

DLA Troop Support Subsistence Inspection Manual 4155.6 *

SUBSECTION 207.4

DLA Troop Support *
September 2011 *

TESTING

Certificate of Conformance (CoC)

- I. PURPOSE AND SCOPE The purpose of this Subsection is to prescribe procedures pertaining to verification of a contractor furnished CoC. These procedures are applicable to inspection personnel involved in DLA Troop Support contracts requiring inspection at origin or at destination in which the contractor is required to furnish a CoC as stipulated in the contract inspection clauses.
- II. RESPONSIBILITIES Origin QAR/inspector shall verify CoC in accordance with the procedures stated in DLA Troop Support contracts. The QAR shall review the CoC for completeness and accuracy and retain in a file. When specified in the contract, the contractor shall furnish a copy of the CoC covering each contract for each destination. The destination shall verify that the contractor has furnished the required CoC.
- III. VERIFICATION PROCEDURES
 - A. Verification of CoC by Examination The end item and any related labels, invoices, test results, etc, shall be visually examined to the extent possible to determine compliance with contract requirements. The review of the CoC for completeness and accuracy is not of itself adequate verification that the end item is conforming. The CoC, when verified by examination only, shall be annotated 'Verified by Examination Only.'
 - B. Testing The end item shall be tested by a laboratory to determine compliance with contractual analytical requirements, when required. The CoC, when verified by testing, shall be annotated 'Verified by Testing' or 'Verified by Examination and Testing', as applicable.
 - C. Verification Testing Frequency for Components Inspected at Origin under CoC Procedures. Unless otherwise specified in the contract or Quality Assurance Letter of Instruction (QALI), verification testing of components is required as follows:
 1. For operational rations, frequency of verification testing of the components which are under CoC procedure shall be as specified in Subsection 207.3 (one in six lots for a reliable CoC status). If more than 12 months are required to receive the six lots, one lot in each 12-month period shall be sampled for testing.
 2. The initial lot and one of each ten lots/shipments of component received from the same component supplier by a contractor for items not intended for operational rations shall be sampled for testing. If more than 12 months are required to receive the ten lots, one lot in each 12-month period shall be sampled for testing.
 3. Exception to the above is if conformance is contract requirements can be assured by visual examination, in which case, no sampling for testing is required.
 4. Verification testing frequency may be varied by DLA Troop Support-FTR, when appropriate, based on quality history. QARs are responsible for submitting additional samples whenever reason exists to question the validity of the CoC. The extent to which the QAR submits additional samples may depend on the necessity for economy in inspection, consistent with adequate quality assurance and dollar value of the supplies. Normally, component lots costing less than \$1,000.00, except when intended for rations shall not be

- subject to verification testing.
5. Components for which there are no analytical or physical test requirements specified need not be submitted for testing. For items acquired under the Commercial Item Description (CID), or Code of Federal Regulations (CFR), refer to Subsection 207.3.
- D. Standby Samples. Subsection 207.1 contains instructions for standby samples. The QAR shall select and retain standby samples for 30 days unless requested by personnel of DLA Troop Support-FTR to submit them for testing. The standby samples may be disposed of after 30 days.
- E. Submittal of Samples For Verification Testing. The QAR shall complete Section A of DD Form 1222 (Request for and Results of Tests) and forward with the sample to the designated laboratory (see Subsection 207.1).
- F. Distribution of Verification Test Results. Distribution of verification of test results shall be in accordance with Subsection
- G. Unreliable Certification of Conformance.
1. The Quality Assurance Specialist DLA Troop Support-FTR, shall incorporate test results in the Quality History Records; evaluate results for significant differences from requirements, and notify the Administrative Contracting Officer (ACO)/Contracting Officer and QAR when the difference is sufficient to render the supplier's CoC unreliable.
 2. The ACO/Contracting Officer will notify the contractor (information copy to DLA Troop Support ATTN: DLA Troop Support-FTR of the unreliable CoC status and inform the contractor that the rate of verification is being increased to lot-by-lot and that acceptance will be based on Government test results. In addition, the ACO/Contracting Officer will inform the contractor that he/she shall be charged for the costs incurred for Government testing until reliability has been reestablished. Once the contractor has been notified of CoC unreliability and until reliability is reestablished, the CoC will be accompanied by a copy of all actual contractor test results for all production lots in the shipment.
 3. The Quality Assurance Specialist DLA Troop Support -FTR, shall notify the ACO/Contracting Officer and QAR of the contractor's eligibility for return to a reliable status.
 4. The ACO/Contracting Officer will notify the contractor (information copy to DLA Troop Support, ATTN: DLA Troop Support-FTR of the return of the CoC to a reliable status.
 5. The cost of testing by the Government during the period that the contractor's results are unreliable shall be reported to the ACO/Contracting Officer by DLA Troop Support-FTR. The cost of sampling for testing during the period of unreliability of the CoC shall be reported by the Military QAR to DLA Troop Support-FTR for forwarding to the Contracting Officer. Costs assessed shall include those related to travel costs of inspector; cost of mailing samples; administrative time, and inspection, if applicable. Personnel costs shall be levied considering time spent by pay grade.

BY ORDER OF THE COMMANDER



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