Entity Identifier, to provide an alternate EFT account in REF02.

IX	Item Number

DLMS Note:

1. Use for Authorization Accounting Activity (AAA).

2. Applicable to Navy acceptance only.

3. AAA may be reported for each CLIN accepted .

Ref	Id	Element Name	Req	Type	Min/Max	Usage
REF02	127	**Reference Identification**	X	AN	1/30	Must use

Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

MAN   Marks and Numbers

Pos: 180	**Max: >1**
Detail - Optional	
Loop: RCD	**Elements: 6**

User Option (Usage): Used

Purpose: To indicate identifying marks and numbers for shipping containers

Syntax Rules:

1. C0605 - If MAN06 is present, then MAN05 is required.
2. P0405 - If either MAN04 or MAN05 is present, then the other is required.

Semantics:

1. MAN01/MAN02 and MAN04/MAN05 may be used to identify two different marks and numbers assigned to the same physical container.
2. When both MAN02 and MAN03 are used, MAN02 is the starting number of a sequential range and MAN03 is the ending number of that range.
3. When both MAN05 and MAN06 are used, MAN05 is the starting number of a sequential range, and MAN06 is the ending number of that range.

Comments:

1. When MAN01 contains code "UC" (U.P.C. Shipping Container Code) and MAN05/MAN06 contain a range of ID numbers, MAN03 is not used. The reason for this is that the U.P.C. Shipping Container code is the same on every carton that is represented in the range in MAN05/MAN06.
2. MAN03 and/or MAN06 are only used when sending a range(s) of ID numbers.
3. When both MAN02/MAN03 and MAN05/MAN06 are used to send ranges of ID numbers, the integrity of the two ID numbers must be maintained.

DLMS Note:

For initial implementation, IUID information will be provided only when partial acceptance occurs. The UII will be provided for those items which were accepted.

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
MAN01	88	**Marks and Numbers Qualifier**	M	ID	1/2	Must use

Description: Code specifying the application or source of Marks and Numbers (87)

Code	Name
ZZ	Mutually Defined

DLMS Note:

1. Use to identify the Unique Item Identifier (UII) for each item. If the UII exceeds 48 characters, carry over the additional characters to MAN03. When MAN01 is ZZ, MAN03 may only be used as a continuation of the UII entered in MAN02.

2. The UII may not exceed 50 characters in accordance with IUID Policy.

Ref	Id	Element Name	Req	Type	Min/Max	Usage
MAN02	87	**Marks and Numbers**	M	AN	1/48	Must use

Description: Marks and numbers used to identify a shipment or parts of a shipment

Ref	Id	Element Name	Req	Type	Min/Max	Usage
MAN03	87	**Marks and Numbers**	O	AN	1/48	Used

Description: Marks and numbers used to identify a shipment or parts of a shipment

Ref	Id	Element Name	Req	Type	Min/Max	Usage
MAN04	88	**Marks and Numbers Qualifier**	X	ID	1/2	Used

Description: Code specifying the application or source of Marks and Numbers (87)

DLMS Note: *Retained for consideration under UII.*

<u>Code</u> <u>Name</u>

R   Originator Assigned

DLMS Note:

1. Use to identify the serial number associated with the identified UII in MAN05. When a batch, lot, or other type of production run number is included in the UII for uniqueness, this number will be cited in MAN06.

2. Future enhancement; not used for initial implementation.

MAN05	87	**Marks and Numbers**	X	AN	1/48	Used

Description: Marks and numbers used to identify a shipment or parts of a shipment

MAN06	87	**Marks and Numbers**	X	AN	1/48	Used

Description: Marks and numbers used to identify a shipment or parts of a shipment

LM   Code Source Information

Pos: 185	**Max: 1**
Detail - Mandatory	
Loop: LM	**Elements: 1**

User Option (Usage): Must use

Purpose: To transmit standard code list identification information

Comments:

1. LM02 identifies the applicable industry code list source information.

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
LM01	559	**Agency Qualifier Code**	M	ID	2/2	Must use

Description: Code identifying the agency assigning the code values

Code Name

DF   Department of Defense (DoD)

LQ Industry Code

Pos: 186	**Max: 100**
Detail - Mandatory	
Loop: LM	**Elements: 2**

User Option (Usage): Used

Purpose: Code to transmit standard industry codes

Syntax Rules:

1. C0102 - If LQ01 is present, then LQ02 is required.

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
LQ01	1270	**Code List Qualifier Code**	X	ID	1/3	Used

Description: Code identifying a specific industry code list

Code	Name
0	Document Identification Code
10	Transaction Status Indicator Code

DLMS Note:

1. Use one of codes D - Interim Status, F - Final Reply Report or G - Ship To Code Differs From Contract, to indicate the transaction status.

2. Applicable during DLMS migration; not used by WAWF during initial implementation.

17	Shipment Acceptance Discrepancy Explanation Code
GQ	Group Qualifier Code

DLMS Note:

Use to identify the Material Management Aggregation Code (MMAC) for NSNs to be managed by a specific manager (i.e., system, program, aggregation, selected FSC, technology group). This is an Air Force-unique data element, meaningful to the Air Force only. Non-Air Force Components perpetuate without action.

A data maintenance action was approved in version 5010. The approved code/name is "MAC – Material Management Aggregation Code". The code source is identified as the Air Force Manual (AFMAN) 23-110, Volumes 1 and 2.

COG	Cognizance Symbol

DLMS Note:

Use to identify the material cognizance symbol (COG) of the end item. Indicate NSL for nonstock numbered listed items. This is a Navy-unique data element meaningful to Navy only; Non-Navy Components are to perpetuate without action.

MCC	Material Control Code

DLMS Note:

Use for special inventory reporting. This is a Navy unique data element meaningful to Navy only; Non-Navy Components are to perpetuate without action.

SMI	Special Material Identification Code

DLMS Note:

This is a Navy unique data element meaningful to Navy only; Non-Navy Components are to perpetuate without action.

Ref	Id	Element Name	Req	Type	Min/Max	Usage
LQ02	1271	**Industry Code**	X	AN	1/30	Used

Description: Code indicating a code from a specific industry code list

SE Transaction Set Trailer

Pos: 020	**Max: 1**
Summary - Mandatory	
Loop: N/A	**Elements: 2**

User Option (Usage): Used

Purpose: To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)

Comments:

1. SE is the last segment of each transaction set.

Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
SE01	96	**Number of Included Segments**	M	N0	1/10	Must use
		Description: Total number of segments included in a transaction set including ST and SE segments				
SE02	329	**Transaction Set Control Number**	M	AN	4/9	Must use
		Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set				
		DLMS Note: *Cite the same number as the one cited in ST02.*				