

## REQUEST FOR TECHNICAL PROPOSALS (RFTPs) Number 15-0288

# SHIP DISMANTLING, DEMILITARIZATION AND RECYCLING

Note: RFTP 15-0288 responses must be a complete package and cannot reference documents in the previous RFTP 14-0239

STEP ONE OF TWO-STEP SOLICITATION

August 10, 2015

## **EXECUTIVE SUMMARY**

Defense Logistics Agency (DLA) Disposition Services is planning to offer sales contracts for the recycling of Navy combatant ships (cruisers, destroyers, frigates, and patrol gunboats) that have been stricken from the Naval Vessel Register. The ships are currently located at U.S. Navy Inactive Ship's Maintenance Facility in Philadelphia, Pennsylvania, the Navy Yard, Washington DC, and Naval Surface Warfare Center, Panama City Florida, and, are inoperable, have been extensively stripped of equipment, and their sale is restricted to dismantling in the United States. The U.S. Government will retain ownership of the vessel but the purchaser receives title to the scrap metal produced to offset its costs of towing, hazardous material removal and disposal, vessel dismantling, and metal recycling. The hull must be completely dismantled to scrap metal and any weapons system and electronic equipment destroyed by mutilation to complete demilitarization requirements within 18 (eighteen) months of contract award.

Ship Sales will be conducted using a Two-Step process consisting of two distinct steps: (1) Technical Proposal submission, evaluation and determined acceptability; and (2) issuance of an Invitation For Bid (IFB) only to those firms that submitted acceptable technical proposals under Step One.

In Step One, DLA Disposition Services will issue a Request for Technical Proposals (RFTP) and evaluate those proposals. Offerors whose Technical Proposals are found technically acceptable in the first step will be considered Qualified Bidders. Offerors will be eligible to compete in the second step for sales contracts awarded under this solicitation where the agency sells vessels for remediation and dismantlement. Offerors will not be eligible to bid on recycling contracts until they are notified by the Sales Contracting Officer (SCO) that their technical proposal has been determined to be technically acceptable. All technical proposals must be current at the time of contract award. It is the prospective buyer's responsibility to ensure that their plan is up-to-date and approved.

In Step Two, DLA Disposition Services will accept bids from those Qualified Bidders that wish to submit a bid. The Draft IFB 15-0288 is the second IFB, with more opportunities anticipated in the future.

Accordingly, you are invited to submit a complete technical proposal **WITHOUT BID PRICES OR BID DEPOSIT** in accordance with the Requirements and Criteria for Technical Proposals contained herein. The RFTP identifies how the technical proposal is to be organized. The technical proposal must include: Business Plan, Operational Plan, Worker Safety and Health Plan, and the Environmental Compliance plan.

Firms are advised to submit a <u>complete</u> technical proposal that responds to the requirements of the RFTP. The Government may make a final determination whether a proposal is acceptable or unacceptable based solely on the proposal as submitted. The Government may proceed directly with the second step of this process without requesting or permitting the submission of further clarification/information from any bidder.

The RFTP submission does not bind the Government and does not in any way commit the Government to pay for any costs incurred in the preparation and submission of the proposal. The RFTP does not commit the Government to contract for the property described in draft IFB 15-0288. Proposed contractual requirements (i.e., terms and conditions) will be contained in the final IFB issued under Step Two. Draft IFB 15-0288 is currently provided for information purposes only.

Each firm shall submit two paper copies or one electronic copy of their technical proposal and any modifications to:

DLA Disposition Services
Hart-Dole-Inouye Federal Center
ATTN: Mr. Ron Williams, J-423 (Room 2-4-26)
Or email Ronald.R.Williams@dla.mil
74 North Washington
Battle Creek, MI 49037

Packages can be sent earlier than the no later than date and time. Acceptable formats are paper or PDF via email or disk. FLASH/USB drives are not acceptable. All documents must be readable and not require special software to print and review. Your technical proposal must be received no later than 09:00am (EST) on September 4, 2015. If multiple emails are required to submit the proposal, sufficient time must be allowed for your complete proposal to be received by the bid opening date and time. Emails should be sent such that the compilation of the complete package is intuitive and forms the order identified in the RFTP.

## **Requirements and Criteria for Technical Proposals Format:**

Firms shall submit their technical proposal in accordance with the format provided below. It is believed that sufficient latitude is provided such as not to prejudice or limit the comprehensiveness of the proposal.

Each firm shall submit only one technical proposal (two copies) subject to the following requirements and limitations in this RFTP. The technical proposal shall include:

- Title page, <u>Un-priced Technical Proposal</u> The title page of the technical proposal shall include (1) the company name of the firm; (2) the firm shall identify those portions of its technical proposal that contain copyrighted or proprietary or similar proposal data with a restrictive legend indicating the protected type of data contained therein.
- A table of contents, identifying the major sections of the total proposal as set forth below, by volume (if applicable) and by page, shall be placed in the front of each proposal.
- The un-priced technical proposal shall be bound in a notebook(s), if submitted in print copy, to permit removal of sections for the purpose of separate evaluation. The company name of the bidder shall appear at the top of the first page. Each page of the proposal that contains company proprietary data shall be so stated.

The technical proposal is the primary basis for the evaluation of: (a) the degree to which a firm's claim of performance capacity is supported, and (b) the ability of the firm to perform in accordance with the requirements and complete all work within the 18 (eighteen) month contract performance period. The technical factors to be considered are those discussed herein.

When preparing the technical proposal, firms are cautioned to pay particular attention in providing sufficient detail to demonstrate their complete understanding of the scope of the work involved, including the requirement to comply with all federal, state, and local laws and regulations.

The technical proposal shall be detailed, specific, and complete to enable Government personnel to make a thorough evaluation and a sound determination that the proposal will have a reasonable likelihood of meeting the requirements and objectives set forth by the Government. These objectives are to ensure that firms understand the logistics of towing a naval vessel, identification and compliant disposal of all hazardous materials, the safe dismantling of a naval vessel in the <u>United States</u>, the ability to meet reporting requirements while complying with the terms of the contract. General statements such as "standard procedures will be used," or "well-known techniques will be utilized," and generalities of textbook theories and techniques, **will not constitute compliance** with these requirements concerning the content of the technical proposal. Part of the purpose of this requirement is to ascertain the level of the firm's knowledge of compliantly performing under the terms of this contract.

The following technical proposal organization is required to facilitate the technical evaluation:

- 1. Title Page
- 2. Table of Contents
- 3. Executive Summary
- 4. Operational Plan
- 5. Business Plan
- 6. Worker Safety & Health Plan
- 7. Environmental Compliance Plan
- 8. List of Appendices

#### 1. EXECUTIVE SUMMARY:

The executive summary should highlight the firm's approach, and should summarize the key capabilities and general features of the firm's resources, experience and understanding of the business and the operational efforts required by this solicitation.

#### 2. OPERATIONAL PLAN:

The Operation Plan shall demonstrate the proposed reduction methods for performing the dismantling of four vessels at the recycling facilities during the 18 (eighteen) month performance period. The plan shall include the dismantling process for the vessels such that no considerable

part of the ship is left intact or undisturbed to the extent that it can be reconstructed or readily identified as an existing portion of the vessel. Dismantling is defined as reducing the vessel such that it has no value except for its basic material content. The Operational Plan shall describe the entire process of ship recycling including, but not limited to:

### 2.1. Vessel Pre Arrival Management:

- 2.1.1. Towing operations: Describe preparation of the vessel for tow including required surveys, tow preparations and underwater cleaning activities. Towing operations must be in accordance with the Navy Tow Manual and all other applicable laws and regulations. Towing operations should include an anticipated timeline for submitting and gaining approval for commencement of tow.
- 2.1.2. Vessel afloat monitoring procedures: Describe your procedures for monitoring the vessel under float.

#### 2.2. Vessel Arrival Management:

- 2.2.1. Mooring: Preparation for the vessels securing and mooring.
- 2.2.2. Stability and Flood Prevention: Methodologies for vessel stabilization while the vessel is being dismantled while afloat, on dry-dock or on a marine railway.
- 2.2.3. Stability during flooding and/or sinking prevention methods.
- 2.2.4. Security Measures: Describe security systems and procedures.
- 2.2.5. Severe Weather Measures: Planning for protection during heavy and/or severe weather conditions.
- 2.2.6. Environmental mitigation: Describe Environmental mitigating strategies necessary to prevent any inadvertent release of oils, PCBs, or other hazardous materials/waste.

#### 2.3. Ship Dismantling Methodology:

- 2.3.1. Approach to ship dismantling including the entire process of dismantling a vessel, the integration of hazardous material and waste remediation with the vessel, and a description of the methodology and procedures for identifying and segregating all material to include the procedures to prevent commingling of materials from different vessels.
- 2.3.2. Engineering, technical, production, and management processes including methodologies for hazardous material and waste remediation and vessel recycling.

- 2.3.3. A description of the methodologies used to monitor the work, including subcontractors
- 2.3.4. A description of how recycled materials and salvageable items will be mutilated, sold, and/or disposed.
- 2.3.5. A description of how electronic assemblies and circuit cards will be demilitarized and what equipment/method will be used. The ship recycling facility should detail the proposed environmentally responsible methods that will not contaminate the area of demilitarization operations with residue from these operations. An R2 or eStewards certified facility must be used for electronic waste and recycling.
- 2.4. Initial Survey and Evaluation: Coordinating the identification, sampling, handling, removal, abatement, transfer, labeling, storage, treatment, transport, and/or disposal of hazardous material and waste IAW EPA/state regulations. Surveys and sampling shall include, but not limited to, hazardous materials, tank soundings, identification of unknowns, safe and unsafe areas, and gas-free certification.
- 2.5. Cutting Operations and Gas Free for Hot Work Procedures: A description of the procedures for cutting operations including gas free certifications.
- 2.6. Final Hull Dismantling: Describe the final hull dismantling process.
- 2.7. Accountability and Reporting: Provide a plan for the reporting and disposal of environmental waste (PCB's, petroleum products, asbestos, lead, etc.) expected to be found on the vessel.
- 2.8. Procedures Demonstrating Government Interaction Describe the plan to work and interact with Government personnel or their representatives at the buyers proposed facilities, to include space for on-site Government personnel or their agents, and how the buyer intends to comply with the record keeping and audit requirements of this contract. The procedures should be described in sufficient detail to demonstrate the buyer's ability to meet the timeframes in the IFB and to demonstrate the buyer's understanding of the scope of the work effort required and the degree of the difficulty involved.
- 2.9. Workforce and Training Program: Describe the workforce and include a list of the types and frequencies of training received.

#### 3. BUSINESS PLAN:

The business plan shall provide information regarding the organizational structure and management policies of the company, an overview of the facility, and the dismantling methodologies related to ship recycling. The business plan shall include a narrative that demonstrates a thorough understanding of regulations, production processes, project

management, requirements for vessel dismantling in accordance with applicable laws and regulations, and the demonstration of a complete understanding of the true scope of potential problems and risks in ship dismantling.

The Business Plan shall demonstrate the buyer's ability to manage costs, personnel, subcontractors, and the facility. The Business Plan shall include a description of the facility's management and organization including names and resumes of all corporate and key personnel. Key Personnel shall have the appropriate level of skill and experience sufficient to accomplish the scope of work.

- 3.1. Management and Organization: The proposal shall demonstrate that the corporate and project organizations support the level of effort required to meet the terms and conditions of the IFB. The assumptions upon which the plan is based should be explicitly described.
- 3.2. Project Organization The firm shall describe its organization plan, to include the quality control processes to manage the contract, including any services to be provided by subcontractors. If the firm is newly formed for the purpose of performing this contract, provide a copy of the joint venture agreement or other organizing documents in an appendix to the technical proposal.
- 3.3. Key Personnel and Staffing Provide name and resume of the proposed Chief Executive Officer (CEO), the Project Manager (if different), the Environmental Safety and Health Manager, and all other key personnel along with their roles and responsibilities. Include experience on similar projects. Provide the number of personnel required, by function, in your plan estimates.
- 3.4. Facility Information: The Business Plan shall provide evidence of the availability of the proposed dismantling facilities for the duration of the contract performance, either by ownership or existing lease. The Business Plan shall also include evidence that the site-specific permits are currently in effect and shall be for ship dismantling or hazardous material/waste removal, remediation, abatement, or disposal.
  - 3.4.1. Permits, Licenses, and Certifications: Provide a list or description of the permits, licenses, and certifications.
  - 3.4.2. Previous facility Use: Identify the previous use of the facility over the past 10 years.
  - 3.4.3. Security Measures: Describe the security procedures at the dismantling facility for the protection of ships and materials.
  - 3.4.4. Facility Layout: Physical site location including acreage and facility access routes. Include a scaled facility drawing showing the principal recycling and vessel berthing areas, water depth, accessibility, maintenance and dredging, and a

narrative describing the proposed sequence of remediation and dismantling actions.

#### 3.5. Performance Schedule and Milestones:

- 3.5.1. A schedule for each proposed ship showing the progressive order in which the buyer proposes to execute the dismantling work. The schedule should incorporate all towing timeframes and all vessel dismantling timeframes.
- 3.5.2. Planned completion dates and a timeline for each phase of the project
- 3.5.3. Identification of critical milestones.
- 3.5.4. Identification of all milestones such as the final date of sale of materials that must be accomplished for the completion of the Project.

NOTE: Buyer's schedules shall utilize the milestone contract award as the start date for their schedules. Subsequent milestones and events should be tied to this start date.

- 3.6. Financial Plan Buyer should demonstrate an understanding of the financial requirements of the contract. Buyer should state all assumptions underlying the financial plan clearly, however, no implications or inference as to the buyer's ultimate bid will be associated with the buyer's purchase price assumption in the financial plan. Demonstration should be sufficiently detailed to show clearly that the prospective buyer has a sufficient financial capacity to meet all financial requirements and contingencies associated with performing the contract to include documentation to support the availability of the insurance, and Financial Guarantee Bond (\$3,000,000).
- 3.7. Experience Provide the name, address, phone numbers, contract number (if applicable) and a description of past performance where prior comparable services rendered in the last three (3) years. Buyer must provide evidence of demonstrable experience in business arrangements involving the ship recycling and reporting requirements.

#### 4. WORKER SAFETY AND HEALTH COMPLIANCE PLAN

Provide a plan for compliance to meet the safety procedures required by OSHA standards. The Worker Safety & Health section shall identify and demonstrate the ship recycling facility's ability to understand and comply with all applicable local, state, and federal worker safety and occupational health processes, procedures, laws, regulations, and guidance. The Worker Safety & Health section shall provide a concise description of the recycling facility's plan and procedures for protecting worker health and safety and should demonstrate that the safety and health program supports the level of effort required for ship dismantling.

The Worker Safety Provisions section of the Worker Safety and Health Plan shall include the following information:

## 4.1. Regulatory Framework and compliance Procedures

- 4.1.1. Statement of Determination The Worker Safety & Health Compliance Plan section shall include a statement of determination whether the facility, any parent companies, subsidiaries, or affiliates or proposed subcontractors within the past five years, has received from any federal, state or local regulatory authority or foreign government, any of the following: Notices of violations; fines or proposed fines; convictions; citations; or has been or currently is the subject of any judicial or administrative proceeding related to the violation or alleged violation of any applicable law related to worker safety & health compliance.
- 4.1.2. Further explain incidents noted in 4.1.1 and describe the steps taken to mitigate future incidents.

#### 4.1.3. Job hazard assessment

- 4.1.3.1. Procedures for job hazard assessments to determine the proper approach to maximize worker safety.
- 4.1.3.2. Processes and procedures for ensuring that the ship recycling facility will maintain safe conditions, and that safety deficiencies will be frequently evaluated for the need for corrective actions
- 4.1.4. Safety and Health Training: The Worker Safety & Health Plan shall describe training and safety programs that are or will be in effect, and procedures for documentation of applicable training. The ship recycling facility shall ensure that the implemented training programs:
  - 4.1.4.1. Include the appropriate training for job tasks and operations performed by the employee. Include job hazard assessment that provides for initial and refresher training at appropriate intervals.
  - 4.1.4.2. Are presented in a manner that can be perceived and understood by all workers.
  - 4.1.4.3. Are documented and records are maintained at the ship recycling facility.
  - 4.1.4.4. Procedures for ensuring that any worker at the facility has been provided with appropriate training prior to performing any ship recycling operation.

## 4.1.5. Incident/Accident Reporting Procedures

4.1.5.1. Procedures for incident and accident reporting.

#### 4.2. OPERATIONS AND PROCESSES

- 4.2.1. Diving Operations: Describe diving services to be employed, if any, during ship recycling.
- 4.2.2. Confined and Enclosed Spaces: Describe procedures for identifying, entering, and working in confined spaces or spaces with dangerous atmospheres.
- 4.2.3. Welding, Cutting, Grinding, and Heating: Describe procedures for ventilation, personnel monitoring for heavy metals exposure, protection of personnel, training, respiratory protection, torch cutting, permits and inspections (including hot work certification).
- 4.2.4. Gas Free for Hot Works Program: Describe gas free procedures and certifications.
- 4.2.5. Scaffolds, Ladders, Working Aloft, Other Working Surfaces: Describe your use of personnel flotation devices, guarding of deck openings and deck edges, platforms, personal fall arrest systems, guard rails, and access to ships.
- 4.2.6. Fall Protection Program: Describe the fall protection program.
- 4.2.7. Housekeeping and Illumination: Procedures for work areas, such as aisles, passageways, and temporary flooring openings. Describe lighting process in work areas within the ship.
- 4.2.8. Signage: Procedures for ensuring that unsafe areas are posted with warning signs and labels in a language that all workers understand, and that all previously designated unsafe areas have been appropriately tested and/or verified as safe for workers prior to performing any ship recycling operation in that area.

## 4.2.9. Personal Protective Equipment

- 4.2.9.1. Procedures for ensuring the availability, maintenance, and use of personal protective equipment and clothing needed for all ship recycling operations.
- 4.2.9.2. Personal Protective Equipment information on procedures and equipment used for the protection of employees from various risks associated with ship recycling (to include a job hazard analysis to determine the required personal protective equipment; respiratory protective equipment; personal protective clothing; and a hearing conservation program)

#### 4.3. EMERGENCY PREPAREDNESS AND RESPONSE

4.3.1. The buyer shall certify and/or verify that the facility has developed, implemented, and maintains an Emergency Preparedness and Response Plan.

- 4.3.2. Fire Prevention and Protection The buyer shall certify and/or verify that the facility has developed, implemented, and maintains a Fire Prevention and Response Plan.
- 4.3.3. Worker Exposure and Medical Monitoring Procedures to be used for job hazard analysis, medical surveillance, exposure, and training.

#### 5. ENVIRONMENTAL COMPLIANCE PLAN

- 5.1. The Environmental Compliance Plan section shall demonstrate that the ship recycling facility:
  - 5.1.1. Understands the environmental risks associated with ship recycling and provides a mitigation plan. The ship recycling facility should detail the proposed environmentally responsible methods that will not contaminate the area of demilitarization operations with residue from these operations.
  - 5.1.2. Understands and implements the environmental requirements imposed by applicable laws and regulations.
  - 5.1.3. Manages and disposes of all materials generated in the dismantling of the ship, its equipment in an environmentally sound manner.
- 5.2. Regulatory Framework and Compliance Procedures
  - 5.2.1. Statement of Determination The Environmental Compliance Plan section shall include a statement of determination whether the facility, any parent companies, subsidiaries, or affiliates or proposed subcontractors within the past five years, have received from any federal, state or local regulatory authority or foreign government, any of the following:
    - Notices of Violations (NOV);
    - Fines or proposed fines;
    - Convictions:
    - Citations; or
    - Have been or currently is the subject of any judicial or administrative proceeding related to the violation or alleged violation of any applicable law related to environmental compliance.
  - 5.2.2. Further explain incidents identified in 5.2.1 and describe your steps or procedures to mitigating re-occurrence.
  - 5.2.3. Procedures for designating one or more competent person who has the appropriate training, knowledge, license, and/or certification to be capable of recognizing and

- evaluating environmental conditions and is capable of specifying the necessary protection and precautions to be taken to ensure environmental compliance.
- 5.2.4. Permits, Licenses, Certifications: The Environmental Compliance Plan section shall identify all authorizations, permits, certificates, approvals, registrations, notifications, plans and/or licenses required by applicable federal, state, and local environmental agencies and issued to the recycling facility to carry out the work, including those required for the management of hazardous materials.
- 5.2.5. Personnel and Subcontractor Management: The Environmental Compliance Plan shall identify all entities to be involved with the hazardous materials management process, including subcontractors involved with recycling operations at the site, and those who test, transport, and provide the ultimate disposal location and methodologies. Verification of licenses, approvals, permits, insurance, certifications, and the use of appropriately trained and experience personnel of the subcontractors shall be included.
- 5.2.6. Incident and Spills Reporting Procedures: Procedures and documentation requirements for incident and spill reporting.
- 5.3. Hazardous Materials Management The Hazardous Materials Management section of the Environmental Compliance Plan shall demonstrate how the facility will ensure safe and environmentally sound management of all hazardous materials and wastes removed from a ship recycled at the facility. The Hazardous Materials Management section of the Environmental Compliance Plan shall include the following information for Asbestos, PCBs, Fuels and Oils, Bilge/ballast water, Heavy Metals, Paints and coatings, Waste water/sludge, ozone depleting substances and other potential hazardous materials:
  - 5.3.1. Handling, Removal, Remediation: A description of procedures for the handling, removal, and remediation of all hazardous materials; and the interface of hazardous materials removal with ship dismantling activities.
  - 5.3.2. Storage and Labeling: Provide a description of procedures for the storage of and labeling of hazardous material/hazardous waste. Provide copy of permit authorizing storage of HM/HW.
  - 5.3.3. Transportation, Segregation and Disposal: Provide a list of transporters to be used to transfer HM/HW and a list of Transfer, Storage and Disposal Facilities (TSDF) to be used in the disposal of Hazardous Wastes and/or Hazardous Materials.

- 5.4. Spill Prevention, Control, and Countermeasures Plan Discussion of the controls implemented to protect the environment, which include the Spill Prevention Control and Countermeasures Plan.
- 5.5. Storm Water Pollution Prevention Plan Discussion of the controls implemented to protect the environment to include a Storm Water Pollution Prevention Plan. Buyer shall certify and/or verify that the facility has developed, implemented and maintains a Spill Prevention, Control and Countermeasures Plan and a Storm water Pollution Prevention Plan.
- 5.6. Incident/Accident reporting procedures: Describe your ability to report any instance where the fire department, medical response or a regulatory authority has been summoned or notified due to an incident/accident to the SCO within twenty four hours via email. How do you use incident/accident reports as a learning experience to prevent re-occurrence?

#### **IDENTIFICATION OF MARKETING CONSULTANTS/ADVISORS:**

To assist DLA DISPOSITION SERVICES in screening for potential organizational conflicts of interest, including conflicts of interest with any non-Governmental employee who may provide review, analysis, or verification of data in connection with the evaluation of technical proposals, all firms must identify any marketing consultant or advisor used in the preparation of its proposal, as addressed below. All firms submitting technical proposals are required to complete the certification below. This information will not be used in the process of evaluating technical proposals.

Marketing consultant and advisor are used interchangeably to mean any independent contractor who furnishes advice, information, direction, or assistance to a firm in support of the preparation or submission of a proposal, bid, or offer for a Government contract by that firm. An independent contractor is not a marketing consultant/advisor when rendering routine engineering and technical services such as installation, operation, or maintenance of systems, equipment, software, components, or facilities; or routine legal, actuarial, auditing, and accounting services; or training services.

A firm or individual is not an independent contractor within the context of this provision if the advice, information, direction, or assistance rendered is offered within the context of its/his own independent analysis and due diligence regarding whether to extend credit, financial services or support, or bonding to the firm.

Organizational conflict of interest means that because of other activities or relationships with other persons, a person is unable or potentially unable to render impartial assistance of advice to the Government, or the person's objectivity in performing the contract work is or might be otherwise impaired, or a person has an unfair competitive advantage.

The term solicitation as used in this provision includes use of the RFTP and IFB to solicit firms for the sale of surplus useable property proposed by this RFTP.

Any individual or firm that contractually employs, retains, or engages one or more marketing consultants or advisors in connection with a solicitation or contract shall provide the Sales Contracting Officer (SCO) the information below with respect to this RFTP:

- a) Name(s) of marketing consultant/advisor. If none, so designate; if more than one, use a separate sheet, if necessary.
- b) Taxpayer Identification Number of the marketing advisor/consultant.
- c) Name, address, and telephone number of a responsible officer or employee of the marketing consultant who has personal knowledge of the marketing consultant or advisor's involvement in the contract.
- d) A description of the nature of the services rendered or to be rendered by the marketing consultant or advisor.
- e) The name, address, and telephone number of the client or clients, and the name of a responsible officer or employee of the marketing consultant/advisor who is knowledgeable about the services provided to such client(s), and a description of the nature of the services rendered to such clients, if, based on information provided to the firm by the marketing consultant, any marketing consultant is rendering, or has in the twelve months preceding the closing date of this RFTP, rendered services respecting the same subject matter of the instant solicitation, or directly relating to such subject matter, to the Government or any other client (besides the firm).

In the event that it is determined that a conflict of interest may exist, the SCO may require the apparent successful bidder to provide a certificate signed by the marketing consultant, stating that a marketing consultant has made inquiry, and to the best of the consultant's knowledge and belief, the consultant has provided no unfair competitive advantage to the firm with respect to the services rendered in connection with the solicitation, or that any unfair competitive advantage that, to the best of the consultant's knowledge and belief, does or may exist, has been disclosed. NOTE: Although this RFTP and IFB will result in a sales contract and is not subject to the FAR, the guidance of FAR 9.5 is relevant to a consideration of any potential conflicts of interest as addressed in this clause and will be considered by DLA DISPOSITION SERVICES in evaluating any potential conflicts of interest.

Each firm shall submit two paper copies or one electronic copy of their technical proposal and any modifications to:

DLA Disposition Services
Hart-Dole-Inouye Federal Center
ATTN: Mr. Ron Williams, J-423 (Room 2-4-6)
Email: Ronald.R.Williams@dla.mil
74 North Washington
Battle Creek, MI 49037-3092

Packages can be sent earlier than the no later than date and time. Acceptable formats are paper or PDF via email or disk. FLASH/USB drives are not acceptable. All documents must be readable and

not require special software to print and review. Your technical proposal must be received no later than 09:00am (EST) on September 4, 2015. If multiple emails are required to submit the proposal, sufficient time must be allowed for your complete proposal to be received by the bid opening date and time. Emails should be sent such that the compilation of the complete package is intuitive and forms the order identified in the RFTP.

The only acceptable evidence to establish the time of receipt by the Government is the time/date stamp at DLA Disposition Services or email receipt time of the entire RFTP.

#### **Evaluation Criteria**

The evaluation of the operational, business, Safety & Health, and Environmental plans will consider completeness and clarity, degree of compliance with the RFTP, and the likelihood that the approach will meet the Government's objectives. All plans are considered to be of equal importance. Each factor will be rated as acceptable, reasonably susceptible of being made acceptable, or unacceptable. The introduction and any supplemental information provided will be evaluated only in conjunction with the whole technical proposal and only to the extent that they support the individual plans discussed above. A technical proposal will not be technically acceptable unless it is determined technically acceptable for each plan. If a proposal contains a significant deficiency for a plan, it will not be considered technically acceptable for that specific plan. A deficiency is defined as that part of a firm's proposal that would not meet the Government's objectives on this contract. Acceptability of each technical proposal will be based upon (1) compliance with the requirements of the foregoing paragraphs concerning content of the technical proposal including, at a minimum, information satisfying the elements in each paragraph or subparagraph of the operational and business plans, and (2) the technical proposal clearly demonstrates that the firm understands the scope and nature of the draft IFB requirements, as well as applicable laws and regulations.

#### **Clarifications**

The Government may request clarifying information from firms whose technical proposals are rated unreasonable, but reasonably susceptible of being made acceptable. The Government may, however, make a final determination whether a proposal is acceptable or unacceptable solely on the basis of the proposal as submitted and proceed directly to the second step of this sale without requesting or permitting the submission of further information from any firm. Therefore, each initial technical proposal submission should contain the firm's best terms from a technical standpoint.

## **Notice of Unacceptable Proposals**

When a technical proposal is rated unacceptable (either initially or after clarification/discussions), the SCO will notify the firm of the basis of the determination, and if a revision of the proposal may be considered for the draft IFB associated with this request. Upon written request and as soon as practical after RFTP review, the SCO will debrief unsuccessful firms.

## **Attachment: DRAFT IFB (Provided for information only)**

Attached is the draft Invitation for Bid (IFB) to be issued under Step Two. Your technical proposal should comply with the requirements set forth therein. The draft IFB is provided for information only. You are not to complete any forms herein nor are you to provide any actual bid price with submission of your Step One technical proposal.

The Government fully intends to offer the proposed solicitation as contained herein. However, the Government reserves the right to make changes to the proposed solicitation if such changes are deemed in the best interest of the Government. Any such changes from the draft IFB will be highlighted in the final IFB. Should any such changes make an otherwise acceptable technical proposal unacceptable, that firm will be contacted to provide further clarification.

#### **End Use Certificate**

Prior to award of this contract, the Buyer is required to process a Trade Security Control (TSC) assessment by providing a properly completed End-Use Certificate (EUC) in the form of a DLA Form 1822 (copy available on the DLA Disposition Services web site, <a href="http://http://www.dispositionservices.dla.mil/sales/typesale.shtml">http://http://www.dispositionservices.dla.mil/sales/typesale.shtml</a>, click on Property for Sale to Public and then Sales. The TSC Assessment investigation verifies the entity as claimed, that the entity is doing business at the name and location claimed, and that there are no disqualifying factors present (i.e., convictions for illegal export of military technology, debarment by a Government activity, etc.). The TSC Assessment can take up to six weeks, and can slow the award process. To expedite the process completed End-Use Certificate forms can be submitted with the RFTP. The EUC should be accompanied by a current government/state photo ID of the anticipated bidder, as stated in the instructions for the form. If the actual bidder changes a new End-Use Certificate must be processed. If the bid is on behalf of a company, corporation, LLC, a list of all company officials/officers associated with the recycling contract should accompany the EUC.